PROJECT MANUAL FOR

POVI KAA DRIVE
PROJECT NO. NP401(1)2&4
CONTRACT NO. A08AV00051 (CTM00T71301)
&
SHU MAA PO
PROJECT NO. NP402(1)2&4
CONTRACT NO. A11V00280 (CTM00T71304)

PUEBLO de SAN ILDEFONSO

March-2022

Bid Open Date: 03/25/2022
Bid Time: 2:00 pm
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Refer to the Index to Drawings included in the plan set entitled Shu Maa Po, Project No. NP402(1)2&4 and Contract No. A11V00280 (CTM00T71304) and dated April 2020.
ENGINEER CERTIFICATION

These Contract Documents and Construction Specifications for the Povi Kaa Drive and Shu Maa Po were prepared by:

Souder, Miller & Associates
5454 Venice Avenue NE, Suite D
Albuquerque, NM 87113
(505) 299-0942
matthew.nighbert@soudermiller.com

The technical material and data contained in the specifications were prepared under the supervision and direction of Matthew A. Nighbert, P.E., whose seal as a Professional Engineer licensed to practice in the state of New Mexico is affixed below.

Matthew A. Nighbert
New Mexico PE License # 19479

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2. Required Documents for Bid Submittal
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6. Plans
7. Supplemental Specifications
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## INSTRUCTIONS TO BIDDERS

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SAN ILDEFONSO PUEBLO
2 Tunyo PO
Santa Fe NM, 875067258
505-455-2273

Account Number: S2809

Ad ID: 29397

Ordered By: Lou Harrington

Ad Cost: $137.40

Tax Amount: $11.59

Total Amount: $148.99

Amount Due: $148.99

PO Number: network

Sales Rep: Shaundel Moya

Order Taken by: Shaundel Moya

Payment: $0.00

Tagline: Legal #89381

Product:

Placement:

Ad Size: 1.22" x 13.03"

Modular Size:

Color:

Major Class: LEGAL NOTICES

Classification: Legals

Ad Type: Legal (wrapper)

# of Words: 339

Run Dates: 03/07/22

The Povi Kaa Drive project will include full depth pavement reconstruction, drainage structure improvements, and a walking path. The Shu Maa Po project will include a mill and overlay of the existing pavement. Bids will be received for a single prime Contract. Bids shall be on a unit price basis, with possible additive alternate bid items as indicated in the Bid Form.

A Mandatory Pre-Bid Conference will be held electronically on Tuesday, March 15, 2022, at 2:00 p.m. local time. Bids will not be accepted from Bidders that do not attend the Mandatory Pre-Bid Conference. Instructions regarding the meeting link are available in the
Bidding Documents, or any bidder can contact Ms. Lillian Garcia, Transportation Program Manager, at: lgarcia@sanipueblo.org at least one day prior to the meeting and request the corresponding meeting link.

Please direct any questions regarding the Bidding Documents and Process to Lillian Garcia, Transportation Program Manager at 505.455.4110, email: lgarcia@sanipueblo.org and Matthew Nighbert, P.E., Project Engineer, at matthew.nighbert@soudermiller.com. The last day for questions is Friday, March 18, 2022, at 5:00 p.m. local time.

Due to COVID-19 restrictions, the Bid Opening will be held electronically. All interested parties can request the corresponding meeting link from Ms. Lillian Garcia at least one day prior to the Bid Opening date.

Pub: March 07, 2022
ARTICLE 1 – DEFINED TERMS

1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below:

A. Issuing Office – The office from which the Bidding Documents are to be issued.

ARTICLE 2 – COPIES OF BIDDING DOCUMENTS

Complete sets of the Bidding Documents may be obtained from the Issuing Office or via the Engineer’s website www.soudermiller.com in the number and format stated in the advertisement or invitation to bid. The deposit, if applicable, will be refunded to each document holder of record who returns a complete set of Bidding Documents in good condition within 30 days after opening of Bids.

2.01 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

2.02 Bidding Documents in electronic media format are furnished to bidding contractors for the sole purpose of preparing bids, and not for construction. The selected construction Contractor shall not rely on files provided in electronic media format for construction but rather hard copies of such data provided by the Engineer or Owner upon award of the Contract.

2.03 Owner and Engineer, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license for any other use.

ARTICLE 3 – QUALIFICATIONS OF BIDDERS

3.01 Prior to bidding on the Project, Bidder must carry all licenses required under New Mexico state law to complete the Work, and be duly registered with the New Mexico Department of Workforce Solutions with an “Active” status. Refer to Title 14 Housing and Construction of the New Mexico Administrative Code (NMAC), Chapter 6, Part 6, for a listing of classifications of licenses and certificates issued by the Construction Industries Division (CID) of the New Mexico Regulation and Licensing Department required by law to perform the Work. All Subcontractors must carry all licenses required under New Mexico state law to complete the work which they are to perform. Bidder and all Subcontractors must be properly licensed according to the requirements of the Construction Industries Licensing Act, Chapter 60, Article 12 NMSA 1978 and ensure that such licenses shall remain in effect for the duration of the Work and warranty periods. All tiers of Subcontractors whose portion of the Work is valued at greater than $60,000 must also be duly registered with the New Mexico Department of Workforce Solutions prior to submittal of Bid, per Article 22 below. All electrical work, whether performed by Bidder or Bidder’s Subcontractor, shall be performed by a licensed electrician.

A. To demonstrate Bidder’s qualifications to perform the Work, the Bidder determined to have presented the lowest qualified Bid shall complete and submit the Bidder’s Qualifications Statement included in the Project Manual along with all supporting data to the Owner or Engineer, together with the Bid, which will be used in the evaluation of the Bid prior to Award, as stipulated in Article 19 of these Instructions to Bidders. The Bidder may be considered non-responsive if the Bidder’s Qualifications Statement is not submitted in a timely manner.
3.02 A Bidder’s failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.

3.03 No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder’s qualifications.

3.04 Bidder is advised to carefully review those portions of the Bid Form requiring Bidder’s representations and certifications.

ARTICLE 4 – SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER’S SAFETY PROGRAM; OTHER WORK AT THE SITE

4.01 Site and Other Areas

A. The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

4.02 Existing Site Conditions

A. Subsurface and Physical Conditions; Hazardous Environmental Conditions

1. The Supplementary Conditions identify:
   a. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site.
   b. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities).
   c. reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site.
   d. Technical Data contained in such reports and drawings.

2. Owner will make copies of reports and drawings referenced above available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

3. If the Supplementary Conditions do not identify Technical Data, the default definition of Technical Data set forth in Article 1 of the General Conditions will apply.

4. Geotechnical Report: The Bidding Documents contain a geotechnical report. The geotechnical report describes certain select subsurface conditions that are anticipated to be encountered by Contractor during construction in specified locations.

The conditions described in the geotechnical report are intended to reduce uncertainty and the degree of contingency in submitted Bids. However, Bidders cannot rely solely on these results and descriptions of conditions. Bids should be based on a comprehensive approach that includes an independent review and analysis of the geotechnical report, all other Contract Documents, Technical Data, other available
information, and observable surface conditions. Not all potential subsurface conditions are covered in the geotechnical report.

Nothing in the geotechnical report is intended to relieve Bidders of the responsibility to make their own determinations regarding construction costs, bidding strategies, and Bid prices, nor of the responsibility to select and be responsible for the means, methods, techniques, sequences, and procedures of construction, and for safety precautions and programs incident thereto.

B. Underground Facilities: Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site are set forth in the Contract Documents and are based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others. Bidder shall, per the provisions in the following paragraph, undertake additional subsurface investigation work to develop a sound understanding of subsurface conditions prior to preparing the Bid.

C. Adequacy of Data: Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraphs 5.03, 5.04, and 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 5.06 of the General Conditions.

4.03 Site Visit and Testing by Bidders

A. Bidder shall conduct the required Site visit during normal working hours, by appointment only, through the Pueblo de San Ildenfonso Governor’s Office (Lillian Garcia @ 505-455-4110), and shall not disturb any ongoing operations at the Site.

B. Bidder is not required to conduct any subsurface testing, or exhaustive investigations of Site conditions.

C. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner’s authority regarding the Site.

D. Bidder shall comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.

E. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.
4.04 Owner’s Safety Program

A. Site visits and work at the Site may be governed by an Owner safety program. As the General Conditions indicate, if an Owner safety program exists, it will be noted in the Supplementary Conditions.

4.05 Other Work at the Site

A. Reference is made to Article 8 of the Supplementary Conditions for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

ARTICLE 5 – BIDDER’S REPRESENTATIONS

5.01 It is the responsibility of each Bidder before submitting a Bid to:

A. examine and carefully study the Bidding Documents, and any data and reference items identified in the Bidding Documents;

B. visit the Site, conduct a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfy itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;

C. become familiar with and satisfy itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work;

D. carefully study all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings;

E. consider the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder’s safety precautions and programs;

F. agree, based on the information and observations referred to in the preceding paragraph, that at the time of submitting its Bid no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents;

G. become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
H. promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder;

I. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work; and

J. agree that the submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents

ARTICLE 6 – PRE-BID CONFERENCE

6.01 A Mandatory Pre-Bid conference will be held at the time and location stated in the invitation or advertisement to bid. Representatives of Owner and Engineer will be present to discuss the Project. Bidders are required to attend and participate in the conference. Engineer will transmit to all prospective Bidders of record such Addenda as Engineer considers necessary in response to questions arising at the conference. Oral statements may not be relied upon and will not be binding or legally effective. Any Bid presented by a Bidder who did not attend the Mandatory Pre-Bid Conference will not be considered.

ARTICLE 7 – INTERPRETATIONS AND ADDENDA

7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to Engineer in writing. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda delivered to all parties recorded as having received the Bidding Documents. Questions received less than seven days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.

7.02 Addenda may be issued to clarify, correct, supplement, or change the Bidding Documents.

ARTICLE 8 – BID SECURITY

8.01 A Bid must be accompanied by Bid security made payable to Owner in an amount of 5 percent (5%) of Bidder’s maximum Bid price (determined by adding the base bid and all alternates) and in the form of a certified check, bank money order, or a Bid bond (on the form included in the Bidding Documents) issued by a surety meeting the requirements of Paragraphs 6.01 and 6.02 of the General Conditions.

8.02 The Bid security of the apparent Successful Bidder will be retained until Owner awards the contract to such Bidder, and such Bidder has executed the Contract Documents, furnished the required contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited. Such forfeiture shall be Owner’s exclusive remedy if Bidder defaults.

8.03 The Bid security of other Bidders that Owner believes have a reasonable chance of receiving the award may be retained by Owner until the earlier of seven days after the Effective Date of the
Contract or 61 days after the Bid opening, whereupon Bid security furnished by such Bidders will be released.

8.04 Bid security of other Bidders that Owner believes do not have a reasonable chance of receiving the award will be released within seven days after the Bid opening.

ARTICLE 9 – CONTRACT TIMES

9.01 The number of days within which the Work is to be substantially completed and ready for final payment are set forth in the Agreement.

ARTICLE 10 – LIQUIDATED DAMAGES

10.01 Provisions for liquidated damages, if any, for failure to timely attain a Milestone, Substantial Completion, or completion of the Work in readiness for final payment, are set forth in the Agreement.

ARTICLE 11 – SUBSTITUTE AND “OR-EQUAL” ITEMS

11.01 The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration during the bidding and Contract award process of possible substitute or “or-equal” items. In cases in which the Contract allows the Contractor to request that Engineer authorize the use of a substitute or “or-equal” item of material or equipment, application for such acceptance may not be made to and will not be considered by Engineer until after the Effective Date of the Contract.

11.02 All prices that Bidder sets forth in its Bid shall be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as supplemented by Addenda. Any assumptions regarding the possibility of post-Bid approvals of “or-equal” or substitution requests are made at Bidder’s sole risk.

ARTICLE 12 – SUBCONTRACTORS, SUPPLIERS, AND OTHERS

12.01 If a List of Proposed Subcontractors is identified as a required attachment to the Bid Proposal, the Bidder is required to identify all Subcontractors whose subcontracted work surpasses the Subcontractor listing threshold of five thousand dollars ($5,000). Firms identified in the List of Proposed Subcontractors shall not be substituted except as permitted under 13-4-36 NMSA 1978 of the Subcontractors’ Fair Practices Act.

12.02 If the Bidder fails to specify a Subcontractor in excess of the listing threshold, the Bidder represents that the Bidder, as the prime Contractor, is fully qualified to perform that portion of the Work. For each such listed Subcontractor, the Bidder shall include the following information:

A. the name of Subcontractor that will perform work or labor or render service on the project identified in the Contract Documents and the city or county of its principal place of business; and

B. the category of the work that will be done by each Subcontractor; only one Subcontractor may be listed for each category of work as defined by the Bidder.

12.03 Contractor shall not substitute any person as Subcontractor in place of those identified on the List or Proposed Subcontractors without prior approval from Owner. (§ 13-4-36 NMSA 1978) The same applies to equipment manufacturers identified on the Equipment Suppliers List, when such a list is included in the Project Manual.
12.04 A Bidder shall be prepared to retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of the Work if required by the Bidding Documents (most commonly in the Specifications) to do so. If a prospective Bidder objects to retaining any such Subcontractor, Supplier, or other individual or entity, and the concern is not relieved by an Addendum, then the prospective Bidder should refrain from submitting a Bid.

12.05 Subsequent to the submittal of the Bid, Owner may not require the Successful Bidder or Contractor to retain any Subcontractor, Supplier, or other individual or entity against which Contractor has reasonable objection.

12.06 If requested by Owner, the apparent Successful Bidder shall provide to Owner an experience statement with pertinent information regarding similar projects and other evidence of qualification for each proposed Subcontractor, Supplier, or other individual or entity. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, Owner may, before the Notice of Award is given, request apparent Successful Bidder to submit an acceptable substitute, in which case apparent Successful Bidder shall submit a substitute, without an increase in Bid price.

12.07 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, or other individuals or entities. Declining to make requested substitutions will not constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, individual, or entity so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to subsequent revocation of such acceptance as provided in Paragraph 7.06 of the General Conditions.

ARTICLE 13 – PREPARATION OF BID

13.01 The Bid Form is included with the Bidding Documents.

A. All blanks on the Bid Form shall be completed in ink and the Bid Form signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A Bid price shall be indicated for each section, Bid item, alternate, adjustment unit price item, and unit price item listed therein.

B. If the Bid Form expressly indicates that submitting pricing on a specific alternate item is optional, and Bidder elects to not furnish pricing for such optional alternate item, then Bidder may enter the words “No Bid” or “Not Applicable.”

13.02 A Bid by a corporation shall be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation shall be shown.

13.03 A Bid by a limited liability company shall be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be shown.

13.04 A Bid by an individual shall show the Bidder’s name and official address.

13.05 A Bid by a joint venture shall be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be shown.

13.06 All names shall be printed in ink below the signatures.

13.07 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers of which shall be filled in on the Bid Form.
13.08 Postal and e-mail addresses and telephone number for communications regarding the Bid shall be shown.

13.09 The Bid shall contain evidence of Bidder’s authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder’s state contractor license number, if any, shall also be shown on the Bid Form.

ARTICLE 14 – BASIS OF BID

14.01 Unit Price

A. Bidders shall submit a Bid on a unit price basis for each item of Work listed in the unit price section of the Bid Form.

B. The “Bid Price” (sometimes referred to as the extended price) for each unit price Bid item will be the product of the “Estimated Quantity” (which Owner or its representative has set forth in the Bid Form) for the item and the corresponding “Bid Unit Price” offered by the Bidder. The total of all unit price Bid items will be the sum of these “Bid Prices”; such total will be used by Owner for Bid comparison purposes. The final quantities and Contract Price will be determined in accordance with Paragraph 13.03 of the General Conditions.

C. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.

14.02 Allowances

A. For cash allowances, when not already identified on the Bid Form, the Bid price shall include such amounts as the Bidder deems proper for Contractor’s overhead, costs, profit, and other expenses on account of cash allowances, if any, named in the Contract Documents, in accordance with Paragraph 13.02.B of the General Conditions.

ARTICLE 15 – SUBMITTAL OF BID

15.01 Bidder shall submit the completed Bid Form together with the Bid security and other documents required to be submitted together with Bid under the terms of Article 7 of the Bid Form.

15.02 A Bid shall be received no later than the date and time prescribed and at the place indicated in the advertisement or invitation to bid and shall be enclosed in a plainly marked package with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted), the name and address of Bidder, and shall be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate package plainly marked on the outside with the notation “BID ENCLOSED for the POVI KAA DRIVE & SHU MAA PO PROJECT.” A mailed Bid shall be addressed to Lillian Garcia.

15.03 Bids received after the date and time prescribed for the opening of bids, or not submitted at the correct location or in the designated manner, will not be accepted and will be returned to the Bidder unopened.

ARTICLE 16 – MODIFICATION AND WITHDRAWAL OF BID

16.01 A Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date
and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.

16.02 If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 16.01 and submit a new Bid prior to the date and time for the opening of Bids.

16.03 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is rebid, that Bidder will be disqualified from further bidding on the Work.

ARTICLE 17 – OPENING OF BIDS

17.01 Bids will be opened at the time and place indicated in the advertisement or invitation to bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

ARTICLE 18 – BIDS TO REMAIN SUBJECT TO ACCEPTANCE

18.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

ARTICLE 19 – EVALUATION OF BIDS AND AWARD OF CONTRACT

19.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible. If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, then the Owner will reject the Bid as nonresponsive; provided that Owner also reserves the right to waive all minor irregularities not involving price, time, or changes in the Work.

19.02 If Owner awards the contract for the Work, such award shall be to the responsible Bidder submitting the lowest responsive Bid.

19.03 Evaluation of Bids

A. In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.

B. If the Contract is to be awarded, Owner will award the Contract to the Bidder whose Bid is in the best interests of the Project. The Additive and/or Deductive Bid Items, as well as Alternate Bid Items are listed in order of priority on the Bid Form. Award will be made to the lowest responsible and responsive Bidder that offers the lowest aggregate amount for the Base Bid, plus or minus (in the order stated in the list of priorities on the Bid Form) those Additive or Deductive Bid Items, respectively, and Alternate Bid Items (if applicable) that fit within the funds determined available, and are in the Owner’s best interests. However, in the case of additive bid items, if adding another item from the bid schedule list of priorities would make the award exceed the available funds for all Bidders, the Owner reserves the right to skip that item and go to the next item from the list of Additive Bid Items. Ultimately,
all Bids will be evaluated on the basis of the same Base Bid plus Additive or Deductive, and Alternate Bid Items.

C. After determination of the Successful Bidder based on this comparative process and on the responsiveness, responsibility, and other factors set forth in these Instructions, the award may be made to said Successful Bidder on its base Bid and any combination of its additive alternate Bids for which Owner determines funds will be available at the time of award.

19.04 In evaluating whether a Bidder is responsible, Owner will consider the qualifications of the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.

19.05 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.

19.06 A Bid submitted by a resident contractor that has a valid resident business certificate issued by the taxation and revenue department pursuant to Section 13-1-22 NMSA 1978 (as amended) but does not include a resident veteran business, shall be deemed five percent (5%) lower than the Bid actually submitted, pursuant to Section 13-1-21 NMSA 1978 (as amended). When a joint bid or joint proposal is submitted by both resident and nonresident contractors, the resident contractor preference provided shall be reduced in proportion to the percentage of the contract, based on the dollar amount of the goods or services provided under the contract, that will be performed by a nonresident contractor as specified in the joint bid or joint proposal.

19.07 A Bid submitted by a resident veteran contractor that has a valid resident veteran business certificate issued by the taxation and revenue department pursuant to Section 13-1-22 NMSA 1978 (as amended), and with annual gross revenues of up to three million dollars ($3,000,000) in the preceding tax year, shall be deemed ten percent (10%) lower than the Bid actually submitted, pursuant to Section 13-4-2 NMSA 1978 (as amended). When a joint bid or joint proposal is submitted by a combination of resident veteran, resident or nonresident contractors, the preference provided pursuant to Section 13-1-22 NMSA 1978 shall be calculated in proportion to the percentage of the contract, based on the dollar amount of the goods or services provided under the contract, that will be performed by each contractor as specified in the joint bid or joint proposal.

19.08 The resident contractor preference and resident veteran contractor preference shall not be awarded together. If the resident veteran contractor preference applies, it shall be in place of the 5% resident contractor preference.

19.09 A five percent (5%) Indian preference will be applied by adding 5% to all non-Indian contractors’ bid amounts for the purpose of cost comparison. However, only the actual bid amount will be used in the Agreement. Any Bidder wishing to be considered for the Indian preference must provide documentation of eligibility with his or her Bid proposal. The 5% Indian preference is available to all prime contractors who demonstrate Indian ownership / preference status in accordance with their own Tribe’s laws. This applies to non-Naavo tribes, as well as Navajo-owned contractors. In the case of Navajo-owned firms, both Priority 1 and Priority 2 contractors are eligible for the Indian preference.

19.10 If the Contract is to be awarded, Owner will award the Contract to the responsible Bidder whose Bid, conforming with all the material terms and conditions of the Instructions to Bidders, is lowest, after the 5% resident contractor preference or 5% Indian preference] is considered. The Indian preference and the resident contractor are not cumulative. In the event a Bidder is eligible for more than one preference, the most favorable one to the Bidder shall apply.
19.11 Protests:

A. Any Bidder, Offeror, or Contractor who is aggrieved in connection with this procurement (Bid) may protest to the Owner in accordance with the Owners’ requirements. The protest should be made in writing within twenty-four (24) hours after knowledge of the facts or occurrences giving rise thereto, but in no case more than fifteen (15) calendar days after knowledge of the facts or occurrences giving rise to the protest. (§ 13-1-172 NMSA 1978)

B. The complete procedures and requirements regarding protest are available from the Owner upon request. (§ 13-1-172 to § 13-1-176 NMSA 1978)

ARTICLE 20 – BONDS AND INSURANCE

20.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner’s requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the Agreement (executed by Successful Bidder) to Owner, it shall be accompanied by required bonds and insurance documentation.

ARTICLE 21 – SIGNING OF AGREEMENT

21.01 When Owner issues a Notice of Award to the Successful Bidder, it shall be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 15 days thereafter, Successful Bidder shall execute and deliver the required number of counterparts of the Agreement (and any bonds and insurance documentation required to be delivered by the Contract Documents) to Owner. Within ten days thereafter, Owner shall deliver one fully executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

ARTICLE 22 – SALES AND USE TAXES

22.01 New Mexico Gross Receipts Tax shall not be included in the Bid, except where specifically requested on the Bid form.

ARTICLE 23 – RETAINAGE

23.01 No retainage will be held on the project.

23.02 For the present project, a total of forty-five (45) days is required by the Owner to process any payment related to the Work, as detailed in the Supplementary Conditions.

ARTICLE 24 – NM DEPARTMENT OF WORKFORCE SOLUTIONS REGISTRATION

24.01 A Bidder that submits a Bid valued at more than sixty thousand dollars ($60,000) must be registered with the Labor Relations Division, New Mexico Department of Workforce Solutions (NMDWS), Public Works Bureau, with an “Active” status, prior to submittal of Bid. The Bidder must enter his NMDWS registration number on the Bid Form and include a copy of the registration with the NMDWS as per Article 15 herein. The Bid presented by a Bidder who does not meet this requirement shall not be considered for award, pursuant to NMSA 1978, Section 13-4-13.1.

24.02 All tiers of Subcontractors shall be subject to this same requirement. The Bidder must also enter the Labor Relations Division, New Mexico Department of Workforce Solutions (NMDWS), Public Works Bureau registration number on the List of Proposed Subcontractors for each Subcontractor
when the value of the subcontracted work will exceed sixty thousand dollars $60,000, as stated above. Any Subcontractor who lacks current registration, with an “Active” status, with NMDWS as of the date of Bid will be rejected, and the General Contractor will be required to substitute another registered Subcontractor acceptable to the Owner without any increase in Bid price.

ARTICLE 25 – SUBCONTRACTORS FAIR PRACTICES ACT

25.01 The Subcontractors Fair Practices Act (SCFPA) requires that Payment and Performance Bonds be prepared and presented by the prime Contractor and first-tier Subcontractors only. The Subcontractor’s bonds are to be payable to the prime Contractor, not the Owner.

25.02 The Performance and Payment bond provided by an affected Subcontractor should follow current law in the SCFPA (§ 13-4-37 NMSA 1978) that is:
   A. Be issued by a corporate surety authorized to do business in New Mexico in accordance with the New Mexico Insurance Code;
   B. Be a surety listed in the US Treasury Circular 570;
   C. Name the prime Contractor as the obligee.

25.03 Pursuant to NMSA 1978, Section 13-1-148.1, a Subcontractor shall provide Performance and Payment Bonds if the Subcontractor’s contract (to the Contractor) for work to be performed is one hundred twenty-five thousand dollars ($125,000), or more. Failure of a Subcontractor to provide the required bonds shall not subject Owner to any increase in cost due to approved substitution of Subcontractor.

25.04 The Performance and Payment Bond required shall be provided to the general Contractor at the time the subcontract is executed. The Performance and Payment Bond is at the expense of the Subcontractor and should clearly state the amount and requirements of the bond. (§ 13-4-37 NMSA 1978)
BID FORM

POVI KAA DRIVE
Project No. NP401(1)2&4
Contract No. A08AV00051 (CTM00T71301)

&

SHU MAA PO
Project No. NP402(1)2&4
Contract No. A11V00280(CMT00T71304)

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ARTICLE 1 – BID RECIPIENT

1.01 This Bid is submitted to:

Pueblo de San Ildefonso
02 Tunyo Po
Santa Fe, NM 87506

1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.
ARTICLE 2 – BIDDER’S ACKNOWLEDGEMENTS

2.01 Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

ARTICLE 3 – BIDDER’S REPRESENTATIONS

3.01 In submitting this Bid, Bidder represents that:

A. Bidder has examined and carefully studied the Bidding Documents, and any data and reference items identified in the Bidding Documents, and hereby acknowledges receipt of the following Addenda:

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<th>Addendum No.</th>
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<th>Addendum No.</th>
<th>Addendum Date</th>
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B. Bidder has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfied itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

C. Bidder is familiar with and has satisfied itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work.

D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.

E. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and any Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder’s safety precautions and programs.

F. Bidder agrees, based on the information and observations referred to in the preceding paragraph, that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.
G. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.

H. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and confirms that the written resolution thereof by Engineer is acceptable to Bidder.

I. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work.

J. The submission of this Bid constitutes an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, and that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

ARTICLE 4 – BIDDER’S CERTIFICATION

4.01 Bidder certifies that:

A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;

B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;

C. Bidder has not solicited or induced any individual or entity to refrain from bidding; and

D. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 4.01.D:

1. “corrupt practice” means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process;

2. “fraudulent practice” means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;

3. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and

4. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.
ARTICLE 5 – BASIS OF BID

5.01 Bidder will complete the Work in accordance with the Contract Documents for the following price(s) for **POVI KAA DRIVE (BASE BID)**:

**Note:** Gross receipts tax not included.

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<th>ITEM NO.</th>
<th>BID ITEM NO.</th>
<th>ITEM DESCRIPTION</th>
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**TOTAL OF POVI KAA BASE BID:** $____________________________

**IN WORDS:** ________________________________
5.02 Bidder will complete the Work in accordance with the Contract Documents for the following price(s) for **SHU MAA PO (BASE BID)**:

**Note:** Gross receipts tax not included.

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<td>8</td>
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<td>63301-0000</td>
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<td>TEMPORARY TRAFFIC CONTROL PLAN</td>
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</table>

**TOTAL OF SHU MAA PO BASE BID:** $______________________________

**IN WORDS:** ______________________________________________
5.03 Bidder will complete the Work in accordance with the Contract Documents for the following price(s) for **POVI KAA (ADDITIVE BID ALTERNATIVE)**:

**Note:** Gross receipts tax not included.

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>BID ITEM NO.</th>
<th>ITEM DESCRIPTION</th>
<th>UNIT</th>
<th>EST. QTY.</th>
<th>UNIT PRICE</th>
<th>TOTAL PRICE</th>
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<td>1</td>
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<td>4</td>
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<td>5</td>
<td>64604-3000</td>
<td>FIXTURE, PEDESTRIAN RAILING</td>
<td>LIN. FT.</td>
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</tbody>
</table>

**TOTAL OF POVI KAA ADDITIVE BID ALTERNATIVE:** $____________________________

**IN WORDS:** ______________________________

Bidder acknowledges that (1) each Bid Unit Price includes an amount considered by Bidder to be adequate to cover Contractor’s overhead and profit for each separately identified item, and (2) estimated quantities are not guaranteed, and are solely for the purpose of comparison of Bids, and final payment for all unit price Bid items will be based on actual quantities, determined as provided in the Contract Documents.

**ARTICLE 6 – TIME OF COMPLETION**

6.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.

6.02 Bidder accepts the provisions of the Agreement as to liquidated damages.

**ARTICLE 7 – ATTACHMENTS TO THIS BID**

7.01 The following documents are submitted with and made a condition of this Bid:

A. Required Bid security;
B. List of Proposed Subcontractors;
C. Required Bidder Qualification Statement with supporting data;
D. Evidence of authority to do business in the state of the Project;
E. New Mexico Contractor’s License No.: ______________________________;
F. Copy of Registration with the Labor Relations Division, New Mexico Department of Workforce Solutions, Public Works Bureau;
G. Copy of a valid resident business certificate issued by the taxation and revenue department of the State of New Mexico (if applicable);
H. Copy of a valid resident veteran business certificate issued by the taxation and revenue department of the State of New Mexico (if applicable);
I. Documentation of eligibility for Indian preference status (if applicable);
J. Campaign Contribution Disclosure Form.
ARTICLE 8 – DEFINED TERMS

8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

ARTICLE 9 – BID SUBMITTAL

BIDDER: [Indicate correct name of bidding entity]

By: [Signature]
[Printed name]
(If Bidder is a corporation, a limited liability company, a partnership, or a joint venture, attach evidence of authority to sign.)

Attest: [Signature]
[Printed name]

Title:
Submittal Date:

Address for giving notices:

Telephone Number:
Fax Number:
Contact Name and e-mail address:

New Mexico Department of Workforce Solutions Registration No. 

Is Bidder eligible for Resident Contractor Preference as defined in the Instructions to Bidders?

Yes ______ Circle one: Resident Contractor Preference
No ______
If yes, attach documentation of Resident Contractor eligibility.

Is Bidder eligible for Indian Preference as defined in the Instructions to Bidders? Yes ________ No ________

If yes, attach documentation of Indian Preference eligibility.
BID BOND

Any singular reference to Bidder, Surety, Owner or other party shall be considered plural where applicable.

BIDDER (Name and Address):

SURETY (Name, and Address of Principal Place of Business):

OWNER (Name and Address):

BID
Bid Due Date:
Description (Project Name—Include Location):

BOND
Bond Number:
Date:
Penal sum $ (Words) $ (Figures)

Surety and Bidder, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Bid Bond to be duly executed by an authorized officer, agent, or representative.

BIDDER
Bidder’s Name and Corporate Seal
By:
Signature
Print Name
Title
Attest:
Signature
Title

SURETY
Surety’s Name and Corporate Seal
By:
Signature (Attach Power of Attorney)
Print Name
Title
Attest:
Signature
Title

Note: Addresses are to be used for giving any required notice. Provide execution by any additional parties, such as joint venturers, if necessary.
1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder’s and Surety’s liability. Recovery of such penal sum under the terms of this Bond shall be Owner’s sole and exclusive remedy upon default of Bidder.

2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.

3. This obligation shall be null and void if:

   3.1 Owner accepts Bidder’s Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or

   3.2 All Bids are rejected by Owner, or

   3.3 Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).

4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.

5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from the Bid due date without Surety’s written consent.

6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after the Bid due date.

7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.

8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.

9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.

10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.

11. The term “Bid” as used herein includes a Bid, offer, or proposal as applicable.
LIST OF PROPOSED SUBCONTRACTORS

The following listing must be completed and signed by the Bidder and submitted with the Bid Proposal. Bids submitted without this completed and signed listing or with more than one listed for each item may be considered non-responsive. The subcontractor listing threshold shall be as indicated in the Instructions to Bidders. If none of the work will be subcontracted, Bidder shall write “NONE”, sign the sheet, and include with Bid to avoid being found non-responsive.

The General Contractor will determine categories of work that will be performed by the General Contractor, Subcontractors, and Tiers of Subcontractors. The following will be employed to perform the designated categories of work under this Contract.

Prior to award of the contract to the lowest qualified Bidder, the Contractor may be required to supply additional information regarding the Subcontractors listed below, as called for in the Instructions to Bidders, Bidder’s Qualifications Statement, and in the technical specifications.

Contractor shall not substitute any person as Subcontractor in place of those identified on this form without prior approval from Owner. (§ 13-4-36)

** Important Note Related to Public Works Projects: ** Contractor and all tiers of Subcontractors must be in compliance with the New Mexico Public Works Minimum Wage Act. Any Subcontractor whose work is valued at greater than $60,000 must be registered with the Labor Relations Division, New Mexico Department of Workforce Solutions, Public Works Bureau at the time of Bid [13-4-13.1 NMSA 1978], and their registration number included below. If such registration is not in place and current as of the date of Bid, the Subcontractor will be rejected and the General Contractor will be required to substitute another Subcontractor acceptable to the Owner without any increase in Bid price.

<table>
<thead>
<tr>
<th>* Category of Work</th>
<th>Firm Name and Business Address, Phone # and License Number of Subcontractors</th>
<th>** Range</th>
<th>NM Department of Workforce Solutions Registration No. (if applicable)</th>
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C-440 List of Proposed Subcontractors
Page 1 of 2
List of Proposed Subcontractors - Continued

<table>
<thead>
<tr>
<th>Category of Work</th>
<th>Firm Name and Business Address, Phone # and License Number of Subcontractors</th>
<th>** Range</th>
<th>NM Department of Workforce Solutions Registration No. (if applicable)</th>
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Use additional sheets if necessary.

Attest: ____________________________________________

Authorized Officer ____________________________

Date ____________________________

___________________________________________

Name and Title

___________________________________________

Name of Firm

* Place title of subcontractor specialty.

** Subcontractor’s contract range: In the column marked “Range”, enter the letter corresponding to the subcontract amount.

A = Equal to or greater than $5,000 but less than $15,000
B = Equal to or greater than $15,000 but less than $50,000
C = Equal to or greater than $50,000
BIDDER’S QUALIFICATIONS STATEMENT

Important Note:
Completion of this statement is required of all Bidders and must be submitted together with the Bid, as stipulated in the Instructions to Bidders.

PROJECT TITLE: Povi Kaa Drive and Shu Maa Po

SUBMITTED BY: 
(Print or Type Name of Bidder)

ADDRESS:

The undersigned certifies the truth and correctness of all statements and of all answers to questions made hereinafter. Use additional sheets for any responses, as necessary.

1. How many years has your organization been in business as a utilities contractor? __________

2. How many years has your organization been in business under its present name? __________

3. If a corporation, answer the following:
   a. Date of Incorporation: ________________________________
   b. State of Incorporation: ________________________________
   c. President’s Name: ________________________________
   d. Vice President’s Name: ________________________________
   c. Secretary or Clerk’s Name: ________________________________
   d. Treasurer’s Name: ________________________________

4. If individual or partnership, answer the following:
   a. Date of Organization: ________________________________
   b. Name and Address of all Partners:
      (State if general or limited partnership)
      ____________________________________________________
      ____________________________________________________
      ____________________________________________________
      ____________________________________________________

C-444 Bidder’s Qualifications Statement
Page 1 of 5
5. If other than corporation or partnership, describe organization and name principals. __________

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

6. Do you plan to subcontract any part of this Project? _____ If so, briefly describe below and identify subcontractors on the List of Proposed Subcontractors form included in these Contract Documents, that meet the listing threshold. ________________________________

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

7. Has any construction contract to which you have been a party, or any subcontractor identified on the List of Proposed Subcontractors form has been a party, been terminated for convenience or for cause; have you ever been debarred from contracting with any entity, public or private; have you ever terminated work on a project prior to its completion for any reason; have you ever filed a claim for disputed work; has any owner or prime contractor to which you've provided a performance bond ever put your surety on notice of their intent to terminate; has any surety which issued a performance bond on your behalf ever completed the work in its own name or financed such completion on your behalf; has any surety expended any monies in connection with the contract for which they furnished a bond on your behalf; has any subcontractor or supplier ever placed a claim against your payment bond; have you been late in completing a project during the last five years resulting in the assessment of liquidated damages? If the answer to any portion of this question is “yes”, please furnish details of all such occurrences including name of subcontractor (if applicable), owner, architect or engineer, and surety, and name and date of project. ________________________________

________________________________________

________________________________________

________________________________________

________________________________________

C-444 Bidder’s Qualifications Statement
Page 2 of 5
8. Has any officer or partner of your organization ever been an officer or partner of another organization that had any construction contract terminated for convenience or for cause; been debarred from contracting with any entity, public or private; terminated work on a project prior to its completion for any reason; filed a claim for disputed work; had any surety which issued a performance bond completed the work in its own name or financed such completion or ever been put on notice of intent to terminate; or had any surety expended any monies in connection with a contract for which they furnished a bond? If the answer to any portion of this question is “yes”, please furnish details of all such occurrences, including name of owner, architect or engineer, and surety, and name and date of project.

9. List name of project, owner, architect or engineer, contract amount, percent complete and scheduled completion of the major construction projects your organization has in progress on this date. Include name, address and telephone number of a reference for each project listed.
10. List name of project, owner, architect or engineer, contract amount, date of completion and percent of work with own forces of the major projects of the same general nature as this project which your organization has completed in the past five years. Include name, address and telephone number of a reference for each project listed.

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

11. List name and construction experience of the principal individual of your organization.

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________
12. List the states and categories of construction in which your organization is legally qualified to do business. Include all license classifications your organization possesses in the State of New Mexico, as stipulated in the New Mexico Administrative Code (NMAC), Title 14, Chapter 6, Part 6.

________________________________________

________________________________________

________________________________________

________________________________________

13. List name, address, and telephone number of an individual who represents each of the following and who may be contacted for a financial reference.

a. A surety: ______________________________________
   ______________________________________
   ______________________________________

b. A bank: ______________________________________
   ______________________________________
   ______________________________________

c. A major material supplier: __________________________
   ______________________________________
   ______________________________________

14. The Owner may require the low Bidder to submit a financial statement, prepared on an accrual basis in a form that clearly indicates Bidder’s assets, liabilities and net worth, plus additional information concerning past job performance such as list of last ten jobs you purchased a bond for along with name of surety on each, prior to issuance of the Notice of Award.

Dated this ______________________ day of ______________________ 20________

Bidder: __________________________________________
   (Print or Type Name of Bidder)

By: __________________________________________

Title: __________________________________________
   (Seal, if Corporation)
NOTICE OF AWARD

Date of Issuance:

Owner: 
Owner’s Contract No.: 

Engineer: 
Engineer’s Project No.: 

Project: 
Contract Name: 

Bidder: 
Bidder’s Address: 

TO BIDDER:

You are notified that Owner has accepted your Bid dated ____________________ for the above Contract, and that you are the Successful Bidder and are awarded a Contract for:

______________________________________________________________________________________

The Contract Price of the awarded Contract is: $ __________[note if subject to unit prices, or cost-plus]

[ ] unexecuted counterparts of the Agreement accompany this Notice of Award, and one copy of the Contract Documents accompanies this Notice of Award, or has been transmitted or made available to Bidder electronically.

☐ a set of the Drawings will be delivered separately from the other Contract Documents.

You must comply with the following conditions precedent within 15 days of the date of receipt of this Notice of Award:

1. Deliver to Owner [____] counterparts of the Agreement, fully executed by Bidder.
2. Deliver with the executed Agreement(s) the Contract security [e.g., performance and payment bonds] and insurance documentation as specified in the Instructions to Bidders and General Conditions, Articles 2 and 6.
3. Other conditions precedent (if any):

Failure to comply with these conditions within the time specified will entitle Owner to consider you in default, annul this Notice of Award, and declare your Bid security forfeited.

Within ten days after you comply with the above conditions, Owner will return to you one fully executed counterpart of the Agreement, together with any additional copies of the Contract Documents as indicated in Paragraph 2.02 of the General Conditions.

Owner:

Authorized Signature

By:

Title:

Date Issued:

Copy: 

Engineer
THIS AGREEMENT is by and between Pueblo de San Ildefonso ("Owner") and ___________________________________________________ ("Contractor").

Owner and Contractor hereby agree as follows:

ARTICLE 1 – WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:

Clearing and grubbing, roadway excavation, cold-milling, subgrade preparation, curb and gutter, concrete irrigation structures, base course, asphalt paving, and permanent signing and striping.

ARTICLE 2 – THE PROJECT

2.01 The Project, of which the Work under the Contract Documents is a part, is described as follows:

POVI KAA DRIVE: Project No. NP401(1)2&4; Contract No. A08AV00051 (CTM00T71301) and

SHU MAA PO: Project No. NP402(1)2&4; Contract No. A11V00280 (CTM00T71304)

ARTICLE 3 – ENGINEER

3.01 The Project has been designed by Miller Engineers, Inc. d/b/a Souder, Miller & Associates ("Engineer") who will act as Owner’s representative, assume all duties and responsibilities, and have the rights and authority assigned to Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

ARTICLE 4 – CONTRACT TIMES

4.01 Time of the Essence

A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

4.02 Contract Times: Days

A. The Work will be substantially completed within 60 working days after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions, and completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions within 75 working days after the date when the Contract Times commence to run.

4.03 Liquidated Damages

A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the times specified in Paragraph 4.02 above, plus any
extensions thereof allowed in accordance with the Contract. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):

1. **Substantial Completion**: Contractor shall pay Owner $1,000.00 for each day that expires after the time (as duly adjusted pursuant to the Contract) specified in Paragraph 4.02.A above for Substantial Completion until the Work is substantially complete.

2. **Completion of Remaining Work**: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner $1,000.00 for each day that expires after such time until the Work is completed and ready for final payment.

3. Liquidated damages for failing to timely attain Substantial Completion and final completion are not additive and will not be imposed concurrently.

### 4.04 Special Damages

**A.** In addition to the amount provided for liquidated damages, Contractor shall reimburse Owner (1) for any fines or penalties imposed on Owner as a direct result of the Contractor’s failure to attain Substantial Completion according to the Contract Times, and (2) for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Substantial Completion (as duly adjusted pursuant to the Contract), until the Work is substantially complete.

**B.** After Contractor achieves Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times, Contractor shall reimburse Owner for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Work to be completed and ready for final payment (as duly adjusted pursuant to the Contract), until the Work is completed and ready for final payment.

### ARTICLE 5 – CONTRACT PRICE

5.01 **Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents the amounts that follow, subject to adjustment under the Contract:**

**A.** For all Work, at the prices stated in Contractor’s Bid, attached hereto as an exhibit, with an estimated total of all unit price work equivalent to $________________________

The extended prices for Unit Price Work set forth as of the Effective Date of the Contract are based on estimated quantities. As provided in Paragraph 13.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer.
ARTICLE 6 – PAYMENT PROCEEDURES

6.01  **Submittal and Processing of Payments**

   A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.

6.02  **Progress Payments; Retainage**

   A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor’s Applications for Payment on or about the 25th day of each month during performance of the Work as provided in Paragraph 6.02.A.1 below, provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.

   1. Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Owner may withhold, including but not limited to liquidated damages, in accordance with the Contract.

      a. 95 percent of Work completed (with the balance being retainage). If the Work has been 50 percent completed as determined by Engineer, and if the character and progress of the Work have been satisfactory to Owner and Engineer, then as long as the character and progress of the Work remain satisfactory to Owner and Engineer, there will be no additional retainage; and

      b. 95 percent of cost of materials and equipment not incorporated in the Work (with the balance being retainage).

   B. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less 200 percent of Engineer’s estimate of the value of Work to be completed or corrected as shown on the punch list of items to be completed or corrected prior to final payment.

6.03  **Final Payment**

   A. Upon final completion and acceptance of the Work in accordance with Paragraph 15.06 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineer as provided in said Paragraph 15.06.

ARTICLE 7 – INTEREST

7.01  All amounts not paid when due shall bear interest at the rate of 1.5% per month, or other rate mutually agreed between the Owner and Contractor prior to presentation of corresponding Application for Payment.
ARTICLE 8 – CONTRACTOR’S REPRESENTATIONS

8.01 In order to induce Owner to enter into this Contract, Contractor makes the following representations:

A. Contractor has examined and carefully studied the Contract Documents, and any data and reference items identified in the Contract Documents.

B. Contractor has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

C. Contractor is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.

D. Contractor has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.

E. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (3) Contractor’s safety precautions and programs.

F. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.

G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.

H. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.

I. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.

J. Contractor’s entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

ARTICLE 9 – CONTRACT DOCUMENTS

9.01 Contents

A. The Contract Documents consist of the following:

1. This Agreement (pages 1 to 8, inclusive).
2. Performance bond (pages 1 to 3, inclusive).
3. Payment bond (pages 1 to 3, inclusive).
4. Contractor’s Bid Form [EJCDC C-410] (pages 1 to 6, inclusive), plus required attachments to the Bid as stipulated in Article 7 of the Bid Form.
5. General Conditions (pages 1 to 65, inclusive).
6. Supplementary Conditions (pages 1 to 11, inclusive).
7. Appendices as listed in the Index to Appendices of the Project Manual.
8. Technical Specifications as listed in the table of contents of the Project Manual.
9. Drawings listed on the attached sheet index. [as listed in the table of contents of the Project Manual].
10. Addenda (numbers ___ to ___, inclusive).
11. Attachments to this Agreement (enumerated as follows):
   a. Contractor’s Bid (pages 1 to ___, inclusive), plus required attachments to the Bid as stipulated in Article 7 of the Bid Form, including but not necessarily limited to List of Proposed Subcontractors.
12. The following, which may be delivered or issued on or after the Effective Date of the Contract and are not attached hereto:
   a. Notice to Proceed.
   b. Work Change Directives.
   c. Change Orders.
   d. Field Orders.
B. The documents listed in Paragraph 9.01.A are attached to this Agreement (except as expressly noted otherwise above).
C. There are no Contract Documents other than those listed above in this Article 9.
D. The Contract Documents may only be amended, modified, or supplemented as provided in the General Conditions.

**ARTICLE 10 – MISCELLANEOUS**

10.01 Terms
A. Terms used in this Agreement will have the meanings stated in the General Conditions and the Supplementary Conditions.

10.02 Assignment of Contract
A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.
10.03 *Successors and Assigns*

A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

10.04 *Severability*

A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

10.05 *Contractor’s Certifications*

A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 10.05:

1. “corrupt practice” means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;

2. “fraudulent practice” means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;

3. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and

4. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

10.06 *Other Provisions*

A. Owner stipulates that if the General Conditions that are made a part of this Contract are based on EJCDC® C-700, Standard General Conditions for the Construction Contract, published by the Engineers Joint Contract Documents Committee®, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor in the Supplementary Conditions.

B. Non-Appropriations Clause: The terms of this Agreement are contingent upon sufficient appropriations and authorization being made by the Legislature of New Mexico for the performance of this Agreement. If sufficient appropriations and authorization are not made by the Legislature, the Owner may immediately terminate this Agreement by giving the Contractor written notice of such termination. The Owner’s decision as to whether sufficient appropriations are available shall be accepted by the Contractor and shall be final. Contractor hereby waives any rights to assert an impairment of contract claim against the Owner or the New Mexico Indian Affairs Department or the State of New Mexico in the event of immediate or Early Termination of this Agreement by the Owner or the Department.
IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement.

This Agreement will be effective on _________ (which is the Effective Date of the Contract).

OWNER: ____________________________________________

By: ____________________________________________

Title: ____________________________________________

(If Contractor is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)

Attest: ____________________________________________

Title: ____________________________________________

Address for giving notices:

________________________________________________

________________________________________________

________________________________________________

License No.: ________________________

(where applicable)

CONTRACTOR: ____________________________________________

By: ____________________________________________

Title: ____________________________________________

(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, attach evidence of authority to sign and resolution or other documents authorizing execution of this Agreement.)

Agency Concurrence:

________________________________________________
NOTICE TO PROCEED

Owner: Pueblo de San Ildefonso  
Owner's Contract No.: NP401(1)2&4  
A08AV00051 (CTM00T71301)  
NP402(1)2&4  
A11V00280 (CTM00T71304)

Contractor:  
Contractor's Project No.:  

Engineer: Souder, Miller & Associates  
Engineer's Project No.: 9425386

Project: Povi Kaa Drive & Shu Maa Po  
Contract Name:  

Effective Date of Contract:

TO CONTRACTOR:

Owner hereby notifies Contractor that the Contract Times under the above Contract will commence to run on ____________________, 2022.

On that date, Contractor shall start performing its obligations under the Contract Documents. No Work shall be done at the Site prior to such date. In accordance with the Agreement, the number of days to achieve Substantial Completion is __________60__________, and the number of days to achieve readiness for final payment is __________75_________ thereafter.

Before starting any Work at the Site, Contractor must comply with the following:

Owner:  
Authorized Signature

By:  

Title:  

Date Issued:  

Copy: Engineer
PERFORMANCE BOND

CONTRACTOR (name and address): SURETY (name and address of principal place of business):

OWNER (name and address):

CONSTRUCTION CONTRACT
Effective Date of the Agreement:
Amount:
Description (name and location):

BOND
Bond Number:
Date (not earlier than the Effective Date of the Agreement of the Construction Contract):
Amount:
Modifications to this Bond Form: □ None □ See Paragraph 16

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

Contractor’s Name and Corporate Seal
By: ____________________________
Signature
Print Name
Title
Attest: ____________________________
Signature
Title

SURETY

Surety’s Name and Corporate Seal
By: ____________________________
Signature (attach power of attorney)
Print Name
Title
Attest: ____________________________
Signature
Title

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.
1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.

3. If there is no Owner Default under the Construction Contract, the Surety’s obligation under this Bond shall arise after:

3.1 The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor’s performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner’s notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 shall be held within ten (10) business days of the Surety’s receipt of the Owner’s notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner’s right, if any, subsequently to declare a Contractor Default.

3.2 The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and

3.3 The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 shall not constitute a failure to comply with a condition precedent to the Surety’s obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety’s expense take one of the following actions:

5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;

5.2 Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;

5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or

5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:

7.1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;

7.2 additional legal, design professional, and delay costs resulting from the Contractor’s Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and

7.3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety’s liability is limited to the amount of this Bond.

9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.
10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

11. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.

13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

14. Definitions

14.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

14.2 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.

14.3 Contractor Default: Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.

14.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

14.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.

15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

16. Modifications to this Bond are as follows:
PAYMENT BOND

CONTRACTOR (name and address):  

SURETY (name and address of principal place of business):

OWNER (name and address):

CONSTRUCTION CONTRACT
   Effective Date of the Agreement:
   Amount:
   Description (name and location):

BOND
   Bond Number:
   Date (not earlier than the Effective Date of the Agreement of the Construction Contract):
   Amount:
   Modifications to this Bond Form:  ☐ None  ☐ See Paragraph 18

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL  

Surety

Contractor’s Name and Corporate Seal  

Surety’s Name and Corporate Seal

By:  

By:  

Signature

Signature (attach power of attorney)

Print Name

Print Name

Title

Title

Attest:  

Attest:  

Signature

Signature

Title

Title

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.
1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.

2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.

3. If there is no Owner Default under the Construction Contract, the Surety’s obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner’s property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.

4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety’s expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.

5. The Surety’s obligations to a Claimant under this Bond shall arise after the following:

   5.1 Claimants who do not have a direct contract with the Contractor,

      5.1.1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and

      5.1.2 have sent a Claim to the Surety (at the address described in Paragraph 13).

   5.2 Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).

6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant’s obligation to furnish a written notice of non-payment under Paragraph 5.1.1.

7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety’s expense take the following actions:

   7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and

   7.2 Pay or arrange for payment of any undisputed amounts.

   7.3 The Surety’s failure to discharge its obligations under Paragraph 7.1 or 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney’s fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.

8. The Surety’s total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney’s fees provided under Paragraph 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.

9. Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner’s priority to use the funds for the completion of the work.

10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.

11. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
12. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

13. Notice and Claims to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.

14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

16. Definitions

16.1 Claim: A written statement by the Claimant including at a minimum:

1. The name of the Claimant;
2. The name of the person for whom the labor was done, or materials or equipment furnished;
3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
4. A brief description of the labor, materials, or equipment furnished;
5. The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
7. The total amount of previous payments received by the Claimant; and
8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.

16.2 Claimant: An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic’s lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms of “labor, materials, or equipment” that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor’s subcontractors, and all other items for which a mechanic’s lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

16.3 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

16.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

16.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.

17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

18. Modifications to this Bond are as follows:
CERTIFICATE OF INSURANCE

Certificate of Insurance to be inserted here, in accordance with the General Conditions and coverages as stipulated in the Supplementary Conditions.
### Contractor's Application for Payment

#### Application For Payment

<table>
<thead>
<tr>
<th>To (Owner):</th>
<th>From (Contractor):</th>
<th>Via (Engineer):</th>
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<th>Owner's Contract No.:</th>
<th>Contractor's Project No.:</th>
<th>Engineer's Project No.:</th>
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#### Change Order Summary

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<tr>
<th>Number</th>
<th>Deductions</th>
<th>Additions</th>
<th>Approved Change Orders</th>
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<tbody>
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<table>
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<tr>
<th>1. ORIGINAL CONTRACT PRICE</th>
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<tr>
<td>2. Net change by Change Orders</td>
<td>$</td>
</tr>
<tr>
<td>3. Current Contract Price (Line 1 ± 2)</td>
<td>$</td>
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</tbody>
</table>
| 4. TOTAL COMPLETED AND STORED TO DATE  
(Column F total on Progress Estimates) | $ |
| 5. RETAINAGE:  
a. X Work Completed | $ |
| b. X Stored Material | $ |
| c. Total Retainage (Line 5.a + Line 5.b) | $ |
| 6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5.c) | $ |
| 7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application) | $ |
| 8. AMOUNT DUE THIS APPLICATION | $ |
| 9. BALANCE TO FINISH, PLUS RETAINAGE  
(Column G total on Progress Estimates + Line 5.c above) | $ |

#### Contractor's Certification

The undersigned Contractor certifies, to the best of its knowledge, the following:  
(1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment;  
(2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all Liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such Liens, security interest, or encumbrances); and  
(3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

#### Contractor Signature

By: [Signature]  
Date: [Date]
### Progress Estimate - Unit Price Work

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<th>Description</th>
<th>Item Quantity</th>
<th>Units</th>
<th>Unit Price</th>
<th>Total Value of Item ($)</th>
<th>Estimated Quantity Installed</th>
<th>Value of Work Installed to Date</th>
<th>Materials Presently Stored (not in C)</th>
<th>Total Completed and Stored to Date (D + E)</th>
<th>% (F / B)</th>
<th>Balance to Finish (B - F)</th>
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### Contractor's Application

For (Contract): 
Application Number: 
Application Period: 
Application Date: 

---

EJCDC® C-620 Contractor's Application for Payment  
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## Stored Material Summary

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<th>Bid Item No.</th>
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<th>Description of Materials or Equipment Stored</th>
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### Totals

|              |                      |                                               |                  |                                              |                  |                                                  |                   |                                         |                                         |

EJCDC® C-620 Contractor's Application for Payment
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MONTHLY CONSTRUCTION PROGRESS CERTIFICATE
(Name of Project)

<table>
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<th>OWNER:</th>
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<th>CONTRACT PERIOD</th>
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On Schedule? Yes [ ] No [ ]

Comments:

Days Left for Completion

Briefly Describe Project Progress During This Period:

Issues Addressed During This Period (Indicate Any Issues That Remain Unresolved):

Engineer’s Attestation: Owner Concurrence: *Contractor Concurrence:

X X X
Signature Signature Signature

Print Name Print Name Print Name

Title Title Title

* Contractor agrees this certificate is a complete account of all change orders/claims for this contract period, and all outstanding change orders/claims from previous contract periods and waives any rights to further adjustments in contract times or price for any change orders/claims that originated in this contract period.
CERTIFICATE OF SUBSTANTIAL COMPLETION

Owner: Pueblo de San Ildefonso
Owner’s Contract No.: NP401(1)2&4 A08AV00051 (CTM00T71301) And NP402(1)2&4 A11V00280 (CTM00T71304)

Contractor: Contractor’s Project No.:
Engineer: Souder, Miller & Associates Engineer’s Project No.: 9425386
Project: Povi Kaa Drive and Shu Maa Po
Contract Name:

This [preliminary] [final] Certificate of Substantial Completion applies to:

☐ All Work
☐ The following specified portions of the Work:

Date of Substantial Completion

The Work to which this Certificate applies has been inspected by authorized representatives of Owner, Contractor, and Engineer, and found to be substantially complete. The Date of Substantial Completion of the Work or portion thereof designated above is hereby established, subject to the provisions of the Contract pertaining to Substantial Completion. The date of Substantial Completion in the final Certificate of Substantial Completion marks the commencement of the contractual correction period and applicable warranties required by the Contract.

A punch list of items to be completed or corrected is attached to this Certificate. This list may not be all-inclusive, and the failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract.

The responsibilities between Owner and Contractor for security, operation, safety, maintenance, heat, utilities, insurance, and warranties upon Owner's use or occupancy of the Work shall be as provided in the Contract, except as amended as follows: [Note: Amendments of contractual responsibilities recorded in this Certificate should be the product of mutual agreement of Owner and Contractor; see Paragraph 15.03.D of the General Conditions.]

Amendments to Owner's responsibilities:
☐ None
☐ As follows

Amendments to Contractor's responsibilities:
☐ None
☐ As follows:

The following documents are attached to and made a part of this Certificate: [punch list; others]

This Certificate does not constitute an acceptance of Work not in accordance with the Contract Documents, nor is it a release of Contractor's obligation to complete the Work in accordance with the Contract.
<table>
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STANDARD GENERAL CONDITIONS
OF THE CONSTRUCTION CONTRACT

Prepared by

EJCDC
ENGINEERS JOINT CONTRACT DOCUMENTS COMMITTEE

Issued and Published Jointly by

ACEC
AMERICAN COUNCIL OF ENGINEERING COMPANIES

ASCE
AMERICAN SOCIETY OF CIVIL ENGINEERS

PFE
National Society of Professional Engineers®
# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

1.01 Defined Terms

   A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term’s singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.

   1. Addenda—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.

   2. Agreement—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.

   3. Application for Payment—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.

   4. Bid—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.

   5. Bidder—An individual or entity that submits a Bid to Owner.

   6. Bidding Documents—The Bidding Requirements, the proposed Contract Documents, and all Addenda.

   7. Bidding Requirements—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.

   8. Change Order—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.

   9. Change Proposal—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.

   10. Claim—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer’s decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer’s decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer
has declined to address. A demand for money or services by a third party is not a Claim.

11. **Constituent of Concern**—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. ("CERCLA"); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5501 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. ("RCRA"); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.

12. **Contract**—The entire and integrated written contract between the Owner and Contractor concerning the Work.

13. **Contract Documents**—Those items so designated in the Agreement, and which together comprise the Contract.

14. **Contract Price**—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents.

15. **Contract Times**—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.

16. **Contractor**—The individual or entity with which Owner has contracted for performance of the Work.

17. **Cost of the Work**—See Paragraph 13.01 for definition.

18. **Drawings**—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.

19. **Effective Date of the Contract**—The date, indicated in the Agreement, on which the Contract becomes effective.

20. **Engineer**—The individual or entity named as such in the Agreement.

21. **Field Order**—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.

22. **Hazardous Environmental Condition**—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.

23. **Laws and Regulations; Laws or Regulations**—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
24. **Liens**—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.

25. **Milestone**—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.

26. **Notice of Award**—The written notice by Owner to a Bidder of Owner’s acceptance of the Bid.

27. **Notice to Proceed**—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.

28. **Owner**—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.

29. **Progress Schedule**—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor’s plan to accomplish the Work within the Contract Times.

30. **Project**—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.

31. **Project Manual**—The written documents prepared for, or made available for, procuring and constructing the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.

32. **Resident Project Representative**—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or “RPR” includes any assistants or field staff of Resident Project Representative.

33. **Samples**—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.

34. **Schedule of Submittals**—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer’s review of the submittals and the performance of related construction activities.

35. **Schedule of Values**—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor’s Applications for Payment.

36. **Shop Drawings**—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
37. **Site**—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.

38. **Specifications**—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.

39. **Subcontractor**—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.

40. **Substantial Completion**—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.

41. **Successful Bidder**—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.

42. **Supplementary Conditions**—The part of the Contract that amends or supplements these General Conditions.

43. **Supplier**—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.

44. **Technical Data**—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.

45. **Underground Facilities**—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.

46. **Unit Price Work**—Work to be paid for on the basis of unit prices.

47. **Work**—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
48. **Work Change Directive**—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

1.02 **Terminology**

A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.

B. **Intent of Certain Terms or Adjectives:**

1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.

C. **Day:**

1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.

D. **Defective:**

1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
   a. does not conform to the Contract Documents; or
   b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
   c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).

E. **Furnish, Install, Perform, Provide:**

1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.

2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.

4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words “furnish,” “install,” “perform,” or “provide,” then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.

F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2 – PRELIMINARY MATTERS

2.01 Delivery of Bonds and Evidence of Insurance

A. Bonds: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.

B. Evidence of Contractor’s Insurance: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.

C. Evidence of Owner’s Insurance: After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

2.02 Copies of Documents

A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.

B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

2.03 Before Starting Construction

A. Preliminary Schedules: Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:

1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;

2. a preliminary Schedule of Submittals; and
3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.04 Preconstruction Conference; Designation of Authorized Representatives

A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.

B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

2.05 Initial Acceptance of Schedules

A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.

1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor’s full responsibility therefor.

2. Contractor’s Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.

3. Contractor’s Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

2.06 Electronic Transmittals

A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.

B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.

C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient’s use of software application packages, operating systems, or
computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE

3.01 Intent

A. The Contract Documents are complementary; what is required by one is as binding as if required by all.

B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.

C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.

D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.

E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.

3.02 Reference Standards

A. Standards Specifications, Codes, Laws and Regulations

1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.

2. No provision of any such standard specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

3.03 Reporting and Resolving Discrepancies

A. Reporting Discrepancies:

1. Contractor’s Verification of Figures and Field Measurements: Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict,
error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.

2. Contractor’s Review of Contract Documents: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.

3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. Resolving Discrepancies:

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:

   a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or

   b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 Requirements of the Contract Documents

A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.

B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer’s written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.

C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.
3.05 *Reuse of Documents*

A. Contractor and its Subcontractors and Suppliers shall not:

1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or

2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner’s express written consent, or violate any copyrights pertaining to such Contract Documents.

B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

**ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK**

4.01 *Commencement of Contract Times; Notice to Proceed*

A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.

4.02 *Starting the Work*

A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.

4.03 *Reference Points*

A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer’s judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.04 *Progress Schedule*

A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.

1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.

B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

4.05 Delays in Contractor’s Progress

A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor’s entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor’s ability to complete the Work within the Contract Times.

B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.

C. If Contractor’s performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor’s entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor’s ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor’s sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:

1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
2. abnormal weather conditions;
3. acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
4. acts of war or terrorism.

D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.

E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.

F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.
G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

5.01 Availability of Lands

A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.

B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner’s interest therein as necessary for giving notice of or filing a mechanic’s or construction lien against such lands in accordance with applicable Laws and Regulations.

C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

5.02 Use of Site and Other Areas

A. Limitation on Use of Site and Other Areas:

1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor’s operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.

2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part.
by, or based upon, Contractor’s performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

B. **Removal of Debris During Performance of the Work:** During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.

C. **Cleaning:** Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.

D. **Loading of Structures:** Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

5.03 **Subsurface and Physical Conditions**

A. **Reports and Drawings:** The Supplementary Conditions identify:

1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;

2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and

3. Technical Data contained in such reports and drawings.

B. **Reliance by Contractor on Technical Data Authorized:** Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:

1. the completeness of such reports and drawings for Contractor’s purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or

2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or

3. any Contractor interpretation or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.
5.04 **Differing Subsurface or Physical Conditions**

A. **Notice by Contractor:** If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:

1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
2. is of such a nature as to require a change in the Drawings or Specifications; or
3. differs materially from that shown or indicated in the Contract Documents; or
4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

B. **Engineer’s Review:** After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner’s obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor’s resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer’s findings, conclusions, and recommendations.

C. **Owner’s Statement to Contractor Regarding Site Condition:** After receipt of Engineer’s written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer’s written findings, conclusions, and recommendations, in whole or in part.

D. **Possible Price and Times Adjustments:**

1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor’s cost of, or time required for, performance of the Work; subject, however, to the following:
   a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
   b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
c. Contractor’s entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor’s ability to complete the Work within the Contract Times.

2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
   a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
   b. the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor’s making such commitment; or
   c. Contractor failed to give the written notice as required by Paragraph 5.04.A.

3. If Owner and Contractor agree regarding Contractor’s entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.

4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner’s issuance of the Owner’s written statement to Contractor regarding the subsurface or physical condition in question.

5.05 Underground Facilities

A. Contractor’s Responsibilities: The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
   1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
   2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
      a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
      b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;
      c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
      d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.

B. Notice by Contractor: If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after
becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.

C. **Engineer’s Review:** Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor’s resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise Owner in writing of Engineer’s findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

D. **Owner’s Statement to Contractor Regarding Underground Facility:** After receipt of Engineer’s written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer’s written findings, conclusions, and recommendations in whole or in part.

E. **Possible Price and Times Adjustments:**

1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor’s cost of, or time required for, performance of the Work; subject, however, to the following:
   a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
   b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
   c. Contractor’s entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor’s ability to complete the Work within the Contract Times; and
   d. Contractor gave the notice required in Paragraph 5.05.B.

2. If Owner and Contractor agree regarding Contractor’s entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.

3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner’s issuance of the Owner’s written statement to Contractor regarding the Underground Facility in question.
5.06 Hazardous Environmental Conditions at Site

A. Reports and Drawings: The Supplementary Conditions identify:

1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and

2. Technical Data contained in such reports and drawings.

B. Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:

1. the completeness of such reports and drawings for Contractor’s purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or

2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or

3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.

C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.

D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.

E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.
F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.

G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner’s written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.

H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner’s own forces or others in accordance with Article 8.

I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.H shall oblige Owner to indemnify any individual or entity from and against the consequences of that individual’s or entity’s own negligence.

J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J shall oblige Contractor to indemnify any individual or entity from and against the consequences of that individual’s or entity’s own negligence.

K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.
ARTICLE 6 – BONDS AND INSURANCE

6.01 Performance, Payment, and Other Bonds

A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor’s obligations under the Contract. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.

B. All bonds shall be in the form prescribed by the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in “Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies” as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual’s authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.

C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.

D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.

E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner’s termination rights under Article 16.

F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.

6.02 Insurance—General Provisions

A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.

B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.

C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is
maintaining the policies, coverages, and endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

D. Owner shall deliver to Contractor, with copies to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party’s full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party’s obligation to obtain and maintain such insurance.

F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.

G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner’s termination rights under Article 16.

H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other party’s interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.

I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor’s interests.

J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.

6.03 Contractor’s Insurance

A. Workers’ Compensation: Contractor shall purchase and maintain workers’ compensation and employer’s liability insurance for:

1. claims under workers’ compensation, disability benefits, and other similar employee benefit acts.

2. United States Longshoreman and Harbor Workers’ Compensation Act and Jones Act coverage (if applicable).

3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor’s employees (by stop-gap endorsement in monopolist worker’s compensation states).
4. Foreign voluntary worker compensation (if applicable).

B. **Commercial General Liability—Claims Covered:** Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:

1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor’s employees.
2. claims for damages insured by reasonably available personal injury liability coverage.
3. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.

C. **Commercial General Liability—Form and Content:** Contractor’s commercial liability policy shall be written on a 1996 (or later) ISO commercial general liability form (occurrence form) and include the following coverages and endorsements:

1. Products and completed operations coverage:
   a. Such insurance shall be maintained for three years after final payment.
   b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.

2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor’s contractual indemnity obligations in Paragraph 7.18.

3. Broad form property damage coverage.

4. Severability of interest.

5. Underground, explosion, and collapse coverage.

6. Personal injury coverage.

7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.

8. For design professional additional insureds, ISO Endorsement CG 20 32 07 04, “Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured” or its equivalent.

D. **Automobile liability:** Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.

E. **Umbrella or excess liability:** Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer’s liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage afforded shall follow form as to each and every one of the underlying policies.

F. **Contractor’s pollution liability insurance:** Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result
of pollution conditions arising from Contractor’s operations and completed operations. This insurance shall be maintained for no less than three years after final completion.

G. **Additional insureds:** The Contractor’s commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions; include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.

H. **Contractor’s professional liability insurance:** If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.

I. **General provisions:** The policies of insurance required by this Paragraph 6.03 shall:

1. include at least the specific coverages provided in this Article.
2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.
3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.
4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor’s performance of the Work and Contractor’s other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.

J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.
6.04 **Owner’s Liability Insurance**

A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner’s option, may purchase and maintain at Owner’s expense Owner’s own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.

B. Owner’s liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner’s liability policies for any of Contractor’s obligations to the Owner, Engineer, or third parties.

6.05 **Property Insurance**

A. **Builder’s Risk:** Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder’s risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:

1. include the Owner and Contractor as named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder’s risk policy, as insureds or named insureds. For purposes of the remainder of this Paragraph 6.05, Paragraphs 6.06 and 6.07, and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as “insureds.”

2. be written on a builder’s risk “all risk” policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; flood; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available under builder’s risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.

3. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.

4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).
5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
6. extend to cover damage or loss to insured property while in transit.
7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder’s risk insurance.
8. allow for the waiver of the insurer’s subrogation rights, as set forth below.
9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
10. not include a co-insurance clause.
11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
12. include performance/hot testing and start-up.
13. be maintained in effect, subject to the provisions herein regarding Substantial Completion and partial occupancy or use of the Work by Owner, until the Work is complete.

B. Notice of Cancellation or Change: All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.

C. Deductibles: The purchaser of any required builder’s risk or property insurance shall pay for costs not covered because of the application of a policy deductible.

D. Partial Occupancy or Use by Owner: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder’s risk policy, or through Contractor) will provide notice of such occupancy or use to the builder’s risk insurer. The builder’s risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder’s risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder’s risk insurance.

E. Additional Insurance: If Contractor elects to obtain other special insurance to be included in or supplement the builder’s risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor’s expense.

F. Insurance of Other Property: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.
6.06 Waiver of Rights

A. All policies purchased in accordance with Paragraph 6.05, expressly including the builder’s risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.

B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:

1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner’s property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and

2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.

C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.

D. Contractor shall be responsible for assuring that the agreement under which a Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder’s risk insurance and any other property insurance applicable to the Work.

6.07 Receipt and Application of Property Insurance Proceeds

A. Any insured loss under the builder’s risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the
policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.

B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder’s risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.

C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

ARTICLE 7 – CONTRACTOR’S RESPONSIBILITIES

7.01 Supervision and Superintendence

A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.

B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

7.02 Labor; Working Hours

A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.

B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner’s written consent, which will not be unreasonably withheld.

7.03 Services, Materials, and Equipment

A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.

B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and
guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.

C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

7.04 “Or Equals”

A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or “or equal” item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.

1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an “or equal” item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:

   a. in the exercise of reasonable judgment Engineer determines that:

      1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;

      2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;

      3) it has a proven record of performance and availability of responsive service; and

      4) it is not objectionable to Owner.

   b. Contractor certifies that, if approved and incorporated into the Work:

      1) there will be no increase in cost to the Owner or increase in Contract Times; and

      2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.

B. Contractor’s Expense: Contractor shall provide all data in support of any proposed “or equal” item at Contractor’s expense.

C. Engineer’s Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each “or-equal” request. Engineer may require Contractor to furnish additional data about the proposed “or-equal” item. Engineer will be the sole judge of acceptability. No “or-equal” item will be ordered, furnished, installed, or utilized until Engineer’s review is complete and Engineer determines that the proposed item is an “or-equal”, which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
D. **Effect of Engineer’s Determination:** Neither approval nor denial of an “or-equal” request shall result in any change in Contract Price. The Engineer’s denial of an “or-equal” request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.

E. **Treatment as a Substitution Request:** If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an “or-equal” item, Contractor may request that Engineer considered the proposed item as a substitute pursuant to Paragraph 7.05.

7.05 **Substitutes**

A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.

1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.

2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.

3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:

   a. shall certify that the proposed substitute item will:

      1) perform adequately the functions and achieve the results called for by the general design,

      2) be similar in substance to that specified, and

      3) be suited to the same use as that specified.

   b. will state:

      1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,

      2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and

      3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.

   c. will identify:

      1) all variations of the proposed substitute item from that specified, and
2) available engineering, sales, maintenance, repair, and replacement services.

d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.

B. **Engineer’s Evaluation and Determination:** Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer’s review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer’s determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.

C. **Special Guarantee:** Owner may require Contractor to furnish at Contractor’s expense a special performance guarantee or other surety with respect to any substitute.

D. **Reimbursement of Engineer’s Cost:** Engineer will record Engineer’s costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.

E. **Contractor’s Expense:** Contractor shall provide all data in support of any proposed substitute at Contractor’s expense.

F. **Effect of Engineer’s Determination:** If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer’s denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

7.06 **Concerning Subcontractors, Suppliers, and Others**

A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.

B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.

C. Subsequent to the submittal of Contractor’s Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.

D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.
E. Owner may require the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor, Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.

F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner’s requirement of replacement.

G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.

H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.

I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor’s own acts and omissions.

J. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.

K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.

L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.

M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.

N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.
O. Nothing in the Contract Documents:

1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor

2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.

7.07 Patent Fees and Royalties

A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.

B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.

C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

7.08 Permits

A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor’s Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.
7.09 Taxes

A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

7.10 Laws and Regulations

A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor’s compliance with any Laws or Regulations.

B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor’s responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor’s obligations under Paragraph 3.03.

C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor’s Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

7.11 Record Documents

A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

7.12 Safety and Protection

A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:

1. all persons on the Site or who may be affected by the Work;
2. all the Work and materials and equipment to be incorporated therein, whether in
storage on or off the Site; and

3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks,
pavements, roadways, structures, other work in progress, utilities, and Underground
Facilities not designated for removal, relocation, or replacement in the course of
construction.

B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of
persons or property, or to the protection of persons or property from damage, injury, or
loss; and shall erect and maintain all necessary safeguards for such safety and protection.
Contractor shall notify Owner; the owners of adjacent property, Underground Facilities,
and other utilities; and other contractors and utility owners performing work at or adjacent
to the Site, when prosecution of the Work may affect them, and shall cooperate with them
in the protection, removal, relocation, and replacement of their property or work in
progress.

C. Contractor shall comply with the applicable requirements of Owner’s safety programs, if
any. The Supplementary Conditions identify any Owner’s safety programs that are
applicable to the Work.

D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor’s
safety program with which Owner’s and Engineer’s employees and representatives must
comply while at the Site.

E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3
caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor,
Supplier, or any other individual or entity directly or indirectly employed by any of them to
perform any of the Work, or anyone for whose acts any of them may be liable, shall be
remedied by Contractor at its expense (except damage or loss attributable to the fault of
Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone
employed by any of them, or anyone for whose acts any of them may be liable, and not
attributable, directly or indirectly, in whole or in part, to the fault or negligence of
Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly
employed by any of them).

F. Contractor’s duties and responsibilities for safety and protection shall continue until such
time as all the Work is completed and Engineer has issued a notice to Owner and
Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as
otherwise expressly provided in connection with Substantial Completion).

G. Contractor’s duties and responsibilities for safety and protection shall resume whenever
Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or
correction obligations, or to conduct other tasks arising from the Contract Documents.

7.13 Safety Representative

A. Contractor shall designate a qualified and experienced safety representative at the Site
whose duties and responsibilities shall be the prevention of accidents and the maintaining
and supervising of safety precautions and programs.

7.14 Hazard Communication Programs

A. Contractor shall be responsible for coordinating any exchange of material safety data
sheets or other hazard communication information required to be made available to or
exchanged between or among employers at the Site in accordance with Laws or Regulations.

7.15 Emergencies

A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

7.16 Shop Drawings, Samples, and Other Submittals

A. Shop Drawing and Sample Submittal Requirements:

1. Before submitting a Shop Drawing or Sample, Contractor shall have:
   a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
   b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
   c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
   d. determined and verified all information relative to Contractor’s responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.

2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor’s obligations under the Contract Documents with respect to Contractor’s review of that submittal, and that Contractor approves the submittal.

3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.

B. Submittal Procedures for Shop Drawings and Samples: Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.

1. Shop Drawings:
   a. Contractor shall submit the number of copies required in the Specifications.
   b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to
provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.

2. **Samples:**
   a. Contractor shall submit the number of Samples required in the Specifications.
   b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.

3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer’s review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

C. **Other Submittals:** Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.

D. **Engineer’s Review:**
   1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer’s review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
   2. Engineer’s review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
   3. Engineer’s review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
   4. Engineer’s review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order.
   5. Engineer’s review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.
   6. Engineer’s review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
   7. Neither Engineer’s receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.
8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.

E. **Resubmittal Procedures:**

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.

2. Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer’s time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer’s charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.

3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer’s charges to Owner for its review time, and Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

### 7.17 Contractor’s General Warranty and Guarantee

A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor’s warranty and guarantee.

B. Contractor’s warranty and guarantee hereunder excludes defects or damage caused by:

1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or

2. normal wear and tear under normal usage.

C. Contractor’s obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor’s obligation to perform the Work in accordance with the Contract Documents:

1. observations by Engineer;

2. recommendation by Engineer or payment by Owner of any progress or final payment;

3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;

4. use or occupancy of the Work or any part thereof by Owner;

5. any review and approval of a Shop Drawing or Sample submittal;

6. the issuance of a notice of acceptability by Engineer;

7. any inspection, test, or approval by others; or

8. any correction of defective Work by Owner.
D. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor’s performance obligations to Owner for the Work described in the assigned contract.

7.18 Indemnification

A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.

B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers’ compensation acts, disability benefit acts, or other employee benefit acts.

C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer’s officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:

1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

7.19 Delegation of Professional Design Services

A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor’s responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.

B. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop
Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional’s written approval when submitted to Engineer.

C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.

D. Pursuant to this paragraph, Engineer’s review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer’s review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.

E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

ARTICLE 8 – OTHER WORK AT THE SITE

8.01 Other Work

A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner’s employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.

B. If Owner performs other work at or adjacent to the Site with Owner’s employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.

C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner’s employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others’ work with the written consent of Engineer and the others whose work will be affected.

D. If the proper execution or results of any part of Contractor’s Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor’s Work. Contractor’s failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor’s Work except for latent defects and deficiencies in such other work.
8.02 **Coordination**

A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner’s employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:

1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
2. an itemization of the specific matters to be covered by such authority and responsibility; and
3. the extent of such authority and responsibilities.

B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

8.03 **Legal Relationships**

A. If, in the course of performing other work at or adjacent to the Site for Owner, the Owner’s employees, any other contractor working for Owner, or any utility owner causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor’s rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor’s entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor’s ability to complete the Work within the Contract Times.

B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner’s contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.

C. When Owner is performing other work at or adjacent to the Site with Owner’s employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor’s failure to take reasonable and customary measures with respect to Owner’s other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.
D. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor’s failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor’s actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

ARTICLE 9 – OWNER’S RESPONSIBILITIES

9.01 Communications to Contractor
A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

9.02 Replacement of Engineer
A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer’s status under the Contract Documents shall be that of the former Engineer.

9.03 Furnish Data
A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

9.04 Pay When Due
A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

9.05 Lands and Easements; Reports, Tests, and Drawings
A. Owner’s duties with respect to providing lands and easements are set forth in Paragraph 5.01.
B. Owner’s duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
C. Article 5 refers to Owner’s identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

9.06 Insurance
A. Owner’s responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

9.07 Change Orders
A. Owner’s responsibilities with respect to Change Orders are set forth in Article 11.
9.08  **Inspections, Tests, and Approvals**

A. Owner’s responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

9.09  **Limitations on Owner’s Responsibilities**

A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor’s means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor’s failure to perform the Work in accordance with the Contract Documents.

9.10  **Undisclosed Hazardous Environmental Condition**

A. Owner’s responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

9.11  **Evidence of Financial Arrangements**

A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner’s obligations under the Contract Documents (including obligations under proposed changes in the Work).

9.12  **Safety Programs**

A. While at the Site, Owner’s employees and representatives shall comply with the specific applicable requirements of Contractor’s safety programs of which Owner has been informed.

B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

**ARTICLE 10 – ENGINEER’S STATUS DURING CONSTRUCTION**

10.01  **Owner’s Representative**

A. Engineer will be Owner’s representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner’s representative during construction are set forth in the Contract.

10.02  **Visits to Site**

A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor’s executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer’s efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.

B. Engineer’s visits and observations are subject to all the limitations on Engineer’s authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during
or as a result of Engineer’s visits or observations of Contractor’s Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor’s means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 Project Representative

A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer’s consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

10.04 Rejecting Defective Work

A. Engineer has the authority to reject Work in accordance with Article 14.

10.05 Shop Drawings, Change Orders and Payments

A. Engineer’s authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.

B. Engineer’s authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.

C. Engineer’s authority as to Change Orders is set forth in Article 11.

D. Engineer’s authority as to Applications for Payment is set forth in Article 15.

10.06 Determinations for Unit Price Work

A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.07 Decisions on Requirements of Contract Documents and Acceptability of Work

A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.08 Limitations on Engineer’s Authority and Responsibilities

A. Neither Engineer’s authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor’s means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor’s failure to perform the Work in accordance with the Contract Documents.

C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.

D. Engineer’s review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.

E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.

10.09 Compliance with Safety Program

A. While at the Site, Engineer’s employees and representatives will comply with the specific applicable requirements of Owner’s and Contractor’s safety programs (if any) of which Engineer has been informed.

ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

11.01 Amending and SupPLEMENTING Contract Documents

A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.

1. Change Orders:

a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.

b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.

2. Work Change Directives: A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive’s effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an
adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.

3. **Field Orders:** Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

11.02 **Owner-Authorized Changes in the Work**

A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer’s recommendation, to the extent the change involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contract Documents. Nothing in this paragraph shall obligate Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor’s safety obligations under the Contract Documents or Laws and Regulations.

11.03 **Unauthorized Changes in the Work**

A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.

11.04 **Change of Contract Price**

A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.

B. An adjustment in the Contract Price will be determined as follows:

1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or

2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or

3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on
the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor’s fee for overhead and profit (determined as provided in Paragraph 11.04.C).

C. Contractor’s Fee: When applicable, the Contractor’s fee for overhead and profit shall be determined as follows:

1. a mutually acceptable fixed fee; or
2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
   a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor’s fee shall be 15 percent;
   b. for costs incurred under Paragraph 13.01.B.3, the Contractor’s fee shall be five percent;
   c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.01.C.2.a and 11.01.C.2.b is that the Contractor’s fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;
   d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
   e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor’s fee by an amount equal to five percent of such net decrease; and
   f. when both additions and credits are involved in any one change, the adjustment in Contractor’s fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

11.05 Change of Contract Times

A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.

B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor’s progress.

11.06 Change Proposals

A. Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under
the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.

1. **Procedures:** Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal.

2. **Engineer’s Action:** Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor’s supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer’s inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.

3. **Binding Decision:** Engineer’s decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.

B. **Resolution of Certain Change Proposals:** If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

11.07 **Execution of Change Orders**

A. Owner and Contractor shall execute appropriate Change Orders covering:

1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;

2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;

3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner’s acceptance of defective Work under Paragraph 14.04 or Owner’s correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer’s recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and

4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.
B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.

11.08 Notification to Surety

A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor’s responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

ARTICLE 12 – CLAIMS

12.01 Claims

A. Claims Process: The following disputes between Owner and Contractor shall be submitted to the Claims process set forth in this Article:

1. Appeals by Owner or Contractor of Engineer’s decisions regarding Change Proposals;

2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and

3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.

B. Submittal of Claim: The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor’s knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.

C. Review and Resolution: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.

D. Mediation:

1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.

2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim
submittal and decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.

3. Owner and Contractor shall each pay one-half of the mediator’s fees and costs.

E. **Partial Approval:** If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.

F. **Denial of Claim:** If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.

G. **Final and Binding Results:** If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

**ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK**

13.01 **Cost of the Work**

A. **Purposes for Determination of Cost of the Work:** The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:

1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or

2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.

B. **Costs Included:** Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:

1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers’ compensation, health and retirement benefits, bonuses, sick leave, and vacation and holiday pay applicable...
thereto. The expenses of performing Work outside of regular working hours, on
Saturday, Sunday, or legal holidays, shall be included in the above to the extent
authorized by Owner.

2. Cost of all materials and equipment furnished and incorporated in the Work, including
costs of transportation and storage thereof, and Suppliers’ field services required in
connection therewith. All cash discounts shall accrue to Contractor unless Owner
deposits funds with Contractor with which to make payments, in which case the cash
discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns
from sale of surplus materials and equipment shall accrue to Owner, and Contractor
shall make provisions so that they may be obtained.

3. Payments made by Contractor to Subcontractors for Work performed by
Subcontractors. If required by Owner, Contractor shall obtain competitive bids from
subcontractors acceptable to Owner and Contractor and shall deliver such bids to
Owner, who will then determine, with the advice of Engineer, which bids, if any, will
be acceptable. If any subcontract provides that the Subcontractor is to be paid on the
basis of Cost of the Work plus a fee, the Subcontractor’s Cost of the Work and fee shall
be determined in the same manner as Contractor’s Cost of the Work and fee as
provided in this Paragraph 13.01.

4. Costs of special consultants (including but not limited to engineers, architects, testing
laboratories, surveyors, attorneys, and accountants) employed for services specifically
related to the Work.

5. Supplemental costs including the following:
   a. The proportion of necessary transportation, travel, and subsistence expenses of
      Contractor’s employees incurred in discharge of duties connected with the Work.
   b. Cost, including transportation and maintenance, of all materials, supplies,
      equipment, machinery, appliances, office, and temporary facilities at the Site, and
      hand tools not owned by the workers, which are consumed in the performance of
      the Work, and cost, less market value, of such items used but not consumed
      which remain the property of Contractor.
   c. Rentals of all construction equipment and machinery, and the parts thereof,
      whether rented from Contractor or others in accordance with rental agreements
      approved by Owner with the advice of Engineer, and the costs of transportation,
      loading, unloading, assembly, dismantling, and removal thereof. All such costs
      shall be in accordance with the terms of said rental agreements. The rental of any
      such equipment, machinery, or parts shall cease when the use thereof is no
      longer necessary for the Work.
   d. Sales, consumer, use, and other similar taxes related to the Work, and for which
      Contractor is liable, as imposed by Laws and Regulations.
   e. Deposits lost for causes other than negligence of Contractor, any Subcontractor,
      or anyone directly or indirectly employed by any of them or for whose acts any of
      them may be liable, and royalty payments and fees for permits and licenses.
   f. Losses and damages (and related expenses) caused by damage to the Work, not
      compensated by insurance or otherwise, sustained by Contractor in connection
      with the performance of the Work (except losses and damages within the
deductible amounts of property insurance established in accordance with
Paragraph 6.05), provided such losses and damages have resulted from causes
other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor’s fee.

g. The cost of utilities, fuel, and sanitary facilities at the Site.

h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.

i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.

C. Costs Excluded: The term Cost of the Work shall not include any of the following items:

1. Payroll costs and other compensation of Contractor’s officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor’s principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor’s fee.

2. Expenses of Contractor’s principal and branch offices other than Contractor’s office at the Site.

3. Any part of Contractor’s capital expenses, including interest on Contractor’s capital employed for the Work and charges against Contractor for delinquent payments.

4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.

5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

D. Contractor’s Fee: When the Work as a whole is performed on the basis of cost-plus, Contractor’s fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor’s fee shall be determined as set forth in Paragraph 11.04.C.

E. Documentation: Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

13.02 Allowances

A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
B. **Cash Allowances:** Contractor agrees that:
   1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
   2. Contractor’s costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.

C. **Contingency Allowance:** Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.

D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

13.03 **Unit Price Work**

A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.

B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.

C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor’s overhead and profit for each separately identified item.

D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer’s preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer’s written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.

E. Within 30 days of Engineer’s written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
   1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
   2. there is no corresponding adjustment with respect to any other item of Work; and
   3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.
ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

14.01 Access to Work

A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor’s safety procedures and programs so that they may comply therewith as applicable.

14.02 Tests, Inspections, and Approvals

A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.

B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.

C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.

D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:

1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;

2. to attain Owner’s and Engineer’s acceptance of materials or equipment to be incorporated in the Work;

3. by manufacturers of equipment furnished under the Contract Documents;

4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and

5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor’s purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.

F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor’s expense unless Contractor had given Engineer timely notice of Contractor’s intention to
cover the same and Engineer had not acted with reasonable promptness in response to such notice.

14.03 Defective Work

A. Contractor’s Obligation: It is Contractor’s obligation to assure that the Work is not defective.

B. Engineer’s Authority: Engineer has the authority to determine whether Work is defective, and to reject defective Work.

C. Notice of Defects: Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.

D. Correction, or Removal and Replacement: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.

E. Preservation of Warranties: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner’s special warranty and guarantee, if any, on said Work.

F. Costs and Damages: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

14.04 Acceptance of Defective Work

A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer’s confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner’s evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

14.05 Uncovering Work

A. Engineer has the authority to require special inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer’s observation, and then replace the covering, all at Contractor’s expense.

C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer’s request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.

1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor’s full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.

2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

14.06 Owner May Stop the Work

A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

14.07 Owner May Correct Defective Work

A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.

B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor’s services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner’s representatives, agents and employees, Owner’s other contractors, and Engineer and Engineer’s consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.

C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will
include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor’s defective Work.

D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner’s rights and remedies under this Paragraph 14.07.

ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

15.01 Progress Payments

A. Basis for Progress Payments: The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.

B. Applications for Payments:

1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner’s interest therein, all of which must be satisfactory to Owner.

2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor’s legitimate obligations associated with prior Applications for Payment.

3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

C. Review of Applications:

1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer’s reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.

2. Engineer’s recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer’s observations of the executed Work as an experienced and qualified design professional, and on Engineer’s review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer’s knowledge, information and belief:
a. the Work has progressed to the point indicated;
b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
c. the conditions precedent to Contractor’s being entitled to such payment appear to have been fulfilled in so far as it is Engineer’s responsibility to observe the Work.

3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
   a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
   b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.

4. Neither Engineer’s review of Contractor’s Work for the purposes of recommending payments nor Engineer’s recommendation of any payment, including final payment, will impose responsibility on Engineer:
   a. to supervise, direct, or control the Work, or
   b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
   c. for Contractor’s failure to comply with Laws and Regulations applicable to Contractor’s performance of the Work, or
   d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
   e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.

5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer’s opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.

6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer’s opinion to protect Owner from loss because:
   a. the Work is defective, requiring correction or replacement;
   b. the Contract Price has been reduced by Change Orders;
   c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
   d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. Payment Becomes Due:

1. Ten days after presentation of the Application for Payment to Owner with Engineer’s recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. Reductions in Payment by Owner:

1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
   a. claims have been made against Owner on account of Contractor’s conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages on account of Contractor’s conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
   b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
   c. Contractor has failed to provide and maintain required bonds or insurance;
   d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
   e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
   f. the Work is defective, requiring correction or replacement;
   g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
   h. the Contract Price has been reduced by Change Orders;
   i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
   j. liquidated damages have accrued as a result of Contractor’s failure to achieve Milestones, Substantial Completion, or final completion of the Work;
   k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
   l. there are other items entitling Owner to a set off against the amount recommended.

2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount
remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed shall be binding on Contractor unless it duly submits a Change Proposal Contesting the reduction.

3. Upon a subsequent determination that Owner’s refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

15.02 Contractor’s Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

15.03 Substantial Completion

A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.

B. Promptly after Contractor’s notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.

C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner’s objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.

D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner’s use or occupancy of the Work following Substantial Completion, review the builder’s risk insurance policy with respect to the end of the builder’s risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner’s use or occupancy of the Work.
E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.

F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

15.04 Partial Use or Occupancy

A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor’s performance of the remainder of the Work, subject to the following conditions:

1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.

2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.

3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.

4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder’s risk or other property insurance.

15.05 Final Inspection

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

15.06 Final Payment

A. Application for Payment:

1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of
inspection, annotated record documents (as provided in Paragraph 7.11), and other
documents, Contractor may make application for final payment.

2. The final Application for Payment shall be accompanied (except as previously
delivered) by:

a. all documentation called for in the Contract Documents;
b. consent of the surety, if any, to final payment;
c. satisfactory evidence that all title issues have been resolved such that title to all
Work, materials, and equipment has passed to Owner free and clear of any Liens
or other title defects, or will so pass upon final payment.
d. a list of all disputes that Contractor believes are unsettled; and
e. complete and legally effective releases or waivers (satisfactory to Owner) of all
Lien rights arising out of the Work, and of Liens filed in connection with the Work.

3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as
approved by Owner, Contractor may furnish receipts or releases in full and an affidavit
of Contractor that: (a) the releases and receipts include all labor, services, material,
and equipment for which a Lien could be filed; and (b) all payrolls, material and
equipment bills, and other indebtedness connected with the Work for which Owner
might in any way be responsible, or which might in any way result in liens or other
burdens on Owner’s property, have been paid or otherwise satisfied. If any
Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor
may furnish a bond or other collateral satisfactory to Owner to indemnify Owner
against any Lien, or Owner at its option may issue joint checks payable to Contractor
and specified Subcontractors and Suppliers.

B. *Engineer’s Review of Application and Acceptance:*

1. If, on the basis of Engineer’s observation of the Work during construction and final
inspection, and Engineer’s review of the final Application for Payment and
accompanying documentation as required by the Contract Documents, Engineer is
satisfied that the Work has been completed and Contractor’s other obligations under
the Contract have been fulfilled, Engineer will, within ten days after receipt of the final
Application for Payment, indicate in writing Engineer’s recommendation of final
payment and present the Application for Payment to Owner for payment. Such
recommendation shall account for any set-offs against payment that are necessary in
Engineer’s opinion to protect Owner from loss for the reasons stated above with
respect to progress payments. At the same time Engineer will also give written notice
to Owner and Contractor that the Work is acceptable, subject to the provisions of
Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to
Contractor, indicating in writing the reasons for refusing to recommend final payment,
in which case Contractor shall make the necessary corrections and resubmit the
Application for Payment.

C. *Completion of Work:* The Work is complete (subject to surviving obligations) when it is
ready for final payment as established by the Engineer’s written recommendation of final
payment.

D. *Payment Becomes Due:* Thirty days after the presentation to Owner of the final Application
for Payment and accompanying documentation, the amount recommended by Engineer
(less any further sum Owner is entitled to set off against Engineer’s recommendation,
including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

15.07 Waiver of Claims

A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor’s failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor’s continuing obligations under the Contract Documents.

B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.

15.08 Correction Period

A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner’s written instructions:

1. correct the defective repairs to the Site or such other adjacent areas;

2. correct such defective Work;

3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and

4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.

B. If Contractor does not promptly comply with the terms of Owner’s written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).

C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.

D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
E. Contractor’s obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION

16.01 Owner May Suspend Work

A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Any Change Proposal seeking such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.

16.02 Owner May Terminate for Cause

A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:

1. Contractor’s persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);

2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;

3. Contractor’s disregard of Laws or Regulations of any public body having jurisdiction; or

4. Contractor’s repeated disregard of the authority of Owner or Engineer.

B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:

1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and

2. enforce the rights available to Owner under any applicable performance bond.

C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.

D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.

E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses,
and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

F. Where Contractor’s services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.

G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

16.03 Owner May Terminate For Convenience

A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):

1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;

2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and

3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.

B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.

16.04 Contractor May Stop Work or Terminate

A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.

B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for
expenses or damage directly attributable to Contractor’s stopping the Work as permitted by this paragraph.

ARTICLE 17 – FINAL RESOLUTION OF DISPUTES

17.01 Methods and Procedures
A. Disputes Subject to Final Resolution: The following disputed matters are subject to final resolution under the provisions of this Article:
   1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
   2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
B. Final Resolution of Disputes: For any dispute subject to resolution under this Article, Owner or Contractor may:
   1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
   2. agree with the other party to submit the dispute to another dispute resolution process; or
   3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

ARTICLE 18 – MISCELLANEOUS

18.01 Giving Notice
A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
   1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or
   2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

18.02 Computation of Times
A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

18.03 Cumulative Remedies
A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.
18.04 Limitation of Damages
   A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

18.05 No Waiver
   A. A party’s non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.

18.06 Survival of Obligations
   A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

18.07 Controlling Law
   A. This Contract is to be governed by the law of the state in which the Project is located.

18.08 Headings
   A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.
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INTRODUCTION

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract, EJCDC® C-700 (2013 Edition). All provisions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions have the meanings stated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

The address system used in these Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix "SC" added thereto.

AMENDED OR SUPPLEMENTED STANDARD GENERAL CONDITIONS

SC-2.05.B Video Documentation

B. Video Documentation: Prior to beginning construction activities, the Contractor will furnish full-coverage video documentation of the entire construction site. The video must include coverage of all areas and adjacent features that may potentially be impacted by the impending construction work.
1. Prior to groundbreaking on any section of pipeline or site work, video documentation must be submitted to the Engineer for review and approval. Video documentation must be approved as sufficient by Engineer before groundbreaking may proceed.
2. Show station markers, mailboxes, fences, structures, driveways, signs, culverts, landscape improvements, and other existing site features.
3. Include project description, applicable stations or sites, and date taken in the file name of every video, using the following filename convention: “<project name>_<begin station>to<end station>(or <site descriptor>)_<date>”.
4. Video submittals may be rejected because of failure to include or document any of the items above, a lack of visual or audio clarity, or for any other deficiency that prevents the Owner/Engineer from easily viewing and documenting the pre-construction conditions of the pipeline and sites.
5. Contractor must submit two (2) copies of the video documentation on DVD format as part of the submittal process. One copy to Owner and one copy to Engineer.

SC-3.01.F Bidding Documents in Electronic Format

F. For the present Project, Bidding Documents in electronic media format are furnished to bidding contractors for the sole purpose of preparing bids, and not for construction. The selected construction Contractor shall not rely on files provided in electronic media format for construction but rather hard copies of such data provided by the Engineer or Owner upon award of the Contract.
SC-5.03 Subsurface and Physical Conditions

C. The following reports of explorations and tests of subsurface conditions at or contiguous to the Site are known to Owner:

1. Report dated October 7, 2016, prepared by Terracon, entitled: Geotechnical Engineering Report – Povi Kaa Drive and Shumaa Po”, consisting of 47 pages including cover. The “technical data” contained in such report upon which Contractor may rely is “technical data”

D. The reports identified above are not part of the Contract Documents, but the “technical data” contained therein upon which Contractor may rely, as expressly identified and established above, are incorporated in the Contract Documents by reference. Contractor is not entitled to rely upon any other information and data known to or identified by Owner or Engineer.

SC-5.04 Differing Subsurface or Physical Conditions

E. The circumstances and potential resulting actions described in Paragraphs 5.04.A, B, C and D do not apply in the case of subsurface or surface rock or soils. The Contractor is advised that the results of the exploration and tests of subsurface conditions at the Site as presented in the geotechnical investigation referenced in SC-5.03.C.1, are given only as an attempt to provide the Contractor with a general idea of what subsurface conditions might be expected, and Owner is not responsible for any discrepancies or inaccuracies. No additional payment will be made for rock removal and disposal, nor the importing of special bedding/backfill material to meet the specifications, nor special excavation methods required to trench in rock. In all cases, all such work will be considered incidental to the cost of related work.

SC-5.05 Underground Facilities

B. If an Underground Facility is uncovered or revealed at or contiguous to the Site which was not shown or indicated, or not shown or indicated with reasonable accuracy (as defined in §62-14-5 NMSA 1978) in the Contract Documents, Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer. The Contractor will proceed to work with the underground facility owner to relocate, preserve or remove the undocumented or inaccurately marked underground facility to complete contract work. Compensation for such work, if any, will be negotiated by and between the Contractor and the facility owner, with no claim to the Owner by the Contractor for costs associated for resulting delays (Section 4.C, §62-14-5 NMSA 1978).

C. Concurrently the Engineer will promptly review the Underground Facility and determine the extent, if any, to which a change is required in the Contract Documents to reflect and document the consequences of the existence or location of the Underground Facility. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

D. If Engineer concludes that a change in the Contract Documents is required, a Work Change Directive or a Change Order will be issued to reflect and document such consequences. An equitable adjustment shall be made in the Contract Times, to the extent that they are attributable to the existence or location of any Underground Facility that was not shown or indicated or not
shown or indicated with reasonable accuracy in the Contract Documents and that Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated. If Owner and Contractor are unable to agree on entitlement to or on the extent, if any, of any such adjustment in Contract Times, Owner or Contractor may make a Claim therefor as provided in Paragraph 12.01.

SC-5.06 Hazardous Environmental Conditions

A. No reports or drawings relating to Hazardous Environmental Conditions at the site are known to the Owner.

B. Not used

SC-6.01 Performance, Payment, and Other Bonds

G. Any Contractor providing construction services in connection with the Project shall post a performance and payment bond in accordance with the requirements of NMSA 1978, Section 13-4-18, as amended.

H. Pursuant to NMSA 1978, Section 13-1-148.1, all Subcontractors whose subcontracted work is valued at one hundred twenty-five thousand dollars ($125,000) or more shall be subject to this same requirement as set forth in Paragraphs 6.01 A-F, except that the Subcontractor’s bonds are to be payable to the prime Contractor, not the Owner. The Performance and Payment Bond required shall be provided to the general Contractor at the time the subcontract is executed, and a copy delivered to the Owner. The Performance and Payment Bond is at the expense of the Subcontractor and should clearly state the amount and requirements of the bond. (§ 13-4-37). Failure of a Subcontractor to provide the required bonds shall not subject Owner to any increase in cost due to approved substitution of Subcontractor.

SC-6.03 Contractor’s Liability Insurance

K. The limits of liability for insurance required by Paragraph 6.03 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations:

1. Worker’s Compensation (including accident and occupational disease coverages):
   a. State: Statutory
   b. Employer’s Liability: $ 100,000 each accident
      $ 100,000 disease-each employee
      $ 500,000 disease-policy limit

2. Commercial General Liability (including Products and Completed Operations):
   a. Occurrence Form $1,000,000 each occurrence
      $2,000,000 general aggregate
      $1,000,000 products - completed operations

3. Business/Commercial Automobile Liability:
   a. Combined Single Limit $1,000,000 or, equivalent split limits for Bodily Injury & Property Damage
4. Umbrella Excess Liability: $1,000,000 each occurrence
   $1,000,000 aggregate

5. The Contractor shall require each of his subcontractors to procure and to maintain during the life of his subcontract, General Liability Insurance of the type and in the same amounts as specified in the preceding paragraph.

6. Additional Insureds: In addition to Owner and Engineer, include as additional insureds the following:

None.

**SC-6.05.A.1 Property Insurance – Additional Insureds**

*Add the following new subparagraph after subparagraph 6.05.A.1:*

*a. In addition to Owner, Contractor, and all Subcontractors, include as insureds the following:*

   None.

**SC-6.05.A Builder’s Risk Supplemental Requirements**

*Add the following to the list of requirements in Paragraph 6.05.A, as a numbered items:*

14. be subject to a deductible amount of no more than $1,000.00 for direct physical loss in any one occurrence.

**SC-7.06.A Subcontractors**

The Contractor shall not award work valued at more than fifty percent (50%) of the Contract Price to Subcontractor(s), without prior written approval of the Owner.

**SC-7.09.B Tax Exemption**

*B. Owner is exempt from payment of sales and compensating use taxes of the State of [insert name of state where Project is located] and of cities and counties thereof on all materials to be incorporated into the Work.*

1. Owner will furnish the required certificates of tax exemption to Contractor for use in the purchase of supplies and materials to be incorporated into the Work.

2. Owner’s exemption does not apply to construction tools, machinery, equipment, or other property purchased by or leased by Contractor, or to supplies or materials not incorporated into the Work.

**SC-7.12 Safety and Protection**

*H. In accordance with OSHA stipulations, there may be locations within the project that are determined to be confined spaces, and possibly permit-required confined spaces. It is solely the Contractor’s responsibility to determine where special permits may be required and to apply for and obtain any required permit and to subsequently assure compliance with all provisions of the permit on the part of Contractor’s personnel and that of all Subcontractors. The cost of obtaining and implementing such permits shall be considered incidental to related work. No additional*
compensation will be made by the Owner. The Contractor’s safety program must include
reference to such permit requirements as well as a copy of the permit, and Owner and Engineer
must be informed of all specific requirements related to entry, per Paragraph 7.12.D.

I. Contractor shall provide two (2) copies of the safety plan to the Engineer prior to commencing
construction (one shall be for Owner’s files). Neither the Owner nor Engineer shall approve or
comment on the Contractor’s safety plan, nor shall the Owner or Engineer assume any
responsibility for assuring compliance on the part of personnel on site, other than Owner’s or
Engineer’s employees and representatives, as stipulated in Paragraph 7.12.D.

SC-7.13.B Contractor Safety Representative

B. Contractor Safety Representative shall personally confer with the Resident Project Representative
and Engineer at least once prior to commencement of the Work and at least once per week during
Project execution.

SC-7.16 Submittals

F. Contractor shall furnish required submittals with sufficient information and accuracy in order to
obtain required approval of an item with no more than three submittals. Engineer will record
Engineer’s time for reviewing subsequent submittals of Shop Drawings, samples, or other items
requiring approval and Contractor shall reimburse Owner for Engineer’s charges for such time.

G. In the event that Contractor requests a change of a previously approved item, Contractor shall
reimburse Owner for Engineer’s charges for its review time unless the need for such change is
beyond the control of Contractor.

SC-10.03 Project Representative

B. The Resident Project Representative (RPR) will be Engineer’s representative at the Site, will act as
directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR’s
actions.

1. General: RPR’s dealings in matters pertaining to the Work in general shall be with Engineer
and Contractor. RPR’s dealings with Subcontractors shall only be through or with the full
knowledge and approval of Contractor. RPR shall generally communicate with Owner only
with the knowledge of and under the direction of Engineer.

2. Schedules: Review the progress schedule, schedule of Shop Drawing and Sample submittals,
and Schedule of Values prepared by Contractor and consult with Engineer concerning
acceptability.

3. Conferences and Meetings: Attend meetings with Contractor, such as preconstruction
conferences, progress meetings, job conferences, and other Project-related meetings, and
prepare and circulate copies of minutes thereof.

4. Liaison:

   a. Serve as Engineer’s liaison with Contractor. Working principally through Contractor’s
      authorized representative or designee, assist in providing information regarding the
      provisions and intent of the Contract Documents.
b. Assist Engineer in serving as Owner’s liaison with Contractor when Contractor’s operations affect Owner’s on-Site operations.

c. Assist in obtaining from Owner additional details or information, when required for proper execution of the Work.

5. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.

6. Shop Drawings and Samples:
   a. Record date of receipt of Samples and Contractor-approved Shop Drawings.
   b. Receive Samples which are furnished at the Site by Contractor, and notify Engineer of availability of Samples for examination.
   c. Advise Engineer and Contractor of the commencement of any portion of the Work requiring a Shop Drawing or Sample submittal for which RPR believes that the submittal has not been approved by Engineer.

7. Modifications: Consider and evaluate Contractor’s suggestions for modifications in Drawings or Specifications and report such suggestions, together with RPR’s recommendations, if any, to Engineer. Transmit to Contractor in writing decisions as issued by Engineer.

8. Review of Work and Rejection of Defective Work:
   a. Conduct on-Site observations of Contractor’s work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
   b. Report to Engineer whenever RPR believes that any part of Contractor’s work in progress is defective, will not produce a completed Project that conforms generally to the Contract Documents, or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of that part of work in progress that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.

9. Inspections, Tests, and System Start-ups:
   a. Verify that tests, equipment, and systems start-ups and operating and maintenance training are conducted in the presence of appropriate Owner’s personnel, and that Contractor maintains adequate records thereof.
   b. Observe, record, and report to Engineer appropriate details relative to the test procedures and systems start-ups.

10. Records:
    a. Prepare a daily report or keep a diary or log book, recording Contractor’s hours on the Site, Subcontractors present at the Site, weather conditions, data relative to questions of Change Orders, Field Orders, Work Change Directives, or changed conditions, Site visitors, deliveries of equipment or materials, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.
b. Record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of all Contractors, Subcontractors, and major Suppliers of materials and equipment.

c. Maintain records for use in preparing Project documentation.

11. Reports:

a. Furnish to Engineer periodic reports as required of progress of the Work and of Contractor’s compliance with the Progress Schedule and schedule of Shop Drawing and Sample submittals.

b. Draft and recommend to Engineer proposed Change Orders, Work Change Directives, and Field Orders. Obtain backup material from Contractor.

c. Immediately notify Engineer of the occurrence of any Site accidents, emergencies, acts of God endangering the Work, force majeure or delay events, damage to property by fire or other causes, or the discovery of any Constituent of Concern or Hazardous Environmental Condition.

12. Payment Requests: Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the Schedule of Values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.

13. Certificates, Operation and Maintenance Manuals: During the course of the Work, verify that materials and equipment certificates, operation and maintenance manuals and other data required by the Contract Documents to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents delivered to Engineer for review and forwarding to Owner prior to payment for that part of the Work.

14. Completion:

a. Participate in Engineer’s visits to the Site to determine Substantial Completion, assist in the determination of Substantial Completion and the preparation of a punch list of items to be completed or corrected.

b. Participate in Engineer’s final visit to the Site to determine completion of the Work, in the company of Owner and Contractor, and prepare a final punch list of items to be completed and deficiencies to be remedied.

c. Observe whether all items on the final list have been completed or corrected and make recommendations to Engineer concerning acceptance and issuance of the notice of acceptability of the work.

C. The RPR shall not:

1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including “or-equal” items).

2. Exceed limitations of Engineer’s authority as set forth in the Contract Documents.

3. Undertake any of the responsibilities of Contractor, Subcontractors, or Suppliers.

4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of Contractor’s work.
5. Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.

6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer.

7. Accept Shop Drawing or Sample submittals from anyone other than Contractor.

8. Authorize Owner to occupy the Project in whole or in part.


This contract allows the Owner to make payment within forty-five (45) days after submission of an undisputed request for payment. Therefore Paragraphs 15.01.D, 15.06.D, 16.04.A and 16.04.B shall hereby reference a period of forty-five (45) days for Owner to effect payment instead of ten (10) days and/or thirty (30) days.

**SC-16.05 Notice of Stop Work or Termination**

SC-16.05 *Notice of Stop Work or Termination*

A. If the project is suspended or terminated by the Owner or Contractor, a written notice must be submitted to the Funding Agency seven days prior to said termination or suspension.

**SC-18.09 New Mexico State Requirements**

Add the following language after Article 18.08 with the title “New Mexico State Requirements”:

A. There shall be no discrimination against any employee or applicant for employment because of race, color, creed, sex, religion, sexual preference, ancestry or national origin.
WORK CHANGE DIRECTIVE NO. ____

Date of Issuance:    Effective Date:
Owner: Pueblo de San Ildefonso    Owner’s Contract No.: NP401(1)2&4 A08AV00051 (CTM00T71301) and NP402(1)2&4 A11V00280 (CTM00T71304)
Contractor:          Contractor’s Project No.: 
Engineer: Souder, Miller & Associates    Engineer’s Project No.: 9425386
Project: Povi Kaa Drive and Shu Maa Po    Contract Name: 

Contractor is directed to proceed promptly with the following change(s):
Description:

Attachments: [List documents supporting change]

Purpose for Work Change Directive:
Directive to proceed promptly with the Work described herein, prior to agreeing to changes on Contract Price and Contract Time, is issued due to: [check one or both of the following]

☐ Non-agreement on pricing of proposed change.
☐ Necessity to proceed for schedule or other Project reasons.

Estimated Change in Contract Price and Contract Times (non-binding, preliminary):
Contract Price $ __________________________ [increase] [decrease].
Contract Time _________ days [increase] [decrease].

Basis of estimated change in Contract Price:
☐ Lump Sum  ☐ Unit Price
☐ Cost of the Work  ☐ Other

RECOMMENDED:  AUTHORIZED BY:  RECEIVED:
By: __________________________  By: __________________________  By: __________________________
Engineer (Authorized Signature)  Owner (Authorized Signature)  Contractor (Authorized Signature)
Title: __________________________  Title: __________________________  Title: __________________________
Date: __________________________  Date: __________________________  Date: __________________________

Approved by Funding Agency (if applicable)
By: __________________________  Date: __________________________
Title: __________________________

EJCDC® C-940, Work Change Directive.
Prepared and published 2013 by the Engineers Joint Contract Documents Committee.
Page 1 of 1
CHANGE ORDER NO. ____

Date of Issuance: __________________________ Effective Date: __________________________

Owner: Pueblo de San Ildefonso  Owner’s Contract No.: NP401(1)2&4
A08AV00051 (CT M00T71301) and
NP402(1)2&4 A11V00280 (CTM00T71304)

Contractor: __________________________  Contractor’s Project No.: __________________________

Engineer: Souder, Miller & Associates  Engineer’s Project No.: 9425386

Project: Povi Kaa Drive and Shu Maa Po  Contract Name: __________________________

The Contract is modified as follows upon execution of this Change Order:

Description:

Attachments: [List documents supporting change]

<table>
<thead>
<tr>
<th>CHANGE IN CONTRACT PRICE</th>
<th>CHANGE IN CONTRACT TIMES</th>
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RECOMMENDED: __________________________  ACCEPTED: __________________________  ACCEPTED: __________________________

By: __________________________  By: __________________________  By: __________________________
Title: __________________________  Title: __________________________  Title: __________________________
Date: __________________________  Date: __________________________  Date: __________________________

EJCDC® C-941, Change Order.
Prepared and published 2013 by the Engineers Joint Contract Documents Committee.
Page 1 of 2
Approved by Funding Agency (if applicable)

By: __________________________________________ Date: __________________________

Title: __________________________________________
FIELD ORDER NO. ____

Date of Issuance: Effective Date:
Owner: Pueblo de San Ildefonso Owner’s Contract No.: NP401(1)2&4
A08AV00051 (CTM00T71301)
and
NP402(1)2&4
A11V00280 (CTM00T71304)
Contractor:
Engineer: Souder, Miller & Associates Engineer’s Project No.: 
Project: Povi Kaa Drive and Shu Maa Po Contract Name:

Contractor is hereby directed to promptly execute this Field Order, issued in accordance with General Conditions Paragraph 11.01, for minor changes in the Work without changes in Contract Price or Contract Times. If Contractor considers that a change in Contract Price or Contract Times is required, submit a Change Proposal before proceeding with this Work.

Reference:

Specification(s) Drawing(s) / Detail(s)

Description:

Attachments:

 ISSUED: RECEIVED:
By: ____________________________ By: ____________________________
Engineer (Authorized Signature) Contractor (Authorized Signature)
Title: __________________________ Title: __________________________
Date: __________________________ Date: __________________________

Copy to: Owner
CAMPAIGN CONTRIBUTION DISCLOSURE FORM

Pursuant to the Procurement Code, Sections 13-1-28, et seq., NMSA 1978 and NMSA 1978, § 13-1-191.1 (2006), as amended by Laws of 2007, Chapter 234, any prospective contractor seeking to enter into a contract with any state agency or local public body for professional services, a design and build project delivery system, or the design and installation of measures the primary purpose of which is to conserve natural resources must file this form with that state agency or local public body. This form must be filed even if the contract qualifies as a small purchase or a sole source contract. The prospective contractor must disclose whether they, a family member or a representative of the prospective contractor has made a campaign contribution to an applicable public official of the state or a local public body during the two years prior to the date on which the contractor submits a proposal or, in the case of a sole source or small purchase contract, the two years prior to the date the contractor signs the contract, if the aggregate total of contributions given by the prospective contractor, a family member or a representative of the prospective contractor to the public official exceeds two hundred and fifty dollars ($250) over the two year period.

Furthermore, the state agency or local public body may cancel a solicitation or proposed award for a proposed contract pursuant to Section 13-1-181 NMSA 1978 or a contract that is executed may be ratified or terminated pursuant to Section 13-1-182 NMSA 1978 of the Procurement Code if: 1) a prospective contractor, a family member of the prospective contractor, or a representative of the prospective contractor gives a campaign contribution or other thing of value to an applicable public official or the applicable public official’s employees during the pendency of the procurement process or 2) a prospective contractor fails to submit a fully completed disclosure statement pursuant to the law.

The state agency or local public body that procures the services or items of tangible personal property shall indicate on the form the name or names of every applicable public official, if any, for which disclosure is required by a prospective contractor.

THIS FORM MUST BE INCLUDED IN THE REQUEST FOR PROPOSALS AND MUST BE FILED BY ANY PROSPECTIVE CONTRACTOR WHETHER OR NOT THEY, THEIR FAMILY MEMBER, OR THEIR REPRESENTATIVE HAS MADE ANY CONTRIBUTIONS SUBJECT TO DISCLOSURE.

The following definitions apply:

“Applicable public official” means a person elected to an office or a person appointed to complete a term of an elected office, who has the authority to award or influence the award of the contract for which the prospective contractor is submitting a competitive sealed proposal or who has the authority to negotiate a sole source or small purchase contract that may be awarded without submission of a sealed competitive proposal.

“Campaign Contribution” means a gift, subscription, loan, advance or deposit of money or other thing of value, including the estimated value of an in-kind contribution, that is made to or received by an applicable public official or any person authorized to raise, collect or expend contributions on that official’s behalf for the purpose of electing the official to statewide or local office. “Campaign Contribution” includes the payment of a debt incurred in an election campaign, but does not include the value of services provided without compensation or unreimbursed travel or other personal expenses of individuals who volunteer a portion or all of their time on behalf of a candidate or political committee, nor does it include the administrative or solicitation expenses of a political committee that are paid by an organization that sponsors the committee.

“Family member” means spouse, father, mother, child, father-in-law, mother-in-law, daughter-in-law or son-in-law of (a) a prospective contractor, if the prospective contractor is a natural person; or (b) an owner of a prospective contractor.
“Pendency of the procurement process” means the time period commencing with the public notice of the request for proposals and ending with the award of the contract or the cancellation of the request for proposals.

“Prospective contractor” means a person or business that is subject to the competitive sealed proposal process set forth in the Procurement Code or is not required to submit a competitive sealed proposal because that person or business qualifies for a sole source or a small purchase contract.

“Representative of a prospective contractor” means an officer or director of a corporation, a member or manager of a limited liability corporation, a partner of a partnership or a trustee of a trust of the prospective contractor.

Name(s) of Applicable Public Official(s) if any: _______________________________________
(Completed by State Agency or Local Public Body)

DISCLOSURE OF CONTRIBUTIONS BY PROSPECTIVE CONTRACTOR:

Contribution Made By: __________________________________________

Relation to Prospective Contractor: __________________________________________

Date Contribution(s) Made: __________________________________________

Amount(s) of Contribution(s) __________________________________________

Nature of Contribution(s) __________________________________________

Purpose of Contribution(s) __________________________________________

(Attach extra pages if necessary)

___________________________ _______________________
Signature             Date

Title (position)

--OR--

NO CONTRIBUTIONS IN THE AGGREGATE TOTAL OVER TWO HUNDRED FIFTY DOLLARS ($250) WERE MADE to an applicable public official by me, a family member or representative.

___________________________ _______________________
Signature             Date

Title (Position)
NOTICE TO CONTRACTORS

Damage to Remaining Surface / Subgrade

SHU MAA PO
PROJECT No. NP402(2) 4

The Contractor shall take all necessary precautions to maintain the integrity of the remaining asphalt surface and existing subgrade during milling operations for this project.

The Contractor is hereby advised that any damage to the remaining asphalt surface and/or subgrade, due to cold-milling activities, will need to be repaired prior to laying the new asphalt (overlay). The materials and labor costs associated to perform this work shall be born solely by the Contractor at no expense to the Owner.

The Contractor and the Owner or the owner’s representative shall inventory the condition of the existing roadway prior to the beginning of construction activities. The method to inventory the pre-construction condition shall be agreed to by the Contractor and the Owner. If a method can is not agreed upon jointly the Owner reserves the right to direct the Contractor to use video documentation. The pre-construction inventory shall be used as a basis for indentifying locations that need to be restored by the Contractor prior to laying the new asphalt (overlay). If the Contractor fails to restore the identified locations in a timely manner the Owner may begin restoration on its own behalf. If the Owner must perform this work on its own behalf the Owner will deduct the cost of the restoration from compensation due, or to become due to the Contractor.

END OF NOTICE
NOTICE TO CONTRACTORS

Contract Time

POVI KAA
PROJECT No. NP401(2) 2&4

SHU MAA PO
PROJECT No. NP402(2) 4

The contract time count consisting of a Working Days shall govern this project.

CONTRACT COMPLETION TIME

The Contract Completion Time for this contract is **75 Working Days**. The Contract Completion Time count will commence on the date specified in the Notice to Proceed submitted to the Contractor by the Owner and shall end upon Physical Completion of the contract. All work in the contract, shall be completed within this time in accordance with the definition of “Physical Completion” in Section 101 of the 2019 Edition of New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction. For purposes of this contract, this time shall be known as the “Contract Time.” The contractor shall be assessed liquidated damages for each consecutive Working Day in excess of this time in accordance with Section 108.8 Liquidated Damages, as cited in the 2019 Standard Specifications.

PROGRESS SCHEDULE

The Contractor shall provide a progress schedule (CPM), to the Owner at the preconstruction conference (or sooner) for approval by the Project Manager prior to initiating any work. Contract Completion Time shall be shown on the Contractor’s progress schedule.

END OF NOTICE
NOTICE TO CONTRACTORS

Mandatory Pre-Bid Conference

POVI KAA  
PROJECT No. NP401(2) 2&4

SHU MAA PO  
PROJECT No. NP402(2) 2&4

As a condition to submitting a Bid, prospective Bidders must attend a Mandatory Pre-Bid Conference. As an action of pandemic restrictions on public meetings, San Ildefonso Pueblo will host the mandatory pre-bid meeting for Povi Kaa and Shu Maa Po Projects via the teleconferencing service, Microsoft Teams. The Mandatory Pre-Bid Conference will be held on March 15th, 2022, at 2:00 p.m. The link for the meeting will allow Bidders to login beginning at 2:00 p.m. and ending at or before 3:00 p.m. local prevailing time. A recorded audio roll call for attendance will begin at 2:00 p.m. A second recorded audio roll call will be conducted at the close of the meeting. At 2:00 p.m., the start of the Mandatory Pre-Bid Conference will be announced. Any individuals who login after 2:00 p.m. and are not logged into Microsoft Teams when the start of the Mandatory Pre-Bid Conference is announced and who are not recorded in both roll calls will not be compliant with this Notice to Contractors (“NTC”). Attendance at the Mandatory Pre-Bid Conference will be evidenced by the two (2) recorded roll calls.

The purpose of the Mandatory Pre-Bid Conference is to discuss:

1. Work Zone Restrictions
2. Mandatory Start Date
3. Date Restrictions
4. Material Location
5. Emergency Access Route

The Mandatory Pre-Bid Conference will be held through Microsoft Teams. Log in link and instructions for Microsoft Teams meeting are detailed below:

Microsoft Teams Meeting Link:
https://teams.microsoft.com/l/meetup-join/19%3ameeting_M2RjNTA3ZTctZDNmYy00YjdKLg0NTMtZmU1Njd4Mzhm%40thread.v2/0?context=%7b%22Tid%22%3a%222d50484b-ae4-4545-a884-cb6d959e0dda%22%2c%22Oid%22%3a%22480d479f-5314-4d98-b745-0c74ac685f1a%22%7d

Log in instructions:
Use one of the two methods below to log into the meeting.
Method 1 - Through web browser:
1. Type or Copy meeting link in web browser search bar.

2. Select “Continue on this browser” and in the following window enter your name as follows:
   Name, Company you are representing
Then click “Join Now”.
3. You will be placed in a waiting room until the Host admits you into the meeting. On this window you will be able to adjust your microphone and camera settings.

Method 2 - Through Microsoft Teams Application
1. Type or Copy meeting link in web browser search bar.

2. Select “Download the Windows app”. Install the file that downloads. After installation, the app will open. Enter your name as follows:

   Name, Company you are representing

   Then click “Join Now”.

3. You will be placed in a waiting room until the Host admits you into the meeting. On this window you will be able to adjust your microphone and camera settings.

A computer with a microphone and internet access is required to utilize Microsoft Teams.

Project related questions raised before or after the Mandatory Pre-Bid Conference shall be directed to the Chief Engineer pursuant to the 2019 Edition of the Standard Specifications for Highway and Bridge Construction Section 102.7.1 (1), “Requests for Contract Interpretation”.

The Bidder will not be compliant with this NTC and its Bid shall be rejected as non-responsive if the Bidder or Bidders representative does not attend this Mandatory Pre-Bid Conference on time and remain for its entirety.

END OF NOTICE
NOTICE TO CONTRACTORS

Clarifications and Miscellaneous Items

POVI KAA
PROJECT No. NP401(2) 2&4

SHU MAA PO
PROJECT No. NP402(2) 2&4

Site Visits shall be coordinated through Lillian Garcia (505) 455-4110. Contact Lillian to schedule a date and time for project site review.

San Ildefonso Pueblo has made a project site construction yard available at no additional cost to the contractor. The yard is located at approximately, Shu Maa Po, Station 35+05, Rt and is approximately 150-feet south of the existing turnout. The Contractor shall provide, per General Note 5, an environmental clearance of the contractor’s construction yard. The Contractor shall clean, grade and reseed the site upon the conclusion of construction activities.

Per General Note 22, “The contractor shall furnish all construction water required in the construction of the project.” The contractor may coordinate with the Pueblo in finding a source for construction water and the contractor shall maintain responsibility for providing construction water.

Per Section 4.2.3 of the project’s geotechnical report (Exhibit A), “subgrade soils beneath pavements shall be scarified, moisture conditioned and compacted to a minimum depth of 10 inches.”

For Bid submissions, please enter the Pueblo using the Shu Maa Po Road and NM State Road 502 entrance. State the project name and let the monitors know that you are delivering the submittal to Lillian Garcia or the receptionist at the Governor’s office. The address is 02 Tunyo Po, Santa Fe, NM 87506 (35.8942 N, -106.1203 W).

The contractor should provide a notarized statement on company letterhead of Indian ownership (the percentage). Indian ownership shall constitute not less than 51 per centum of the enterprise.

END OF NOTICE
NOTICE TO CONTRACTORS

Milling Material

POVI KAA
PROJECT No. NP401(2) 2&4

SHU MAA PO
PROJECT No. NP402(2) 2&4

The contractor shall stockpile milled material at the intersection of County Road 84 (CR 84) and Agoyo Po Road. The milling stockpile is on the south side of CR 84, approximately, 1.3 mile east of Povi Kaa Drive along CR 84. (Latitude and Longitude: 35.89218322155618, -106.09973966833984).

It is the intention of the Owner that the millings from Shu Maa Po be delivered and stockpiled at the milling stockpile identified above. The Contractor shall deliver and neatly pile the milling material with the existing stockpile. These millings shall be kept separate from the existing millings pile as directed by the project manager.
APPENDIX A

Minimum Wage Rate Determination
Except for work performed by tribal or tribal organization employees, the Construction Contractor will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. 276c and 18 U.S.C. 874), for Federally assisted construction sub-agreements.
APPENDIX B

PROJECT SIGN REQUIREMENTS:

PROJECT IDENTIFICATION SIGN – POVI KAA DRIVE & SHU MAA PO

The Contractor shall provide, erect, and maintain for the duration of the construction project one identification sign at the construction site. The sign shall be painted on one side with a background color of yellow with red lettering of 3/4" thick, not smaller than 4' x 6' nor larger than 4' x 8', marine grade plywood. The sign shall be mounted on two 4" x 4" posts, with the bottom of the sign at least four feet above grade. The sign shall be mounted level and at the location designated by the Engineer or the Owner's Representative. The sign shall be salvaged to the Owner at the end of the construction project.

Sample sign:

POVI KAA DRIVE & SHU MAA PO

PUEBLO DE SAN ILDEFONSO

FUNDED BY BUREAU OF INDIAN AFFAIRS

Financing Administered by: Pueblo de San Ildefonso
Contractor: (enter name of Contractor)
Engineer: Souder, Miller & Associates
Total Project Cost: (enter contract total amount)
Projected Completion Date: (enter date according to contract)
EXHIBIT A

Geotechnical Investigation Report

Note: The following clarification is made within the Standard General Conditions (Article 5.03.B) in regard to the “technical data” provided in this Exhibit.

B. Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to

1. the completeness of such reports and drawings for Contractor’s purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.
Geotechnical Engineering Report

Povi Kaa Drive and Shumaa Po
San Ildefonso Pueblo
Santa Fe, New Mexico

October 7, 2016
Terracon Project No. 66165119

Prepared for:
Souder Miller & Associates
Albuquerque, New Mexico

Prepared by:
Terracon Consultants, Inc.
Albuquerque, New Mexico
October 7, 2016

Souder Miller & Associates
3451 Candelaria Road NE, Suite D
Albuquerque, New Mexico 87107

Attn: Ms. Amy L.D. Niese, PE and PMP
P: (505) 299-0942
E: amy.niese@soudermiller.com

Re: Geotechnical Engineering Report
Povi Kaa Drive and Shumaa Po
San Ildefonso Pueblo
Santa Fe County, New Mexico
Terracon Project No. 66165119

Dear Ms. Niese:

Terracon Consultants, Inc. (Terracon) has completed the geotechnical engineering services for the above referenced project. These services were performed in general accordance with our Proposal Number P66140279, dated December 5, 2011. This geotechnical engineering report presents the results of the subsurface exploration and provides geotechnical recommendations concerning earthwork and the design and construction of pavements for the proposed project.

We appreciate the opportunity to be of service to you on this project. If you have any questions concerning this report, or if we may be of further service, please contact us.

Sincerely,
Terracon Consultants, Inc.

Sam G. Zsiga
Staff Engineer

Copies to: Addressee (1 via email, 3 via mail)
EXECUTIVE SUMMARY

This geotechnical executive summary should be used in conjunction with the entire report for design and/or construction purposes. It should be recognized that specific details were not included or fully developed in this section, and the report must be read in its entirety for a comprehensive understanding of the items contained herein. The section titled General Comments should be read for an understanding of the report limitations.

A geotechnical exploration has been performed for Povi Kaa Drive and Shumaa Po located within the San Ildefonso Pueblo in Santa Fe County, New Mexico. Terracon’s geotechnical scope of work included the advancement of seven (7) test borings to an approximate depth of five (5) feet below existing site grades.

Based on the information obtained from our subsurface exploration, the project alignments are suitable for development of the proposed improvements. The following geotechnical considerations were identified:

**Existing Site Conditions:** Existing asphalt concrete pavement and some Portland cement concrete curb and gutter (limited) currently occupy the project alignments. The existing pavement along Povi Kaa will need to be razed prior to pavement reconstruction.

**Site Soils:** The shallow site subgrade soils beneath existing pavement materials consisted predominantly of sand with varying amounts of silt and gravel. Lean clay and silt with varying amounts of sand and gravel were encountered below the sands. Groundwater was not encountered at the time of drilling.

**New Pavement Section:** The new pavement for Povi Kaa Drive was designed based upon AASHTO design methodology. The recommended pavement sections are outlined below:

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<th>Traffic Area</th>
<th>Recommended Pavement Section Thickness (inches)</th>
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<td>Asphalt Concrete Surface</td>
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<td>Povi Kaa Drive</td>
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</table>

**Pavement Rehabilitation:** The recommended pavement rehabilitation for Shumaa Po was designed based upon AASHTO design methodology. The recommended pavement overlay thickness is outlined below:

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<th>Traffic Area</th>
<th>Recommended Pavement Section Thickness (inches)</th>
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</thead>
<tbody>
<tr>
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<td>Asphalt Concrete Surface</td>
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<tr>
<td>Shumaa Po</td>
<td>2.0*</td>
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</tbody>
</table>

*Assumes a one (1) inch mill prior to overlay placement

Earthwork on the project should be observed and evaluated by Terracon. The evaluation of earthwork should include observation and testing of engineered fill, subgrade preparation, foundation bearing soils, and other geotechnical conditions exposed during construction.
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## Appendix A – Field Exploration
- Site Location Map
- Boring Location Plan
- Field Exploration Description
- Boring Logs
- General Notes
- Unified Soil Classification System

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## Appendix B – Laboratory Testing
- Laboratory Test Description
- Grain Size Distribution
- R-values
- Summary of Laboratory Results
- Chemical Test Results

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</tr>
<tr>
<td>B3</td>
<td>R-values</td>
<td>B3</td>
</tr>
<tr>
<td>B4</td>
<td>Summary of Laboratory Results</td>
<td>B4</td>
</tr>
<tr>
<td>B5</td>
<td>Chemical Test Results</td>
<td>B5</td>
</tr>
<tr>
<td>B6</td>
<td>Summary of Laboratory Results</td>
<td>B6</td>
</tr>
<tr>
<td>B7</td>
<td>Chemical Test Results</td>
<td>B7</td>
</tr>
</tbody>
</table>

## Appendix C – Traffic Data and Pavement Thickness Design
- Traffic data and Equivalent Single Axle Loads (ESAL)
- AASHTO Pavement Design

<table>
<thead>
<tr>
<th>Appendix</th>
<th>Description</th>
<th>Exhibit No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>C1 and C2</td>
<td>Traffic data and Equivalent Single Axle Loads (ESAL)</td>
<td>C1 and C2</td>
</tr>
<tr>
<td>C3 and C4</td>
<td>AASHTO Pavement Design</td>
<td>C3 and C4</td>
</tr>
</tbody>
</table>
1.0 INTRODUCTION

This report presents the results of our geotechnical engineering services performed for Povi Kaa Drive and Shumaa Po located within the San Ildefonso Pueblo in Santa Fe County, New Mexico. The report addresses the following:

- subsurface soil conditions
- pavement design and construction
- earthwork
- pavement rehabilitation
- groundwater
- pavement materials specifications

Our geotechnical engineering scope of work for this project included the advancement of seven (7) test borings to a depth of approximately five (5) feet below existing site grades.

Logs of the borings along with a Site Location Map and Boring Location Plan are included in Appendix A of this report. The results of the laboratory testing performed on soil samples obtained from the site during the field exploration are included in Appendix B of this report. Descriptions of the field exploration and laboratory testing are included in their respective appendices.

2.0 PROJECT INFORMATION

2.1 Project Description

<table>
<thead>
<tr>
<th>ITEM</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Site layout</td>
<td>Refer to the Site Location Map and Boring Location Plan (Exhibits A1 and A2 in Appendix A)</td>
</tr>
<tr>
<td>Improvements</td>
<td>The project will include the pavement reconstruction of Povi Kaa Drive and the pavement rehabilitation of Shumaa Po. Replacement of existing corrugated metal pipe (CMP) with reinforced concrete pipe (RCP)</td>
</tr>
</tbody>
</table>
| Length of improvements | Povi Kaa Drive – Approximately 4,000 lineal feet  
Shumaa Po – Approximately 5,000 lineal feet                      |

Responsive ■ Resourceful ■ Reliable
**Age of existing pavement**

Based upon review of provided information, it is our understanding that the age of the existing pavement is likely on the order of about 15 to 20 years or more.

**Grading**

Within 1 foot of existing site grade (assume)

**Traffic loading**

Average Daily Traffic (ADT) with 2% Growth Rate:
- Povi Kaa Drive – 1,602
- Shumaa Po - 612

Truck/Bus Traffic:
- Trash Trucks – 2/month
- Delivery Trucks – 3 to 4/day
- Buses – 3 buses/day (RTD and School – 1 to 2 times per day)
- Mail Trucks – 1/day (2 trips)
- Fire Trucks/Emergency Calls – 3/month

**New pavement surface**

Composite section consisting of asphalt concrete overlying aggregate base course

**Design specifications**

American Association of State Highway and Transportation Officials (AASHTO)

**Construction and material specifications**

Standard Specifications for Construction of Roads and Bridges on Federal Highway Projects, FP-14

### 2.2 Site Location and Description

<table>
<thead>
<tr>
<th>ITEM</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Location</strong></td>
<td>Povi Kaa Drive and Shumaa Po within the San Ildefonso Pueblo, Santa Fe County, New Mexico</td>
</tr>
<tr>
<td><strong>Existing site features</strong></td>
<td>2-lane asphalt concrete paved roadways with narrow paved shoulders. Some curb, and gutter installed within the north end of Povi Kaa.</td>
</tr>
</tbody>
</table>
| **Existing distress** | Low to moderate severity longitudinal and transverse cracking
  Low to moderate severity block cracking |
| **Surrounding developments** | Single-family residential structures |
| **Current ground cover** | Asphalt concrete and Portland cement concrete curb, gutter
  (limited) |
| **Existing topography** | The project alignment is gently to moderately sloping down to the west and southwest (assume). |
3.0 SUBSURFACE CONDITIONS

3.1 Existing Pavement Thickness

As part of the field work, the pavement materials were measured at the boring locations. The existing pavement thicknesses at the boring locations are summarized as follows:

<table>
<thead>
<tr>
<th>Boring No.</th>
<th>Roadway</th>
<th>Existing Pavement Thickness (inches)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Asphalt Concrete</td>
</tr>
<tr>
<td>B-01</td>
<td>Shumaa Po</td>
<td>3.5</td>
</tr>
<tr>
<td>B-02</td>
<td>Shumaa Po</td>
<td>2.5</td>
</tr>
<tr>
<td>B-03</td>
<td>Shumaa Po</td>
<td>3.5</td>
</tr>
<tr>
<td>B-04</td>
<td>Povi Kaa Drive</td>
<td>3</td>
</tr>
<tr>
<td>B-05</td>
<td>Povi Kaa Drive</td>
<td>6.75</td>
</tr>
<tr>
<td>B-06</td>
<td>Povi Kaa Drive</td>
<td>5.5</td>
</tr>
<tr>
<td>B-07</td>
<td>Povi Kaa Drive</td>
<td>2</td>
</tr>
</tbody>
</table>

3.2 Typical Subsurface Profile

Specific conditions encountered at each boring location are indicated on the individual boring logs. Stratification boundaries on the boring logs represent the approximate location of changes in soil types; in-situ, the transition between materials may be gradual. Details for each of the borings can be found on the boring logs included in Appendix A of this report. Based on the results of the borings, subsurface conditions on the project site can be generalized as follows:

<table>
<thead>
<tr>
<th>Description</th>
<th>Approximate Depth to Bottom of Stratum (feet)</th>
<th>Material Encountered</th>
<th>Consistency/Density</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stratum 1</td>
<td>0.2 to 1.2</td>
<td>Asphalt concrete (2 to 6.75 inches) Aggregate base course (0 to 8 inches)</td>
<td>N/A</td>
</tr>
<tr>
<td>Stratum 2</td>
<td>2 to 5</td>
<td>Sand. The silt and gravel content varied</td>
<td>Loose to Medium Dense</td>
</tr>
<tr>
<td>Stratum 3</td>
<td>5</td>
<td>Clay and Silt. The sand and gravel content varied.</td>
<td>Medium Stiff to Hard</td>
</tr>
</tbody>
</table>
The subgrade soils were non-plastic to low in plasticity.

The R-value tests performed on samples of the representative shallow sand subgrade indicated values of 27 and 78.

Laboratory test results indicate that on-site soils have soluble sulfate concentrations ranging from 5 to 49 mg/kg.

3.3 Groundwater

Groundwater was not observed in the test borings at the time of field exploration, nor when checked upon completion of drilling. These observations represent groundwater conditions at the time of the field exploration and may not be indicative of other times, or at other locations. Groundwater conditions can change with varying seasonal and weather conditions, and other factors.

Fluctuations in groundwater levels can best be determined by implementation of a groundwater monitoring plan. Such a plan would include installation of groundwater monitoring wells, and periodic measurement of groundwater levels over a sufficient period of time.

4.0 RECOMMENDATIONS FOR DESIGN AND CONSTRUCTION

4.1 Geotechnical Considerations

The existing pavement section thickness varied from approximately 2 to 6.75 inches of asphalt overlying approximately 0 to 8 inches of aggregate base course. The existing pavement materials located along Povi Kaa Drive will need to be razed prior to new pavement construction.

Based on the geotechnical subsurface exploration, the laboratory test results, and our engineering analyses, the proposed new pavement materials located along Povi Kaa can be supported on prepared subgrade consisting of native soils or newly paced engineered fill. The existing base course materials can be used for new pavement support and can be included as part of the new pavement section. The existing asphalt concrete could be considered for reuse as aggregate base course if adequately processed to meet aggregate base course specifications.

The thickness of existing pavement materials and quality of the soil subgrade along Shumaa Po appear to allow for a relatively thin asphalt overlay to extend the life of the pavement.

Geotechnical engineering recommendations for pavements and other earth connected phases of the project are outlined below. The recommendations contained in this report are based upon
the results of field and laboratory testing (which are presented in Appendices A and B), engineering analyses, and our current understanding of the proposed project.

4.2 Earthwork

The following presents recommendations for site preparation, excavation, subgrade preparation and placement of engineered fills on the project. The recommendations presented for design and construction of earth supported elements including foundations and pavements are contingent upon following the recommendations outlined in this section.

Earthwork should be performed in accordance with Division 200, Earthwork, of the Standard Specifications for Construction of Roads and Bridges on Federal Highway Projects, FP-14, referred hereafter as the Specifications.

Earthwork on the project should be observed and evaluated by Terracon. The evaluation of earthwork should include observation and testing of engineered fill, subgrade preparation, foundation bearing soils, and other geotechnical conditions exposed during the construction of the project.

4.2.1 Site Preparation

Removal of the existing asphalt (where applicable) should be performed in accordance with Section 211, Roadway Obliteration, of the Specifications.

Where applicable, strip and remove existing vegetation, debris, curb and gutters, pavements, and other deleterious materials from new pavement areas. Exposed surfaces should be free of mounds and depressions which could prevent uniform compaction.

The reuse of asphalt concrete pavement materials for increased subgrade support or as part of the new pavement section may be considered if the pavement materials are adequately processed (1-inch minus) to meet the aggregate base course specifications. In-situ pulverization or milling of the existing asphalt pavement could be considered; however, varying thickness of the existing pavement materials could be encountered along the roadways and achieving a uniform thickness of improved subgrade may be difficult to achieve.

Stripped materials consisting of vegetation and organic materials (if applicable) should be wasted from the site, or used to revegetate landscaped areas or exposed slopes after completion of grading operations. If it is necessary to dispose of organic materials on-site, they should be placed in non-structural areas, and in fill sections not exceeding 5 feet in height.

The site should be initially graded to create a relatively level surface to receive fill (if applicable), and to provide for a relatively uniform thickness of fill beneath proposed new pavements.
Evidence indicating the potential presence of underground utilities adjacent to the existing roadway was observed during the field operations. If abandoned utilities or loose/soft fills are encountered, such features should be removed and the excavation thoroughly cleaned prior to backfill placement and/or construction.

4.2.2 Excavation
Excavations should be performed in accordance with Sections 204, *Excavation and Embankment* of the Specifications.

Shallow excavations into the on-site soils are expected to be accomplished with conventional earthwork equipment.

Based on the results from the soil borings, we do not anticipate groundwater control measures will be necessary in excavations up to about 5 feet below existing site grades.

Based upon the subsurface conditions determined from the geotechnical exploration, it our opinion that the subgrade soils should be relatively stable during construction; however, elevated moisture conditions within the subgrade were encountered in some borings. In addition, the stability of the subgrade may be affected by precipitation, repetitive construction traffic or other factors. If unstable conditions develop, workability may be improved by scarifying and drying. Overexcavation of wet zones and replacement with granular materials may be necessary. Lightweight excavation equipment may be required to reduce subgrade pumping. In addition, the existing asphalt could be processed and used to stabilize the subgrade.

Use of fly ash, kiln dust or cement could also be considered as a stabilization technique. Laboratory evaluation is recommended to determine the effect of chemical stabilization on subgrade soils prior to construction. Geogrid could also be used as a stabilization measure and specified per Section 207, *Earthwork Geotextiles*, of the Specifications.

The individual contractor(s) is responsible for designing and constructing stable, temporary excavations as required to maintain stability of both the excavation sides and bottom. Excavations should be sloped or shored in the interest of safety following local and federal regulations, including current OSHA excavation and trench safety standards.

4.2.3 Subgrade Preparation
Subgrade preparation should be conducted in accordance with Section 204, *Excavation and Embankment*, of Specifications.

Subgrade soils beneath pavements should be scarified, moisture conditioned and compacted to a minimum depth of 10 inches. The moisture content and compaction of subgrade soils should be maintained until pavement construction.
Due to the potential for loose subgrade soils to be encountered along some portions of the project alignments, we recommend that the subgrade be proof rolled (minimum 30 ton weight) within two days prior to commencement of actual paving operations. Areas where loose or unstable subgrade conditions are encountered (i.e.-rutting or deflection) should be repaired by removing and replacing the materials with properly compacted fills. If a significant precipitation event occurs after the evaluation or if the surface becomes disturbed, the subgrade should be reviewed by qualified personnel immediately prior to paving. The subgrade should be in its finished form at the time of the final review.

4.2.4 Fill Materials and Placement

All fill materials should be inorganic soils free of vegetation, debris, and fragments larger than six inches in size. Pea gravel or other similar non-cementitious, poorly-graded materials should not be used as fill or backfill without the prior approval of the geotechnical engineer.

Clean on-site soils or approved imported materials may be used as fill material for the following:

- general site grading
- pavement areas
- subgrade areas

Imported soils (if required) for use as fill material within proposed pavement areas should conform to following specifications:

<table>
<thead>
<tr>
<th>Gradation</th>
<th>Percent Finer by Weight (ASTM C 136)</th>
</tr>
</thead>
<tbody>
<tr>
<td>6”</td>
<td>.......................................................... 100</td>
</tr>
<tr>
<td>3”</td>
<td>.......................................................... 70-100</td>
</tr>
<tr>
<td>No. 4 Sieve</td>
<td>.......................................................... 50-100</td>
</tr>
<tr>
<td>No. 200 Sieve</td>
<td>.......................................................... 35 (max)</td>
</tr>
<tr>
<td>Liquid Limit</td>
<td>.......................................................... 25 (max)</td>
</tr>
<tr>
<td>Plasticity Index</td>
<td>.......................................................... 10 (max)</td>
</tr>
<tr>
<td>Minimum R-value</td>
<td>.......................................................... 27</td>
</tr>
</tbody>
</table>

Engineered fill should be placed and compacted in horizontal lifts, using equipment and procedures that will produce recommended moisture contents and densities throughout the lift. Fill lifts should not exceed eight (8) inches loose thickness.

4.2.5 Compaction Requirements

Recommended compaction and moisture content criteria for engineered fill materials per Section 204 of the Specifications are as follows:
### Material Type and Location

<table>
<thead>
<tr>
<th>Material Type and Location</th>
<th>Per the Modified Proctor Test (AASHTO T 180/ASTM D 1557)</th>
<th>Range of Moisture Contents for Compaction</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Minimum Compaction Requirement (%)</td>
<td>Minimum</td>
</tr>
<tr>
<td>Pavement subgrade</td>
<td>95</td>
<td>-2%</td>
</tr>
<tr>
<td>Aggregate base</td>
<td>95</td>
<td>-2%</td>
</tr>
</tbody>
</table>

#### 4.2.6 Grading and Drainage

Positive drainage should be provided during construction and maintained throughout the life of the project. Infiltration of water into utility trenches or excavations should be prevented during construction. Features which could retain water in areas adjacent to the pavements should be sealed or eliminated. In areas where sidewalks do not immediately adjoin the pavement, we recommend that protective slopes be provided with a minimum grade of approximately two (2) percent for at least five (5) feet from the edge of the pavement.

#### 4.2.7 Corrosion Potential

Laboratory test results indicate that on-site soils have soluble sulfate concentrations ranging from 5 to 49 mg/kg. Results of soluble sulfate testing indicate that ASTM Type I or I/II Portland cement should be suitable for all concrete on and below grade. Foundation concrete should be designed for low sulfate exposure in accordance with the provisions of the ACI Design Manual, Section 318, Chapter 4.

Refer to Summary of Laboratory Results contained in Appendix B for the complete results of the various corrosivity testing conducted on the site soils in conjunction with this geotechnical exploration.

### 4.3 Pavements

#### 4.3.1 Design Recommendations

Design of pavements for the project has been based on the procedures outlined in the 1993 Guideline for Design of Pavement Structures by the American Association of State Highway and Transportation Officials (AASHTO).

Traffic data for this project is based on future Average Daily Traffic (ADT) volume, vehicle classifications and frequencies and a growth rate of two (2) percent as provided to us by The San Ildefonso Transportation Planning Manager. The traffic data is summarized below:
Using the traffic data above, the Equivalent Single Axle Loads (ESALs) were developed for the sections outlined above. The 20-Year ESALs are as follows:

<table>
<thead>
<tr>
<th>ROADWAY SECTION</th>
<th>EQUIVALENT SINGLE AXLE LOADS (ESAL)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Povi Kaa Drive</td>
<td>77,817</td>
</tr>
<tr>
<td>Shumaa Po</td>
<td>34,594</td>
</tr>
</tbody>
</table>

The following parameters were used in the design of the asphalt concrete pavements:

- Design life of 20 years,
- Local drainage characteristics were classified as good, resulting in a design drainage coefficient of 1.0,
- A terminal serviceability index of 2.5 was utilized along with an inherent reliability of 85%, and an initial serviceability of 4.5,
- Standard deviation of 0.45 for flexible (asphalt) pavements,
- R-value of 27
- Structural layer coefficients of 0.44 for new asphalt and 0.11 for new aggregate base course
- Structural layer coefficients of 0.25 for new asphalt and 0.11 for existing aggregate base course

### 4.3.2 Povi Kaa Drive Pavement Reconstruction

The recommended pavement section is outlined below:

<table>
<thead>
<tr>
<th>Traffic Area</th>
<th>Recommended Pavement Section Thickness (inches)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Asphalt Concrete Surface</td>
</tr>
<tr>
<td>Povi Kaa Drive</td>
<td>4.0</td>
</tr>
</tbody>
</table>

*Existing aggregate base course materials can be used as part of the new pavement section
The pavement section is considered a minimum section based upon the expected traffic and the existing subgrade conditions. However, the pavement is expected to function with periodic maintenance and overlays if good drainage is provided and maintained.

4.3.3 Shumaa Po Pavement Rehabilitation
The recommended pavement rehabilitation for Shumaa Po was designed based upon AASHTO design methodology. The recommended pavement overlay thickness is outlined below:

<table>
<thead>
<tr>
<th>Traffic Area</th>
<th>Recommended Pavement Section Thickness (inches)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Asphalt Concrete Surface</td>
</tr>
<tr>
<td>Shumaa Po</td>
<td>2.0*</td>
</tr>
</tbody>
</table>

*Assumes a one (1) inch mill prior to overlay placement

4.3.4 Construction Considerations
Pavement construction should be performed in general accordance with “Standard Specifications for Construction of Roads and Bridges on Federal Highway Projects, FP-14”, referred hereafter as the Specifications.

Aggregate base course should consist of a blend of sand and gravel which meets strict specifications for quality and gradation. Aggregate base course material should be tested to determine compliance with these specifications prior to importation to the site. Aggregate base course (Grading designation C or D) should be in compliance with Section 703, Aggregate, of the Specifications. The aggregate base course should be placed in accordance with Section 301, Untreated Aggregate Courses, of the Specifications.

Hot asphalt concrete should be obtained from an approved mix design stating the properties, optimum asphalt content, job mix formula, and recommended mixing and placing temperatures. Aggregate used in asphalt concrete should meet a particular gradation. Asphalt binder and aggregate should be in compliance with Section 702, Asphalt Material, and Section 703, Aggregate, of the Specifications. The mix design should be submitted prior to construction to verify its adequacy. The asphalt materials should be placed in accordance with Section 401, Superpave Hot Mix Asphalt Concrete Pavement, of the Specifications.

4.3.5 Preventative Maintenance
Preventative maintenance should be planned and provided for through an on-going pavement management program in order to enhance future pavement performance. Preventative maintenance activities are intended to slow the rate of pavement deterioration, and to preserve the pavement investment.
Preventative maintenance consists of both localized maintenance (e.g. crack sealing and patching) and global maintenance (e.g. surface sealing). Preventative maintenance is usually the first priority when implementing a planned pavement maintenance program and provides the highest return on investment for pavements.

Pavement design methods are intended to provide structural sections with adequate thickness over a particular subgrade such that wheel loads are reduced to a level that subgrade can support. The support characteristics of the subgrade for pavement design do not account for the shrink movements of the fill and soils encountered on this project. Thus, the pavement may be adequate for a structural standpoint, yet still experience cracking and deformation due to shrink related movement of the subgrade. It is therefore important to minimize moisture changes in the subgrade to reduce collapse/consolidation movements.

Future performance of pavements constructed at this site will be dependent upon several factors, including:

- Maintaining stable moisture content of the subgrade soils.
- Providing for a planned program of preventative maintenance.

The performance of all pavements can be enhanced by minimizing excess moisture which can reach the subgrade soils. The following recommendations should be considered at the minimum:

- Site grading at a minimum 2% grade away from the pavements;
- The subgrade and the pavement surface have a minimum ¼ inch per foot slope to promote proper surface drainage;
- Consider appropriate edge drainage and pavement under drain systems;
- Install pavement drainage surrounding areas anticipated for frequent wetting;
- Install joint sealant and seal cracks immediately;
- Compaction of any utility trenches for landscaped area to the same criteria as the pavement subgrade;
- Seal all landscaped areas in, or adjacent to pavements to minimize or prevent moisture migration to subgrade soils;
- Placing compacted, low permeability backfill against the exterior side of curb and gutter; and
- Placing curb, gutter and/or sidewalk directly on subgrade soils without the use of base course materials.

5.0 GENERAL COMMENTS

Terracon should be retained to review the final design plans and specifications so comments can be made regarding interpretation and implementation of our geotechnical recommendations in...
the design and specifications. Terracon also should be retained to provide observation and testing services during grading, excavation, foundation construction and other earth-related construction phases of the project.

The analysis and recommendations presented in this report are based upon the data obtained from the borings performed at the indicated locations and from other information discussed in this report. This report does not reflect variations that may occur between borings, across the site, or due to the modifying effects of construction or weather. The nature and extent of such variations may not become evident until during or after construction. If variations appear, we should be immediately notified so that further evaluation and supplemental recommendations can be provided.

The scope of services for this project does not include either specifically or by implication any environmental or biological (e.g., mold, fungi, bacteria) assessment of the site or identification or prevention of pollutants, hazardous materials or conditions. If the owner is concerned about the potential for such contamination or pollution, other studies should be undertaken.

This report has been prepared for the exclusive use of our client for specific application to the project discussed and has been prepared in accordance with generally accepted geotechnical engineering practices. No warranties, either express or implied, are intended or made. Site safety, excavation support, and dewatering requirements are the responsibility of others. In the event that changes in the nature, design, or location of the project as outlined in this report are planned, the conclusions and recommendations contained in this report shall not be considered valid unless Terracon reviews the changes and either verifies or modifies the conclusions of this report in writing.
APPENDIX A
FIELD EXPLORATION
Field Exploration Description

A total of seven (7) test borings were drilled at the site on August 11, 2016. The borings were drilled to a depth of approximately 5 feet below the ground surface at the approximate locations shown on the attached Site Location Map and Boring Location Plan. The test borings were located as follows:

<table>
<thead>
<tr>
<th>Borings</th>
<th>Location</th>
<th>Depths (feet)</th>
</tr>
</thead>
<tbody>
<tr>
<td>B-01 thru B-03</td>
<td>Shumaa Po</td>
<td>5</td>
</tr>
<tr>
<td>B-04 thru B-07</td>
<td>Povi Kaa Drive</td>
<td>5</td>
</tr>
</tbody>
</table>

The test borings were advanced with a truck-mounted CME-75 drill rig utilizing 8-inch diameter hollow-stem augers.

The borings were located in the field by using the proposed site plan and an aerial photograph of the site, and measuring from existing roadways and site features. Latitude and longitude were obtained at each boring location using a hand held GPS unit. The accuracy of boring locations should only be assumed to the level implied by the method used.

Lithologic logs of the borings were recorded by the field engineer during the drilling operations. At selected intervals, samples of the subsurface materials were taken by driving split-spoon or ring-barrel samplers.

Penetration resistance measurements were obtained by driving the split-spoon and ring-barrel samplers into the subsurface materials with a 140-pound automatic hammer falling 30 inches. The penetration resistance value is a useful index in estimating the consistency or relative density of materials encountered.

A CME automatic SPT hammer was used to advance the split-barrel sampler in the borings performed on this site. The effect of the automatic hammer's efficiency has been considered in the interpretation and analysis of the subsurface information for this report.

Groundwater conditions were evaluated in the borings at the time of site exploration. Due to safety considerations, the borings were backfilled and patched upon the completion of drilling operations.
### BORING LOG NO. B-01

**PROJECT:** Povi Kaa & Shumaa Po - San Ildefonso Pueblo  
**SITE:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM  
**CLIENT:** Souder, Miller & Associates

#### GRAPHIC LOG
- **LOCATION:** See Exhibit A-2  
  - Latitude: 35.87924°  
  - Longitude: -106.12743°

#### DEPTH

<table>
<thead>
<tr>
<th>Depth (Ft.)</th>
<th>Water Level Observations</th>
<th>Field Test Results</th>
<th>Water Content (%)</th>
<th>Dry Unit Weight (pcf)</th>
<th>LL-PL-PI</th>
<th>Percent Fines</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.3</td>
<td><strong>ASPHALT CONCRETE - 3.5&quot;</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.9</td>
<td><strong>AGGREGATE BASE COURSE - 7.5&quot;</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.0</td>
<td><strong>SILTY SAND (SM), trace gravel, light brown, medium dense</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Boring Terminated at 5 Feet**

Stratification lines are approximate. In-situ, the transition may be gradual.

Hammer Type: Automatic

**Advancement Method:** Hollow Stem Auger  
**Abandonment Method:** Borings backfilled with soil cuttings upon completion.

#### WATER LEVEL OBSERVATIONS
- No free water observed

---

**Notes:**
- See Exhibit A-3 for description of field procedures.  
- See Appendix B for description of laboratory procedures and additional data (if any).  
- See Appendix C for explanation of symbols and abbreviations.

**Drill Rig:** CME-75  
**Driller:** RV  
**Project No.:** 66165119  
**Exhibit:** A-4

**Boring Started:** 8/11/2016  
**Boring Completed:** 8/11/2016

---

**graphic log:**

- **Sample Type:**  
- **Field Test Results:**
  - 19
  - 10
  - 107
  - NP
  - 30

---

**FIELD TEST RESULTS**

- **Depth (Ft.):** 5
- **Water Level Observations:**
  - **WATER CONTENT (%)**
  - **DRY UNIT WEIGHT (pcf)**
  - **LL-PL-PI**
  - **PERCENT FINES**
## BORING LOG NO. B-02

**PROJECT:** Povi Kaa & Shumaa Po - San Ildefonso Pueblo  
**SITE:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM  
**CLIENT:** Souder, Miller & Associates

### LOCATION
See Exhibit A-2  
Latitude: 35.88189°  
Longitude: -106.12444°

### DEPTH

<table>
<thead>
<tr>
<th>Depth (Ft.)</th>
<th>Water Level Observations</th>
<th>Field Test Results</th>
<th>Water Content (%)</th>
<th>Dry Unit Weight (pcf)</th>
<th>LL-PL-PI</th>
<th>Atterberg Limits</th>
<th>Percent Finers</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.2</td>
<td>Asphalt Concrete - 2.5&quot;</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.9</td>
<td>Aggregate Base Course - 8&quot;</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.0</td>
<td>Silty Sand (SM), trace gravel, brown, loose</td>
<td>16</td>
<td>7</td>
<td>100</td>
<td>NP</td>
<td>22</td>
<td></td>
</tr>
</tbody>
</table>

**Boring Terminated at 5 Feet**

**Stratification lines are approximate. In-situ, the transition may be gradual.**  
**Hammer Type:** Automatic

**Advancement Method:** Hollow Stem Auger  
**Abandonment Method:** Borings backfilled with soil cuttings upon completion.

**Notes:**

- See Exhibit A-3 for description of field procedures.  
- See Appendix B for description of laboratory procedures and additional data (if any).  
- See Appendix C for explanation of symbols and abbreviations.

### WATER LEVEL OBSERVATIONS

- No free water observed

---

**Boring Started:** 8/11/2016  
**Boring Completed:** 8/11/2016

**Drill Rig:** CME-75  
**Driller:** RV

**Project No.:** 66165119  
**Exhibit:** A-5
**BORING LOG NO. B-03**

**PROJECT:** Povi Kaa & Shumaa Po - San Ildefonso Pueblo

**SITE:** Povi Kaa Drive & Shumaa Po San Ildefonso, NM

**LOCATIONS**

- ASPHALT CONCRETE - 3.5"
- AGGREGATE BASE COURSE - 7.5"
- SILTY SAND (SM), trace gravel, brown
- SANDY SILT (ML), trace gravel, brown, medium stiff

**FIELD TEST RESULTS**

<table>
<thead>
<tr>
<th>DEPTH (Ft.)</th>
<th>WATER LEVEL OBSERVATIONS</th>
<th>FIELD TEST RESULTS</th>
<th>WATER CONTENT (%)</th>
<th>DRY UNITWEIGHT (pcf)</th>
<th>LL-PL-PI</th>
<th>ATTERBERG LIMITS</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.3</td>
<td></td>
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<td></td>
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<td>0.9</td>
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<td>4.0</td>
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<td></td>
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</tr>
<tr>
<td>5.0</td>
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<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Boring Terminated at 5 Feet**

**STRATIFICATION LINES**

See Appendix C for explanation of symbols and abbreviations.

**Notes:**

- Advancement Method: Hollow Stem Auger
- Abandonment Method: Borings backfilled with soil cuttings upon completion.
- Hammer Type: Automatic

**WATER LEVEL OBSERVATIONS**

- No free water observed

**GEO SMART LOG-NO WELL 66165119.GPJ  TERRACON2015.GDT  10/6/16**

**PROJECT & SITE:**

Povi Kaa & Shumaa Po - San Ildefonso Pueblo

**DATE:** 10/6/16

**FIELD TEST RESULTS**

- Water Level Observations
- Field Test Results
- Water Content
- Dry Unitweight
- LL-PL-PI
- Atterberg Limits

**PROJECT No.: 66165119**

**Driller:** RV

**Drill Rig:** CME-75

**Boring Started:** 8/11/2016

**Project No.: 66165119**

**Exhibit:** A-6
## BORING LOG NO. B-04

**PROJECT:** Povi Kaa & Shumaa Po - San Ildefonso Pueblo  
**SITE:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM  

**CLIENT:** Souder, Miller & Associates

### Graphical Log

**LOCATION**  
See Exhibit A-2  
Latitude: 35.89104°  
Longitude: -106.11833°

### Depth

<table>
<thead>
<tr>
<th>DEPTH</th>
<th>DESCRIPTION</th>
<th>SAMPLE TYPE</th>
<th>FIELD TEST RESULTS</th>
<th>WATER CONTENT (%)</th>
<th>DRY UNIT WEIGHT (pcf)</th>
<th>LL-PL-PI</th>
<th>PERCENT FINES</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.3</td>
<td>ASPHALT CONCRETE - 3.0&quot;</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.8</td>
<td>AGGREGATE BASE COURSE - 6.0&quot;</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.0</td>
<td>SILTY SAND WITH GRAVEL (SM), trace gravel, brown, medium dense</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Boring Terminated at 5 Feet**

Stratification lines are approximate. In-situ, the transition may be gradual.

**Hammer Type:** Automatic

### Advancement Method:
Hollow Stem Auger

### Abandonment Method:
Borings backfilled with soil cuttings upon completion.

### Field Test Results

<table>
<thead>
<tr>
<th>DEPTH (Ft.)</th>
<th>WATER LEVEL OBSERVATIONS</th>
<th>SAMPLE TYPE</th>
<th>FIELD TEST RESULTS</th>
<th>WATER CONTENT (%)</th>
<th>DRY UNIT WEIGHT (pcf)</th>
<th>LL-PL-PI</th>
<th>PERCENT FINES</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

### Notes:

- Advancement Method: Hollow Stem Auger
- Abandonment Method: Borings backfilled with soil cuttings upon completion.
- See Exhibit A-3 for description of field procedures.
- See Appendix B for description of laboratory procedures and additional data (if any).
- See Appendix C for explanation of symbols and abbreviations.

**WATER LEVEL OBSERVATIONS**

**No free water observed**

**TERRACON**

4905 Hawkins St NE  
Albuquerque, NM

**Drill Rig:** CME-75  
**Driller:** RV

**Project No.: 66165119**  
**Exhibit:** A-7
**BORING LOG NO. B-05**

**PROJECT:** Povi Kaa & Shumaa Po - San Ildefonso Pueblo  
**SITE:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM  

**CLIENT:** Souder, Miller & Associates

---

**GRAPHIC LOG**

**LOCATION** See Exhibit A-2  
Latitude: 35.88716°  Longitude: -106.11894°

---

**DEPTH**

<table>
<thead>
<tr>
<th>DEPTH (FT.)</th>
<th>WATER LEVEL OBSERVATIONS</th>
<th>FIELD TEST RESULT</th>
<th>WATER CONTENT (%)</th>
<th>DRY UNIT WEIGHT (pcf)</th>
<th>LL-PL-PI</th>
<th>PERCENT FINES</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.6</td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

**ASPHALT CONCRETE - 6.75”**

---

**AGGREGATE BASE COURSE - 8.0”**

---

**SILTY SAND (SM)**, trace gravel, brown, loose

---

**Boring Terminated at 5 Feet**

---

Stratification lines are approximate. In-situ, the transition may be gradual.

---

**Hamer Type:** Automatic

---

**Boaring Terminated at 5 Feet**

---

**ADVANCEMENT METHOD:** Hollow Stem Auger  
**ABANDONMENT METHOD:** Borings backfilled with soil cuttings upon completion.

---

**FIELD TEST RESULTS**

- **DEPTH (FT.):** 5
- **WATER LEVEL OBSERVATIONS:** 17
- **WATER CONTENT (%):** 10
- **DRY UNIT WEIGHT (pcf):** 119
- **PERCENT FINES:** 35

---

**Notes:**

- See Exhibit A-3 for description of field procedures.  
- See Appendix B for description of laboratory procedures and additional data (if any).  
- See Appendix C for explanation of symbols and abbreviations.

---

**PROJECT: Povi Kaa & Shumaa Po - San Ildefonso Pueblo**

**Driller:** RV  
**Drill Rig:** CME-75  
**Boring Started:** 8/11/2016  
**Boring Completed:** 8/11/2016

---

**Terracon**

4905 Hawkins St. NE  
Albuquerque, NM

**Project No.: 66165119**  
**Exhibit:** A-8
**BORING LOG NO. B-06**

**PROJECT:** Povi Kaa & Shumaa Po - San Ildefonso Pueblo  
**SITE:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM

**CLIENT:** Souder, Miller & Associates

---

**GRAPHIC LOG**

<table>
<thead>
<tr>
<th>DEPTH</th>
<th>LOCATION</th>
<th>WATER LEVEL OBSERVATIONS</th>
<th>FIELD TEST RESULTS</th>
<th>WATER CONTENT (%)</th>
<th>DRY UNIT WEIGHT (pcf)</th>
<th>LL-PL-PI</th>
<th>ATTERBERG LIMITS</th>
<th>PERCENT FINES</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.5</td>
<td>ASPHALT CONCRETE - 5.5&quot;</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.1</td>
<td>AGGREGATE BASE COURSE - 8.0&quot;</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.0</td>
<td>SILTY SAND (SM), trace gravel, brown</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.0</td>
<td>SANDY LEAN CLAY (CL), brown, hard</td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Boring Terminated at 5 Feet**

---

**FIELD TEST RESULTS**

<table>
<thead>
<tr>
<th>DEPTH (Ft.)</th>
<th>WATER LEVEL OBSERVATIONS</th>
<th>FIELD TEST RESULTS</th>
<th>WATER CONTENT (%)</th>
<th>DRY UNIT WEIGHT (pcf)</th>
<th>LL-PL-PI</th>
<th>ATTERBERG LIMITS</th>
<th>PERCENT FINES</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td></td>
<td></td>
<td>69</td>
<td>12</td>
<td>122</td>
<td>25-16-9</td>
<td>55</td>
</tr>
</tbody>
</table>

---

**Additional Information**

- **Advancement Method:** Hollow Stem Auger
- **Abandonment Method:** Borings backfilled with soil cuttings upon completion.
- **Notes:**
  - No free water observed
  - See Exhibit A-3 for description of field procedures.
  - See Appendix B for description of laboratory procedures and additional data (if any).
  - See Appendix C for explanation of symbols and abbreviations.

---

**WATER LEVEL OBSERVATIONS**

No free water observed

---

**Project Details**

- **Driller:** RV
- **Drill Rig:** CME-75
- **Boring Started:** 8/11/2016
- **Boring Completed:** 8/11/2016
- **Project No.:** 66165119
- **Exhibit:** A-9

---

**Graphs and Diagrams**

- Diagram showing the depth and location of different materials encountered during the boring.
- Graphs representing water level observations and test results.

---

**Business Information**

- **Souder, Miller & Associates**
  - 4905 Hawkins St NE
  - Albuquerque, NM
  - Phone: (505) 895-0148
  - Fax: (505) 895-0146
  - Website: soudermiller.com
  - Contact: soudermiller@ymail.com

---

**Log Details**

- **Boring Log No. B-06**
- **Souder, Miller & Associates**
- **Driller:** RV
- **Boring Started:** 8/11/2016
- **Boring Completed:** 8/11/2016
- **Exhibit:** A-9

---

**Exhibit A-2**

- See Exhibit A-2 for the location details.

---

**Exhibit A-3**

- See Exhibit A-3 for a description of field procedures.

---

**Appendix B**

- See Appendix B for a description of laboratory procedures and additional data (if any).

---

**Appendix C**

- See Appendix C for an explanation of symbols and abbreviations.
### BORING LOG NO. B-07

**PROJECT:** Povi Kaa & Shumaa Po - San Ildefonso Pueblo  
**SITE:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM  
**CLIENT:** Souder, Miller & Associates

#### GRAPHIC LOG

**LOCATION**  
See Exhibit A-2  
Latitude: 35.88237°    Longitude: -106.11816°

**DEPTH**

<table>
<thead>
<tr>
<th>DEPTH (FL.)</th>
<th>FIELD TEST RESULTS</th>
<th>WATER CONTENT (%)</th>
<th>DRY UNIT WEIGHT (pcf)</th>
<th>LL-PL-PI</th>
<th>ATTERBERG LIMITS</th>
<th>PERCENT FINES</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.2</td>
<td>Asphalt Concrete - 2.0&quot;</td>
<td>Silty Sand (SM), trace gravel, brown, medium dense</td>
<td>22</td>
<td>10</td>
<td>124</td>
<td>NP</td>
</tr>
<tr>
<td>5.0</td>
<td>Boring Terminated at 5 Feet</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Stratification lines are approximate. In-situ, the transition may be gradual.

Hammer Type: Automatic

### FIELD TEST RESULTS

- **DEPTH (Ft.):** 5

### WATER LEVEL OBSERVATIONS

- **LOCATION:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM  
- **SITE:** Povi Kaa Drive & Shumaa Po  
San Ildefonso, NM  
- **CLIENT:** Souder, Miller & Associates

#### Advancement Method:
Hollow Stem Auger

#### Abandonment Method:
Borings backfilled with soil cuttings upon completion.

#### Notes:

See Exhibit A-3 for description of field procedures.  
See Appendix B for description of laboratory procedures and additional data (if any).  
See Appendix C for explanation of symbols and abbreviations.

### WATER LEVEL OBSERVATIONS

- **No free water observed**

**Project No.: 66165119**  
**Exhibit:** A-10
### GENERAL NOTES

#### DESCRIPTION OF SYMBOLS AND ABBREVIATIONS

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auger</td>
<td>Rock Core</td>
</tr>
<tr>
<td>Shelby Tube</td>
<td>Macro Core</td>
</tr>
<tr>
<td>Split Spoon</td>
<td>Modified California Ring Sampler</td>
</tr>
</tbody>
</table>

#### WATER LEVEL

- Water Initially Encountered
- Water Level After a Specified Period of Time
- Water Level After a Specified Period of Time

Water levels indicated on the soil boring logs are the levels measured in the borehole at the times indicated. Groundwater level variations will occur over time. In low permeability soils, accurate determination of groundwater levels is not possible with short term water level observations.

#### FIELD TESTS

- (HP) Hand Penetrometer
- (T) Torvane
- (b/f) Standard Penetration Test (blows per foot)
- N N value
- (PID) Photo-Ionization Detector
- (OVA) Organic Vapor Analyzer

### DESCRIPTIVE SOIL CLASSIFICATION

Soil classification is based on the Unified Soil Classification System. Coarse Grained Soils have more than 50% of their dry weight retained on a #200 sieve; their principal descriptors are: boulders, cobbles, gravel or sand. Fine Grained Soils have less than 50% of their dry weight retained on a #200 sieve; they are principally described as clays if they are plastic, and silts if they are slightly plastic or non-plastic. Major constituents may be added as modifiers and minor constituents may be added according to the relative proportions based on grain size. In addition to gradation, coarse-grained soils are defined on the basis of their in-place relative density and fine-grained soils on the basis of their consistency.

### LOCATION AND ELEVATION NOTES

Unless otherwise noted, Latitude and Longitude are approximately determined using a hand-held GPS device. The accuracy of such devices is variable. Surface elevation data annotated with +/- indicates that no actual topographical survey was conducted to confirm the surface elevation. Instead, the surface elevation was approximately determined from topographic maps of the area.

### STRENGTH TERMS

<table>
<thead>
<tr>
<th>Descriptive Term (Density)</th>
<th>Standard Penetration or N-Value Blows/Ft.</th>
<th>Ring Sampler Blows/Ft.</th>
<th>Descriptive Term (Consistency)</th>
<th>Unconfined Compressive Strength, Qu, psf</th>
<th>Standard Penetration or N-Value Blows/Ft.</th>
<th>Ring Sampler Blows/Ft.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Very Loose</td>
<td>0 - 3</td>
<td>0 - 6</td>
<td>Very Soft</td>
<td>less than 500</td>
<td>0 - 1</td>
<td>&lt; 3</td>
</tr>
<tr>
<td>Loose</td>
<td>4 - 9</td>
<td>7 - 18</td>
<td>Soft</td>
<td>500 to 1,000</td>
<td>2 - 4</td>
<td>3 - 4</td>
</tr>
<tr>
<td>Medium Dense</td>
<td>10 - 29</td>
<td>19 - 58</td>
<td>Medium-Stiff</td>
<td>1,000 to 2,000</td>
<td>4 - 8</td>
<td>5 - 9</td>
</tr>
<tr>
<td>Dense</td>
<td>30 - 50</td>
<td>59 - 98</td>
<td>Stiff</td>
<td>2,000 to 4,000</td>
<td>8 - 15</td>
<td>10 - 18</td>
</tr>
<tr>
<td>Very Dense</td>
<td>&gt; 50</td>
<td>&gt; 99</td>
<td>Very Stiff</td>
<td>4,000 to 8,000</td>
<td>15 - 30</td>
<td>19 - 42</td>
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<tr>
<td>Hard</td>
<td></td>
<td>&gt; 8,000</td>
<td></td>
<td></td>
<td>&gt; 30</td>
<td>&gt; 42</td>
</tr>
</tbody>
</table>

### RELATIVE DENSITY OF COARSE-GRAINED SOILS

(50% or more passing the No. 200 sieve.)
Density determined by Standard Penetration Resistance
Includes gravels, sands and silts.

### RELATIVE PROPORTIONS OF SAND AND GRAVEL

<table>
<thead>
<tr>
<th>Descriptive Term(s) of other constituents</th>
<th>Percent of Dry Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trace</td>
<td>&lt; 15</td>
</tr>
<tr>
<td>With</td>
<td>15 - 29</td>
</tr>
<tr>
<td>Modifier</td>
<td>&gt; 30</td>
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</tbody>
</table>

### RELATIVE PROPORTIONS OF FINES

<table>
<thead>
<tr>
<th>Descriptive Term(s) of other constituents</th>
<th>Percent of Dry Weight</th>
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</thead>
<tbody>
<tr>
<td>Trace</td>
<td>&lt; 5</td>
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<tr>
<td>With</td>
<td>5 - 12</td>
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<tr>
<td>Modifier</td>
<td>&gt; 12</td>
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### PLASTICITY DESCRIPTION

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<th>Plasticity Index</th>
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<td>Non-plastic</td>
<td>0</td>
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<tr>
<td>Low</td>
<td>1 - 10</td>
</tr>
<tr>
<td>Medium</td>
<td>11 - 30</td>
</tr>
<tr>
<td>High</td>
<td>&gt; 30</td>
</tr>
</tbody>
</table>

### GRAIN SIZE TERMINOLOGY

<table>
<thead>
<tr>
<th>Particle Size</th>
<th>Major Component of Sample</th>
</tr>
</thead>
<tbody>
<tr>
<td>Over 12 in. (300 mm)</td>
<td>Boulders</td>
</tr>
<tr>
<td>12 in. to 3 in. (300mm to 75mm)</td>
<td>Cobblels</td>
</tr>
<tr>
<td>3 in. to #4 sieve (75mm to 4.75 mm)</td>
<td>Gravel</td>
</tr>
<tr>
<td>#4 to #200 sieve (4.75mm to 0.075mm)</td>
<td>Sand</td>
</tr>
<tr>
<td>Passing #200 sieve (0.075mm)</td>
<td>Silt or Clay</td>
</tr>
</tbody>
</table>

### GENERAL NOTES

- Water levels indicated on the soil boring logs are the levels measured in the borehole at the times indicated. Groundwater level variations will occur over time. In low permeability soils, accurate determination of groundwater levels is not possible with short term water level observations.

- No actual topographical survey was conducted to confirm the surface elevation. Instead, the surface elevation was approximately determined from topographic maps of the area.

- Surface elevation data annotated with +/- indicates that no actual topographical survey was conducted to confirm the surface elevation. Instead, the surface elevation was approximately determined from topographic maps of the area.
# Unified Soil Classification System

Criteria for Assigning Group Symbols and Group Names Using Laboratory Tests

<table>
<thead>
<tr>
<th>Coarse Grained Soils: More than 50% retained on No. 200 sieve</th>
<th>Fine-Grained Soils: 50% or more passes the No. 200 sieve</th>
<th>Highly organic soils: Primarily organic matter, dark in color, and organic odor</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Gravels:</strong> More than 50% of coarse fraction retained on No. 4 sieve</td>
<td><strong>Sands:</strong> 50% or more of coarse fraction passes No. 4 sieve</td>
<td><strong>PI plots on or above &quot;A&quot; line</strong></td>
</tr>
<tr>
<td><strong>Clean Gravels:</strong> Less than 5% fines</td>
<td><strong>Clean Sands:</strong> Less than 5% fines</td>
<td><strong>PI plots on or above &quot;A&quot; line</strong></td>
</tr>
<tr>
<td><strong>Gravels with Fines:</strong> More than 12% fines</td>
<td><strong>Sands with Fines:</strong> More than 12% fines</td>
<td><strong>PI plots on or above &quot;A&quot; line</strong></td>
</tr>
<tr>
<td><strong>Clean Gravels:</strong> Cu ≥ 4 and 1 ≤ Cc ≤ 3</td>
<td><strong>Clean Sands:</strong> Cu ≥ 6 and 1 ≤ Cc ≤ 3</td>
<td><strong>PI plots on or above &quot;A&quot; line</strong></td>
</tr>
<tr>
<td><strong>Gravels with Fines:</strong> Cu &lt; 4 and/or 1 &gt; Cc &gt; 3</td>
<td><strong>Sands with Fines:</strong> Cu &lt; 6 and/or 1 &gt; Cc &gt; 3</td>
<td><strong>PI plots on or above &quot;A&quot; line</strong></td>
</tr>
<tr>
<td><strong>Gravels:</strong> More than 50% of coarse fraction retained on No. 4 sieve</td>
<td><strong>Sands:</strong> 50% or more of coarse fraction passes No. 4 sieve</td>
<td><strong>PI plots on or above &quot;A&quot; line</strong></td>
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<tr>
<td><strong>Gravels with Fines:</strong> More than 12% fines</td>
<td><strong>Sands with Fines:</strong> More than 12% fines</td>
<td><strong>PI plots on or above &quot;A&quot; line</strong></td>
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</tbody>
</table>

**Cu = D_{60}/D_{10}**  
**Cc = (D_{30})^2 / D_{10} x D_{60}**

Exhibit A-12
APPENDIX B
LABORATORY TESTING
Laboratory Testing

Samples retrieved during the field exploration were taken to the laboratory for further observation by the project geotechnical engineer and were classified in accordance with the Unified Soil Classification System (USCS) described in Appendix A. At that time, the field descriptions were confirmed or modified as necessary and an applicable laboratory testing program was formulated to determine engineering properties of the subsurface materials.

Laboratory tests were conducted on selected soil samples and the test results are presented in this appendix. The laboratory test results were used for the geotechnical engineering analyses, and the development of foundation and earthwork recommendations. Laboratory tests were performed in general accordance with the applicable ASTM, local or other accepted standards.

Selected soil samples obtained from the site were tested for the following engineering properties:

- Soluble Sulfates
- In-situ Water Content
- Sieve Analysis
- In-situ Dry Density
- Atterberg limits
- R-value
GRAIN SIZE DISTRIBUTION
ASTM D422 / ASTM C136

PERCENT FINER BY WEIGHT

GRAIN SIZE IN MILLIMETERS

COBBLES
GRANULES
SAND
SILT OR CLAY

Boring ID | Depth | USCS Classification | LL | PL | PI | Cc | Cu
---|---|---|---|---|---|---|---
B-01 | 3 - 4 | SILTY SAND (SM) | NP | NP | NP | NP | NP
B-02 | 2 - 3 | SILTY SAND (SM) | NP | NP | NP | NP | NP
B-03 | 4 - 5 | SANDY SILT (ML) | NP | NP | NP | NP | NP
B-04 | 3 - 4 | SILTY SAND with GRAVEL (SM) | NP | NP | NP | NP | NP
B-05 | 1 - 2 | SILTY SAND (SM) | NP | NP | NP | NP | NP

Boring ID | Depth | D100 | D60 | D30 | D10 | %Gravel | %Sand | %Fines
---|---|---|---|---|---|---|---|---
B-01 | 3 - 4 | 12.5 | 0.171 | | | 9.4 | 60.1 | 30.5
B-02 | 2 - 3 | 9.5 | 0.167 | 0.088 | | 0.6 | 77.1 | 22.3
B-03 | 4 - 5 | 9.5 | | | | 0.6 | 35.5 | 63.8
B-04 | 3 - 4 | 38.1 | 1.266 | 0.329 | | 21.1 | 65.6 | 13.3
B-05 | 1 - 2 | 9.5 | 0.176 | | | 0.2 | 64.9 | 34.9

PROJECT: Povi Kaa & Shumaa Po - San Ildefonso Pueblo
SITE: Povi Kaa Drive & Shumaa Po
San Ildefonso, NM

Terracon
4905 Hawkins St NE
Albuquerque, NM

CLIENT: Souder, Miller & Associates
PROJECT NUMBER: 66165119
EXHIBIT: B-2
GRAIN SIZE DISTRIBUTION
ASTM D422 / ASTM C136

PERCENT FINER BY WEIGHT

U.S. SIEVE OPENING IN INCHES

6 4 3 2 1 3/4 1/2 3/8 6 10 14 16 20 30 40 50 100 140 200

U.S. SIEVE NUMBERS

HYDROMETER

GRAIN SIZE IN MILLIMETERS

B-06 B-07 25NP

0.077 0.083 0.29 4.75 19

%Fines

LL PL PI Cc Cu

4905 Hawkins St NE
Albuquerque, NM

PROJECT NUMBER: 66165119

CLIENT: Souder, Miller & Associates

EXHIBIT: B-3
### Resistance R-Value and Expansion Pressure of Compacted Soils (ASTM D2844)

<table>
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<tr>
<th>SPECIMEN I.D.</th>
<th>A</th>
<th>B</th>
<th>C</th>
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<td></td>
<td>Compaction Pressure (psi)</td>
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<td>350</td>
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<td></td>
<td>Specimen Height (inches)</td>
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<td>2.49</td>
</tr>
<tr>
<td></td>
<td>Dry Density (pcf)</td>
<td>130.8</td>
<td>133.2</td>
</tr>
<tr>
<td></td>
<td>Horiz. Pres. @ 1000lbs (psi)</td>
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<td>13.0</td>
</tr>
<tr>
<td></td>
<td>Horiz. Pres. @ 2000lbs (psi)</td>
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<td>78</td>
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</table>

![Graph showing R Value vs Exudation Pressure](exhibit_b-4)

**R Value at 300 PSI = 78**
**RESISTANCE R-VALUE AND EXPANSION PRESSURE OF COMPACTED SOILS (ASTM D2844)**

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<td>150</td>
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<td>Dry Density (pcf)</td>
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<td>124.7</td>
<td>128.1</td>
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<td>Horiz. Pres. @ 1000lbs (psi)</td>
<td>62.0</td>
<td>55.0</td>
<td>33.0</td>
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<tr>
<td>Horiz. Pres. @ 2000lbs (psi)</td>
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<td>127.0</td>
<td>76.0</td>
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<tr>
<td>Displacement</td>
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<td>3.73</td>
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<tr>
<td>Expansion Pressure (psi)</td>
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<td>R Value</td>
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<tr>
<td>* HAND TAMPED</td>
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</tbody>
</table>

![Graph showing R-value vs. Exudation Pressure](image_url)

**R Value at 300 PSI = 27**

Reviewed by _________________________________

Exhibit B-5
| BORING ID | Depth | USCS Classification and Soil Description | Compressive Strength (psf) | Liquid Limit | Plastic Limit | Plasticity Index | % <#200 Sieve | % Gravel | % Sand | % Silt | % Clay | Water Content (%) | Dry Density (pcf) |
|-----------|-------|----------------------------------------|---------------------------|--------------|--------------|-----------------|---------------|----------|--------|-------|--------|--------|-----------------|------------------|
| B-01      | 2.5   | SILTY SAND (SM)                        | NP                        | NP           | NP           | NP              | 30.5          | 9.4      | 60.1   | 10.3  | 107.1  |                   |                  |
| B-01      | 3-4   | SILTY SAND (SM)                        | NP                        | NP           | NP           | NP              | 22.3          | 0.6      | 77.1   | 7.2   | 99.7   |                   |                  |
| B-01      | 10    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-01      | 15    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-01      | 20    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-01      | 25    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-02      | 2-3   | SILTY SAND (SM)                        | NP                        | NP           | NP           | NP              | 29.4          | 4.7      | 65.9   | 10.2  | 123.8  |                   |                  |
| B-02      | 2.5   |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-02      | 5     |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-02      | 15    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-02      | 20    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-02      | 25    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-03      | 2.5   | SANDY SILT (ML)                        | NP                        | NP           | NP           | NP              | 63.8          | 0.6      | 35.5   | 7.3   | 92.5   |                   |                  |
| B-03      | 10    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-03      | 15    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-03      | 20    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-03      | 25    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-03      | 30    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-04      | 0-5   |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-04      | 3-4   | SILTY SAND with GRAVEL (SM)            | NP                        | NP           | NP           | NP              | 13.3          | 21.1     | 65.6   | 3.2   | 118.3  |                   |                  |
| B-04      | 5     |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-04      | 10    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-04      | 15    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-04      | 20    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-05      | 1-2   | SILTY SAND (SM)                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-05      | 2.5   |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-05      | 5     |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-05      | 15    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-05      | 20    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-05      | 25    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-06      | 3     |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-06      | 4-5   | SANDY LEAN CLAY (CL)                   | 25                        | 16           | 9            | 54.8            | 0.0           | 45.2     | 11.5  | 122.4  |                   |                  |
| B-06      | 5     |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-06      | 10    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-06      | 15    |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-06      | 0-5   |                                        |                           |              |              |                 |               |          |        |       |        |                   |                  |
| B-07      | 2-3   | SILTY SAND (SM)                        | NP                        | NP           | NP           | NP              | 29.4          | 4.7      | 65.9   | 10.2  | 123.8  |                   |                  |
August 22, 2016

Mike Anderson
Terracon
4905 Hawkins, NE
Albuquerque, NM 87109
TEL: (505) 797-4287
FAX (505) 797-4288

RE: Povikaa & Shumaa PO OrderNo.: 1608952

Dear Mike Anderson:

Hall Environmental Analysis Laboratory received 3 sample(s) on 8/16/2016 for the analyses presented in the following report.

These were analyzed according to EPA procedures or equivalent. To access our accredited tests please go to www.hallenvironmental.com or the state specific web sites. In order to properly interpret your results it is imperative that you review this report in its entirety. See the sample checklist and/or the Chain of Custody for information regarding the sample receipt temperature and preservation. Data qualifiers or a narrative will be provided if the sample analysis or analytical quality control parameters require a flag. When necessary, data qualifiers are provided on both the sample analysis report and the QC summary report, both sections should be reviewed. All samples are reported, as received, unless otherwise indicated. Lab measurement of analytes considered field parameters that require analysis within 15 minutes of sampling such as pH and residual chlorine are qualified as being analyzed outside of the recommended holding time.

Please don't hesitate to contact HEAL for any additional information or clarifications.

ADHS Cert #AZ0682 -- NMED-DWB Cert #NM9425 -- NMED-Micro Cert #NM0190

Sincerely,

Andy Freeman
Laboratory Manager
4901 Hawkins NE
Albuquerque, NM 87109
## Hall Environmental Analysis Laboratory, Inc.

### Project:
**Povikaa & Shumaa PO**

### CLIENT:
**Terracon**

### Lab Order:
**1608952**

### Date Reported:
**8/22/2016**

<table>
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<th>Lab ID</th>
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<th>Analyses</th>
<th>Result</th>
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<th>Qual</th>
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Refer to the QC Summary report and sample login checklist for flagged QC data and preservation information.

**Qualifiers:**
- * Value exceeds Maximum Contaminant Level
- D Sample Diluted Due to Matrix
- H Holding times for preparation or analysis exceeded
- ND Not Detected at the Reporting Limit
- R RPD outside accepted recovery limits
- S % Recovery outside of range due to dilution or matrix
- B Analyte detected in the associated Method Blank
- E Value above quantitation range
- J Analyte detected below quantitation limits
- P Sample pH Not In Range
- RL Reporting Detection Limit
- W Sample container temperature is out of limit as specified

Page 1 of 2
## QC SUMMARY REPORT

### Hall Environmental Analysis Laboratory, Inc.

- **Client:** Terracon
- **Project:** Povikaa & Shumaa PO

### Sample Details

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<th>SPK Ref Val</th>
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### Qualifiers:

- * Value exceeds Maximum Contaminant Level.
- B Analyte detected in the associated Method Blank
- D Sample Diluted Due to Matrix
- E Value above quantitation range
- H Holding times for preparation or analysis exceeded
- J Analyte detected below quantitation limits
- ND Not Detected at the Reporting Limit
- P Sample pH Not In Range
- R RPD outside accepted recovery limits
- RL Reporting Detection Limit
- S % Recovery outside of range due to dilution or matrix
- W Sample container temperature is out of limit as specified
Sample Log-In Check List

Client Name: TER-Alb
Work Order Number: 1608952
RcptNo: 1

Received by date: 08/10/11
Logged By: Ashley Gallegos
Completed By: Ashley Gallegos
Reviewed By: Ashley Gallegos

Chain of Custody
1. Custody seals intact on sample bottles? Yes ☐ No ☐ Not Present ☐
2. Is Chain of Custody complete? Yes ☐ No ☐ Not Present ☐
3. How was the sample delivered? Client

Log in
4. Was an attempt made to cool the samples? Yes ☐ No ☐ NA ☐
5. Were all samples received at a temperature of >0°C to 6.0°C? Yes ☐ No ☐ NA ☐
6. Sample(s) in proper container(s)? Yes ☐ No ☐

7. Sufficient sample volume for indicated test(s)? Yes ☐ No ☐
8. Are samples (except VOA and ONG) properly preserved? Yes ☐ No ☐
9. Was preservative added to bottles? Yes ☐ No ☐ NA ☐
10. VOA vials have zero headspace? Yes ☐ No ☐
11. Were any sample containers received broken? Yes ☐ No ☐

12. Does paperwork match bottle labels? 
   (Note discrepancies on chain of custody) Yes ☐ No ☐
13. Are matrices correctly identified on Chain of Custody? Yes ☐ No ☐
14. Is it clear what analyses were requested? Yes ☐ No ☐
15. Were all holding times able to be met? Yes ☐ No ☐
   (If no, notify customer for authorization.)

Special Handling (if applicable)
16. Was client notified of all discrepancies with this order? Yes ☐ No ☐ NA ☐

Person Notified: 
By Whom: 
Regarding: 
Client Instructions:

17. Additional remarks:

18. Cooler Information

<table>
<thead>
<tr>
<th>Cooler No</th>
<th>Temp °C</th>
<th>Condition</th>
<th>Seal Intact</th>
<th>Seal No</th>
<th>Seal Date</th>
<th>Signed By</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>24.4</td>
<td>Good</td>
<td>Not Present</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Page 1 of 1
**Chain-of-Custody Record**

**Client:** Tunga

**Mailing Address:** 1130 W. Market St.

**Phone #:** (505) 797-4287

**Date:** 06/01/01

**QA/QC Package:**
- [ ] Standard
- [x] Level 4 (Full Validation)

**Accreditation:**
- [ ] NELAP
- [ ] Other

**Project:** DOT WDD & Shumard

**Project #:** 0616519

**Project Manager:** W. E. Anderson

**Sample Temperature:** 24.4

<table>
<thead>
<tr>
<th>Date</th>
<th>Time</th>
<th>Matrix</th>
<th>Sample Request ID</th>
<th>Container Type and #</th>
<th>Preservative Type</th>
<th>HEAL No.</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>06/01/01</td>
<td>13:30</td>
<td>Soil</td>
<td>B4C Grab</td>
<td>-001</td>
<td>-002</td>
<td>-003</td>
<td></td>
</tr>
</tbody>
</table>

**Turn-Around Time:**
- [ ] Standard
- [x] Rush

**Analysis Request**

- [ ] BTEX + MTBE + TMB's (8021)
- [ ] TPH 8015B (GRO/RO/1R)
- [ ] TPH (Method 1523.11)
- [ ] EDB (Method 504.1)
- [ ] PAH's (9310 or 8270 SIMS)
- [ ] RCRA 8 Metals
- [x] 8081 Pesticides / 8082 PCB's
- [x] 8260B (VOC)
- [x] 8270 (Semi-VOC)

**Analysis Request:**
- [ ] Air Bubbles (Y or N)

---

If necessary, samples submitted to Hall Environmental may be subcontracted to other accredited laboratories. This serves as notice of this possibility. Any subcontracted data will be clearly notated on the analytical report.
APPENDIX C
TRAFFIC DATA AND PAVEMENT THICKNESS
# Traffic Volume Analysis

## Axle Load and Type

<table>
<thead>
<tr>
<th>Vehicle Description</th>
<th>Traffic Volume</th>
<th>Analysis Period (years)</th>
<th>Axle Load and Type</th>
<th>Gross Weight (pounds)</th>
<th>Equivalency Factors</th>
<th>ESAL's</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Axle 1 (kips)</td>
<td>Axle 2 (kips)</td>
<td>Axle 3 (kips)</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Axle 1</td>
<td>Axle 2</td>
<td>Axle 3</td>
<td></td>
</tr>
<tr>
<td>Passenger car</td>
<td>1,410</td>
<td>20</td>
<td>2 S</td>
<td>2 S</td>
<td>4,000</td>
<td>0.0004</td>
</tr>
<tr>
<td>Pick-up truck or van</td>
<td>161</td>
<td>20</td>
<td>4 S</td>
<td>4 S</td>
<td>8,000</td>
<td>0.004</td>
</tr>
<tr>
<td>Recreational vehicle</td>
<td>0</td>
<td>20</td>
<td>6 S</td>
<td>14 S</td>
<td>20,000</td>
<td>0.017</td>
</tr>
<tr>
<td>School bus</td>
<td>18</td>
<td>20</td>
<td>8 S</td>
<td>14 S</td>
<td>22,000</td>
<td>0.047</td>
</tr>
<tr>
<td>TARC bus</td>
<td>0</td>
<td>20</td>
<td>13.4 S</td>
<td>18.4 S</td>
<td>37,800</td>
<td>0.31</td>
</tr>
<tr>
<td>Greyhound MC-12 bus</td>
<td>12</td>
<td>20</td>
<td>4 S</td>
<td>14 S</td>
<td>18,000</td>
<td>0.004</td>
</tr>
<tr>
<td>Package delivery truck</td>
<td>0</td>
<td>20</td>
<td>6 S</td>
<td>12 S</td>
<td>30,000</td>
<td>0.017</td>
</tr>
<tr>
<td>Beverage delivery truck</td>
<td>2</td>
<td>20</td>
<td>20 S</td>
<td>35 T</td>
<td>55,000</td>
<td>1.57</td>
</tr>
<tr>
<td>Concrete truck (full)</td>
<td>0</td>
<td>20</td>
<td>20 S</td>
<td>48 R</td>
<td>68,000</td>
<td>1.57</td>
</tr>
<tr>
<td>Garbage/dumpster truck</td>
<td>0</td>
<td>20</td>
<td>20 S</td>
<td>48 R</td>
<td>68,000</td>
<td>1.57</td>
</tr>
<tr>
<td>Semi-tractor (no trailer)</td>
<td>0</td>
<td>20</td>
<td>8 S</td>
<td>2 T</td>
<td>10,000</td>
<td>0.047</td>
</tr>
<tr>
<td>Semi-tractor trailer (empty)</td>
<td>0</td>
<td>20</td>
<td>8 S</td>
<td>8 T</td>
<td>22,000</td>
<td>0.047</td>
</tr>
<tr>
<td>Semi-tractor trailer</td>
<td>0</td>
<td>20</td>
<td>12 S</td>
<td>34 T</td>
<td>80,000</td>
<td>0.198</td>
</tr>
<tr>
<td>User Defined</td>
<td>6</td>
<td>20</td>
<td>6 S</td>
<td>29 s</td>
<td>55,000</td>
<td>0.017</td>
</tr>
<tr>
<td>User Defined</td>
<td>8</td>
<td>20</td>
<td>8 S</td>
<td>8 T</td>
<td>16,000</td>
<td>0.047</td>
</tr>
<tr>
<td>Vehicle type H10</td>
<td>4</td>
<td>20</td>
<td>4 S</td>
<td>16 S</td>
<td>24,000</td>
<td>0.047</td>
</tr>
<tr>
<td>Vehicle type H15</td>
<td>6</td>
<td>20</td>
<td>6 S</td>
<td>24 S</td>
<td>30,000</td>
<td>0.017</td>
</tr>
<tr>
<td>Vehicle type H20</td>
<td>8</td>
<td>20</td>
<td>8 S</td>
<td>32 S</td>
<td>40,000</td>
<td>0.047</td>
</tr>
<tr>
<td>Vehicle type 3</td>
<td>16</td>
<td>30</td>
<td>16 S</td>
<td>34 T</td>
<td>50,000</td>
<td>0.613</td>
</tr>
<tr>
<td>Vehicle type HS15</td>
<td>6</td>
<td>30</td>
<td>6 S</td>
<td>24 S</td>
<td>54,000</td>
<td>0.017</td>
</tr>
<tr>
<td>Vehicle type HS20</td>
<td>8</td>
<td>30</td>
<td>8 S</td>
<td>32 S</td>
<td>72,000</td>
<td>0.047</td>
</tr>
<tr>
<td>Vehicle type 3S2</td>
<td>10</td>
<td>30</td>
<td>10 S</td>
<td>31 T</td>
<td>72,000</td>
<td>0.102</td>
</tr>
</tbody>
</table>

## Terminal Serviceability, \( r_t \)

- Assumed Structural Number, SN: 2

## Summary

<table>
<thead>
<tr>
<th>Traffic Category</th>
<th>Superpave</th>
<th>ESAL Class</th>
</tr>
</thead>
<tbody>
<tr>
<td>Traffic Growth Rate, %/yr</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>Total AASHTO ESAL's</td>
<td>77,817</td>
<td></td>
</tr>
</tbody>
</table>

## Project Information

- **Job No.:** 66165119
- **Date:** 10/6/2016

---

Povi Kaa Excel Pavement Design 2010.xlsm: AASHTO Traffic-Asphalt

Version 01142002

**Exhibit C-1**
## AASHTO 1993 ESAL Calculator for Flexible Pavements

<table>
<thead>
<tr>
<th>Vehicle Description</th>
<th>Traffic Volume</th>
<th>Analysis</th>
<th>Axle Load and Type</th>
<th>Gross Weight</th>
<th>Equivalency Factors</th>
<th>ESAL's</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Quantity in the Design Lane</td>
<td>Days per Week</td>
<td>Weeks per Year</td>
<td>Period (years)</td>
<td>Axle 1 (kips)</td>
<td>Axle 2 (kips)</td>
</tr>
<tr>
<td>Passenger car</td>
<td>539</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>2</td>
<td>S</td>
</tr>
<tr>
<td>Pick-up truck or van</td>
<td>61</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>2</td>
<td>S</td>
</tr>
<tr>
<td>Recreational vehicle</td>
<td>0</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>4</td>
<td>S</td>
</tr>
<tr>
<td>School bus</td>
<td>7</td>
<td>5</td>
<td>40</td>
<td>20</td>
<td>6</td>
<td>S</td>
</tr>
<tr>
<td>TARC bus</td>
<td>0</td>
<td>5</td>
<td>52</td>
<td>20</td>
<td>8</td>
<td>S</td>
</tr>
<tr>
<td>Greyhound MC-12 bus</td>
<td>0</td>
<td>5</td>
<td>52</td>
<td>20</td>
<td>13.4</td>
<td>S</td>
</tr>
<tr>
<td>Package delivery truck</td>
<td>5</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>4</td>
<td>S</td>
</tr>
<tr>
<td>Beverage delivery truck</td>
<td>0</td>
<td>2</td>
<td>52</td>
<td>20</td>
<td>6</td>
<td>S</td>
</tr>
<tr>
<td>Garbage/dumpster truck</td>
<td>2</td>
<td>1</td>
<td>52</td>
<td>20</td>
<td>20</td>
<td>S</td>
</tr>
<tr>
<td>Concrete truck (full)</td>
<td>0</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>20</td>
<td>S</td>
</tr>
<tr>
<td>Dump truck (full)</td>
<td>0</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>20</td>
<td>S</td>
</tr>
<tr>
<td>Semi-tractor (no trailer)</td>
<td>0</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>8</td>
<td>S</td>
</tr>
<tr>
<td>Semi-tractor trailer (empty)</td>
<td>0</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>8</td>
<td>S</td>
</tr>
<tr>
<td>Semi-tractor trailer</td>
<td>0</td>
<td>7</td>
<td>52</td>
<td>20</td>
<td>12</td>
<td>S</td>
</tr>
<tr>
<td>User Defined</td>
<td>6</td>
<td>29</td>
<td>S</td>
<td>20</td>
<td>T</td>
<td>55,000</td>
</tr>
<tr>
<td>User Defined</td>
<td>8</td>
<td>S</td>
<td>8</td>
<td>T</td>
<td>T</td>
<td>16,000</td>
</tr>
<tr>
<td>Vehicle type H10</td>
<td>4</td>
<td>S</td>
<td>16</td>
<td>S</td>
<td>20,000</td>
<td>0.004</td>
</tr>
<tr>
<td>Vehicle type H15</td>
<td>6</td>
<td>S</td>
<td>24</td>
<td>S</td>
<td>30,000</td>
<td>0.017</td>
</tr>
<tr>
<td>Vehicle type H20</td>
<td>8</td>
<td>S</td>
<td>32</td>
<td>S</td>
<td>40,000</td>
<td>0.047</td>
</tr>
<tr>
<td>Vehicle type 3</td>
<td>16</td>
<td>S</td>
<td>34</td>
<td>T</td>
<td>50,000</td>
<td>0.613</td>
</tr>
<tr>
<td>Vehicle type HS15</td>
<td>6</td>
<td>S</td>
<td>24</td>
<td>S</td>
<td>24</td>
<td>S</td>
</tr>
<tr>
<td>Vehicle type HS20</td>
<td>8</td>
<td>S</td>
<td>32</td>
<td>S</td>
<td>32</td>
<td>S</td>
</tr>
<tr>
<td>Vehicle type 3S2</td>
<td>10</td>
<td>S</td>
<td>31</td>
<td>T</td>
<td>31</td>
<td>T</td>
</tr>
</tbody>
</table>

### Terminal Serviceability, $r_t$ 2.5
### Assumed Structural Number, SN 2
### Traffic Growth Rate, %/yr 0

<table>
<thead>
<tr>
<th>Summary:</th>
<th>Total AASHTO ESAL's</th>
<th>34,594</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project:</td>
<td>Shumaa Po</td>
<td></td>
</tr>
<tr>
<td>Location:</td>
<td>San Ildefonso Pueblo</td>
<td></td>
</tr>
<tr>
<td>Job No.:</td>
<td>66165119</td>
<td></td>
</tr>
<tr>
<td>Date:</td>
<td>10/6/2016</td>
<td></td>
</tr>
</tbody>
</table>

Exhibit C-2
Design Inputs

Asphalt

<table>
<thead>
<tr>
<th>Input</th>
<th>Value 1</th>
<th>Value 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sugrade Support</td>
<td>CBR =</td>
<td>4.5</td>
</tr>
<tr>
<td>Reliability</td>
<td>Mr =</td>
<td>6500</td>
</tr>
<tr>
<td>Standard Deviation</td>
<td>So =</td>
<td>0.45</td>
</tr>
<tr>
<td>Initial Serviceability</td>
<td>Po =</td>
<td>4.5</td>
</tr>
<tr>
<td>Terminal Serviceability</td>
<td>Pt =</td>
<td>2.5</td>
</tr>
<tr>
<td>Design Serviceability Loss,</td>
<td>ΔPSI =</td>
<td>2.0</td>
</tr>
</tbody>
</table>

Concrete

<table>
<thead>
<tr>
<th>Input</th>
<th>Value 1</th>
<th>Value 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>CBR =</td>
<td>4.5</td>
<td></td>
</tr>
<tr>
<td>Mr = 6500 psi</td>
<td>K =</td>
<td>228</td>
</tr>
<tr>
<td>Reliability</td>
<td>85 %</td>
<td>85 %</td>
</tr>
<tr>
<td>Standard Deviation</td>
<td>0.35</td>
<td></td>
</tr>
<tr>
<td>Initial Serviceability</td>
<td>4.5</td>
<td></td>
</tr>
<tr>
<td>Terminal Serviceability</td>
<td>2.5</td>
<td></td>
</tr>
<tr>
<td>Design Serviceability Loss,</td>
<td>2.0</td>
<td></td>
</tr>
</tbody>
</table>

Layer Coefficients:

- AC Surface and Binder: \( a_1 = 0.44 \)
- Aggregate Base: \( a_2 = 0.11 \)

Concrete Compressive Strength = 4000 psi
Modulus of Elasticity of Concrete = 3,600 ksi
Modulus of Rupture of Concrete: = 580
Load Transfer ("J" Factor) = 4.2
Drainage Coefficient = 1.0

Asphalt Section Traffic (18 kip ESAL) = 77,187

Asphalt Pavement Section

<table>
<thead>
<tr>
<th>Drainage, m</th>
</tr>
</thead>
<tbody>
<tr>
<td>AC Surface + Binder</td>
</tr>
<tr>
<td>Aggregate Base</td>
</tr>
</tbody>
</table>

Structural Number: 2.42
 Structural Number - Required 2.27

Concrete Section Traffic (18 kip ESAL) = 77,187

Concrete Pavement Section 5.0 in.
### Design Inputs

<table>
<thead>
<tr>
<th></th>
<th>Asphalt</th>
<th>Concrete</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sugrade Support</td>
<td>CBR = 4.5</td>
<td>k = 228 pci</td>
</tr>
<tr>
<td></td>
<td>Mr = 6500 psi</td>
<td>85 %</td>
</tr>
<tr>
<td>Reliability</td>
<td>85 %</td>
<td>85 %</td>
</tr>
<tr>
<td>Standard Deviation</td>
<td>So = 0.45</td>
<td>0.35</td>
</tr>
<tr>
<td>Initial Serviceability</td>
<td>Po = 4.5</td>
<td>4.5</td>
</tr>
<tr>
<td>Terminal Serviceability</td>
<td>Pt = 2.5</td>
<td>2.5</td>
</tr>
<tr>
<td>Design Serviceability Loss,</td>
<td>ΔPSI = 2.0</td>
<td>2.0</td>
</tr>
</tbody>
</table>

Layer Coefficients:

- AC Surface and Binder: $a_1 = 0.44$
- Aggregate Base: $a_2 = 0.11$

Concrete Compressive Strength = 4000 psi
Modulus of Elasticity of Concrete = 3600 ksi
Modulus of Rupture of Concrete: = 580
Load Transfer ("J" Factor) = 4.2
Drainage Coefficient = 1.0

### Asphalt Section Traffic (18 kip ESAL) =

Regular
34,594

### Asphalt Pavement Section

<table>
<thead>
<tr>
<th>Drainage, m</th>
<th>AC Surface + Binder</th>
<th>3.5 in.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Aggregate Base</td>
<td>1.0 in.</td>
</tr>
<tr>
<td></td>
<td>6.0 in.</td>
<td></td>
</tr>
</tbody>
</table>

Structural Number: 2.20

**Structural Number - Required** 2.00

### Concrete Section Traffic (18 kip ESAL) =

Heavy Duty
34,594

### Concrete Pavement Section

5.0 in.